

University of Nevada, Reno

Multi-empirical investigations on the population genetic structure, ecological niche, and regeneration of *Ivesia webberi* with conservation implications

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requirements for the degree of Doctor of Philosophy in
Ecology, Evolution and Conservation Biology

by

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THE GRADUATE SCHOOL

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ABSTRACT

Ecosystems often contain a few cosmopolitan species and a large number of rare species. Despite their relative low abundance and biomass, rare species support the multifunctionality and resilience of ecosystems. Therefore, empirical studies on rare and range-restricted species can increase our understanding of eco-evolutionary underpinnings of species and ecosystem persistence, and generate sufficient knowledge to design effective conservation programs. These research studies can also benefit conservation programs for rare and range-restricted species, which are often prioritized. This research focuses on *Ivesia webberi*, a federally threatened perennial forb and the vegetative communities that harbor the species. Specifically, empirical studies investigated the following: (1) species-environment relationship of *I. webberi* using iterative and multi-year ecological niche modeling with complementary model-guided sampling, to describe and predict suitable habitats; (2) the relationship between soil seed bank and aboveground vegetation in plant communities where *I. webberi* is found, to understand the regeneration niche of *I. webberi* and assess ecological resilience of the vegetative communities; (3) genetic diversity, structure, and functional connectivity among *I. webberi* populations in order to characterize genetic resources and therefore evolutionary potential; (4) the relationships between genome size variation and bioclimatic variables within *I. webberi* and among *Ivesia* taxa; and (5) seed viability of *I. webberi*, including spatiotemporal variability and storage behavior.

Findings from the 5-year iterative niche modeling study resulted in the discovery of seven novel populations, an expansion of the known species distribution range, and identification of important environmental drivers of the ecological niche of *I. webberi*.

Native species richness was higher in aboveground vegetation in the sampled sites where *I. webberi* occurs while the soil seed bank is dominated by invasive annual grasses. This resulted in low floristic similarity between the aboveground vegetation and the soil seed bank, and highlights the importance of seeding with native plants and control of invasive plant species to maintain the ecological legacies of these sites in the Great Basin Desert. Genetic diversity is relatively low across *I. webberi* populations and exhibited significant spatial genetic structure; functional connectivity was influenced by synergistic effects of geographic distance and landscape features. However, *I. webberi* exhibits a significant temporal, not geographical, variation in seed viability, and seed viability potentially reduces with storage time suggesting a recalcitrant behavior. Seed viability can be reliably estimated and monitored using non-destructive x-ray imagery and multispectral imaging techniques.

An 8-fold variation in genome size of 31 *Ivesia* taxa was observed, ranging from 0.73 pg/2C in *I. baileyi* var. *beneolens* to 5.91 pg/2C in *I. lycopodioides* ssp. *megalopetala*. This genome size variation significantly correlated with actual evapotranspiration and seed size. Inference from genome size suggest that all sampled *Ivesia* are diploid with 28 chromosomes. Similar significant correlations between intraspecific genome size variation in *I. webberi* and evapotranspiration and seed size were observed; genome size was larger in *I. webberi* populations closer to the species' range center and smaller towards the margin. Relatively small genome sizes and their correlations with functional trait and energy availability indicate that genome size has adaptive significance for these desert-adapted species. Overall, the findings of these studies have advanced scientific knowledge on the eco-evolutionary processes in a range-

restricted plant species in the Great Basin Desert, and provide useful information to design effective conservation programs.

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INTRODUCTION

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Life on earth is supported by plant species and vegetation provides the foundation for all terrestrial ecosystems. Therefore, local and global loss of plant diversity will severely impact other groups of species and human well-being (Díaz et al., 2007; Brummitt et al., 2015a). About 600 plant species have gone extinct (Humphreys et al., 2019; Lughadha et al., 2020) and one-third of all angiosperms are threatened (Brummitt et al., 2015b; Pimm and Joppa, 2015; Corlett, 2016). Global assessment of the International Union of Conservation of Nature (IUCN) Red List of Threatened Species (IUCN, 2020) as well as national biodiversity risk assessments in Canada and the United States show that habitat loss and fragmentation are the biggest threat to terrestrial plant species (Wilcove et al., 1998; Venter et al., 2006; Venter et al., 2016; Tilman et al., 2017; Díaz et al., 2019; Figueiredo et al., 2019; Lughadha et al., 2020). Habitat loss and fragmentation are attributed to growth in human population and economic development (Losfeld et al., 2015; Tilman et al., 2017), with reports showing that over 50% of terrestrial ecosystems have been modified by human activities (Millennium Ecosystem Assessment, 2005; Lander et al., 2019). Furthermore, deforestation, illegal trade and overharvest make overexploitation the second biggest threat to biodiversity (Goettsch et al., 2015; Food and Agriculture Organization [FAO], 2016). Invasive species are linked with local extirpation and reduction of native plant diversity, as well as alterations in wildfire regimes and soil nutrients (Pyšek et al., 2012; Thomas and Palmer, 2015). Despite an increase of 1 °C in global average temperature (IPCC, 2014), empirical support for anthropogenic climate change driven plant extinction is limited (Le Roux et al., 2019; Lughadha et al., 2020), but climate change may impact plants synergistically with other drivers of biodiversity loss (Oliver and Morecroft, 2014; Bidartondo et al.,

2018). Moreover, studies have shown shifts in plant species phenology, community composition, and species ranges to higher latitudes and elevation (Hijioka et al., 2014; Morueta-Holme et al., 2015; Panetta et al., 2018; Diez et al., 2020), in addition to predicted future species range contraction and increased wildfires (Warren et al., 2018; Gomes et al., 2019).

Habitat loss and fragmentation reduce the population habitat area, create edge effects, and increase interactions with the surrounding landscape matrix, resulting in variations in species richness and abundance in the fragmented habitats (Fontúrbel and Murúa, 2014; Aguilar et al., 2019). Furthermore, habitat fragmentation alters among-population gene flow patterns (Rymer et al., 2015), impacts plant reproduction and reduces seed dispersal (Cheptou et al., 2017; Lander et al., 2019), and potentially reduces available resources (Herrera et al., 2011; Fontúrbel and Murúa, 2014). Additionally, landscape alterations resulting in demographic stochasticity can severely impact plant-animal interactions, especially obligate pollination and dispersal mutualisms (Ollerton et al., 2011; Rossetti et al., 2017). Reduced gene flow due to habitat fragmentation can result in spatial genetic structure, inbreeding and genetic drift, which can cause reduced neutral and adaptive genetic diversity (Aguilar et al., 2008; Lander et al., 2019). Inbreeding depression in plant populations can reduce seed set and seedling survival, ultimately resulting in reduced population viability and persistence (Byrne et al. 2007; Brudvig et al., 2015).

Biogeography theory predicts that when faced with climate changes, plant species can acclimate (through physiological adjustment and phenological plasticity), adapt (by

directional selection and local adaptation), move to new sites with suitable conditions, or go extinct (Morueta-Holme et al., 2015; Corlett, 2016; Panetta et al., 2018). In support of these predictions, studies have demonstrated the impacts of anthropogenic climate change resulting in shifts in plant phenology, species range, genetic diversity, physiology and behavior (Franks et al., 2014; Lenoir and Svenning, 2015; Thackeray et al., 2016; Yu et al., 2017). Migration rates and dispersal capacity for many plant species are inherently low (Aitken et al., 2008), which is further inhibited by natural and anthropogenic barriers to movement (Mills and Schwartz 2005; Partel et al., 2005) and the decline in the abundance of animal-dispersers due to overexploitation or habitat modifications (Harrison et al., 2013). Different plant species in a vegetative community may respond to climate change differently resulting in phenological mismatches that can impact species interactions and coevolutionary processes, leading to novel plant communities (Enquist et al., 2014; Morellato et al., 2016). Further, climate change may favor early regeneration of many invasive plant species, giving them access to environmental resources and facilitating their colonization, a concept known as priority effect (Zhang et al., 2011; Alexander and Levine, 2019; Grainger et al., 2019). This gives many invasive species competitive advantage over native seedlings; thus, they reduce recruitments into native populations and become ecosystem engineers (Porté et al., 2011; Dickson et al., 2012).

Generalists and widely distributed plant species may adapt quickly to environmental changes due to their wide climatic niche breadth, reduced dependence on specific ecological interactions, and greater ability for phenological and physiological shifts (Sheth and Angert, 2014; Yu et al., 2017). Conversely, many range-restricted species and species with small and isolated populations are more prone to stochastic

demographic and environmental processes, inbreeding depression and random genetic drift (Reed, 2004; Harnik et al., 2012). Many studies have established the strong relationships between geographic range size and climatic niche breadth, and predict greater extinction risks for range-restricted species under climate change (Cardillo et al., 2019; Vincent et al., 2020). Roughly 36% of the known 435,000 plant species are endemic to a small region (Enquist et al., 2019), while over 62% of the North American flora are range-restricted (Mills and Schwartz, 2005). Further, the western United States have a disproportionately high species richness of endemic flora (Mills and Schwartz, 2005; Kraft et al., 2010) and endemic lineages of evolutionary significance (Thornhill et al., 2017; Mishler et al., 2020), including 151 endemic plants in the Great Basin Desert (Ricketts et al., 1999; Nachlinger et al., 2001). Many hypotheses have been proposed to explain differences in the geographic range sizes among plant species, focusing on climatic history (Enquist et al., 2019), geological history (Jansson, 2003), evolutionary history (Gaston, 1996), dispersal and colonization ability (Lowry and Lester, 2006), ecological specializations (Wamelink et al., 2014; Cardillo et al., 2019), environmental heterogeneity (Baldwin 2005; Kraft et al., 2010), and niche breadth (Gaston and Spicer, 2001), among others. The richness of rare and endemic flora in the Great Basin Desert and the adjacent California Floristic Province has been attributed to the region's particular geological history, topographic complexity, and significant microclimatic gradients (Lesica et al., 2006; Cassel et al., 2009; Kraft et al., 2010).

In light of the current and predicted future environmental pressures on biodiversity, it is important to investigate the effect of contemporary environmental changes (that is, habitat loss) on threatened plant species (Montoya et al., 2010; Rybicki

et al., 2020). Equally important are empirical investigations that assess the capacity of populations and species to respond to future changes (e.g., Segan et al., 2016; Razgour et al., 2019). Such studies could involve comparative approaches to assess the response of congeners sharing the same evolutionary history, but varying climatic niche breadth and geographical distributions (e.g., Sáyago et al., 2018; Fortunel et al., 2020). Furthermore, such studies can be expanded to evaluate the ecological resilience of ecosystems to habitat fragmentation, biological invasion, and climate change (e.g., Rogora et al., 2018; Angeler et al., 2019). Such studies will advance scientific understanding to identify important functional traits that can ensure the persistence of species and multifunctionality of ecosystems under several environmental pressures. Findings from such studies can also inform the design of effective conservation programs for threatened species.

The Great Basin Desert is a cold desert receiving most of its annual precipitation in the winter (Comstock and Ehleringer, 1992). Most of the pre-European settlement changes in vegetation cover were attributed to historical climate change (Grayson, 2011), but human activities in the last 150 years have resulted in rapid land cover changes that impacted wildfire regimes and facilitated colonization by invasive and nonnative annual grasses (Wisdom et al., 2005; Morris and Rowe, 2014). Furthermore, a 0.7 to 1.4 °C increase in temperature has been reported for the Great Basin Desert (Wagner, 2003; Snyder et al., 2019), which may be associated with the decline in snowpack (Mote et al., 2005), early arrival of spring season, and dramatic interannual variation in precipitation (Baldwin et al., 2003; Chambers, 2008). A 2 to 5 °C warming is predicted for the region over the next 100 years, which may increase the colonization and invasion success of the

C₄ invasive grasses and further impact wildfire regimes in the Great Basin Desert (Smith et al., 2000; Cubashi et al., 2001; Westerling et al., 2006; Chambers, 2008). For these reasons, the *Artemisia* spp. (sagebrush) ecosystem of the Great Basin Desert is one of the most critically endangered habitats in the United States (Noss et al., 1995; Stein et al., 2000), with over 600 native plants considered species of conservation concern (Nachlinger et al., 2001).

Ivesia webberi A. Gray (Webber's ivesia or wire mousetail) is one of the native plant species of conservation concern in the Great Basin Desert. The perennial forb, belonging to the Rosaceae family, grows on shallow rocky clay soils in sparsely vegetated low sagebrush (*Artemisia arbuscula*) communities in mid-elevation areas, near the northern Sierra Nevada eastern foothills and the western fringes of the Great Basin Desert (Witham, 2000; US Fish and Wildlife Service [USFWS], 2014). Throughout its range, the species is threatened by invasive non-native plant species, including *Bromus tectorum* (cheatgrass), *Taeniatherum caput-medusae* (medusa head) and *Poa bulbosa* (bulbous bluegrass), which have been observed in 12 *I. webberi* sites (USFWS, 2014). Most of the known locations for this species experienced wildfires over the past 40 years, and 11 *I. webberi* sites are adjacent to and/or intersected by road development and off-highway vehicle (OHV) use (USFWS 2014). The main concern, however, is the increased economic development and urbanization in Northern Nevada, which threatens many of the adjacent *I. webberi* locations.

Identification of species-specific threats remains critical to conservation efforts (Visconti et al., 2016), especially for range-restricted and threatened species that are

already vulnerable to genetic, environmental, and demographic stochasticity (Schwartz et al., 2006). An empirical assessment of how species respond to environmental changes will advance scientific knowledge on species-environment relationship and provide adequate information required for effective conservation of susceptible populations and species (Pacifci et al., 2015; Yu et al., 2017). Despite conservation research and prioritization on rare species (Myers et al. 2000, Sadler and Bradfield, 2010), conservation is challenging due to the lack of knowledge on biology, reproduction and distribution for many of these species (Smart et al., 2015). This is particularly true of *I. webberi*. Although it is listed on the U.S. Endangered Species Act (e.g., Federal Register 2014a, 2014b), the development of strategic management plans for this species is impeded by a lack of basic knowledge on reproductive biology, population viability, regeneration niche, genetic diversity and structure, pollinators and dispersers, and species-environment relationships.

The federal listing of the species as “threatened” has facilitated protection of its most vulnerable habitat patches by fencing. However, it is not enough to protect the habitats of imperiled species, especially those with small populations, such as observed in *I. webberi*. Conservation actions must include empirical studies and management to optimize gene flow and maintain genetic diversity among and within the populations. *I. webberi* produces dry, indehiscent achenes, which are not adapted for long-range dispersal. Furthermore, dispersal is suspected to be impeded by the north-south mountain ranges and increasing urbanization, therefore *I. webberi* response to future environmental change may be limited to phenological shifts, local adaptation, or extirpation (Berg et al., 2010). Empirical studies have shown that the persistence of plant populations under rapid

climate change could be achieved with phenotypic plasticity (Vitasse et al., 2010; Nicotra et al., 2010). However, studies have also demonstrated a positive relationship between genetic diversity and phenotypic plasticity in plant populations in response to changing environments (van Kleunen and Fischer, 2005; Gratani, 2014). Therefore, the evolutionary potential of plant populations to adapt to environmental changes is dependent on their genetic diversity.

Species niches and distributions are determined by species interactions, dispersal capacity, and environmental requirements (Costa et al., 2018). Ecological theory predicts unimodal species-environment relationships whereby niches are constrained at the extremes of environmental gradients (Austin, 1999; Costa et al., 2018). Therefore, species distribution models (SDM) are used to investigate species-environment relationships, quantify niche breadth, and predict rarity, extinction risks, and species responses to future environmental changes (Kotiaho et al., 2005; Broennimann et al., 2006; Jiménez-Valverde et al., 2011). However, SDMs are fitted with the assumption that the species range is known and all biologically relevant environmental variables were included (Wisz et al., 2008; Elith and Leathwick, 2009). This is often not the case with range-restricted species that may not be in equilibrium with their environment, whose occurrence records are based on geographically biased sampling, and which are less well studied and hence whose biologically relevant environmental conditions are at best assumed (Lomba et al., 2010; Jarnevich et al., 2015). Predictions from poorly-fitted models can misinform management decisions for rare species (Ramesh et al., 2020). Species distribution models were fitted for *Ivesia webberi* in chapter 1, using an iterative and multiyear modeling approach, accompanied by model-guided field surveys to the

predicted suitable sites for field validation. The iterative niche modeling approach and field surveys generate additional novel presence and absence points that can refine scientific understanding of the species-environment relationships and improve the predictive ability of the ecological niche models.

Land use changes caused by anthropogenic habitat fragmentation remains the greatest threat to global biodiversity (Danneyrolles et al., 2019; Lughadha et al., 2020), and in particular to biodiversity in the Great Basin Desert (Morris and Rowe, 2014). The local and global impacts of habitat loss and fragmentation on biodiversity are severe to the extent that many conservation programs now focus on ecological restoration to reverse the biodiversity loss (Haapalehto et al., 2017). Therefore, it is not surprising that 2021-2030 was dubbed the “UN Decade on Ecosystem Restoration” (United Nations Environment Agency, 2019). However, effective ecological restoration of degraded and disturbed landscapes to historical legacies partly depends on the ecological resilience of the ecosystem, which in turn, relies on the preservation and germination of the soil seed bank of native plant communities, post-disturbance (Korb et al., 2005; Ma et al., 2019; Mndela et al., 2020). The Great Basin Desert plant communities are dominated by perennial species (Allen and Nowak, 2008; Martyn et al., 2016), many of which are lithophytes, chasmophytes and long-lived plants that undergo clonal reproduction or have a mixed strategy of seed and vegetative reproduction, have low and infrequent seed production, poor dispersal mechanism, and have seeds that form a transient seed bank (Goodwillie et al., 2005; Pekas and Schupp, 2013). Therefore, the focus of chapter 2 was on the quantification of the soil seed bank and the relationship with aboveground vegetation in the sites that harbor *I. webberi*. Such information can contribute to an

understanding of the regeneration niche of *I. webberi* and predict secondary succession events in the native plant communities, post-disturbance. This is particularly important for *I. webberi* that has been observed to regenerate both from dormant vegetative structures and seed germination.

Chapter 3 assesses the neutral genetic diversity and gene flow rates among the known populations of *I. webberi* using Euclidean distance, environmental variables, land cover, and the predicted habitat suitability maps, to test for isolation by distance, by environment, and by landscape resistance. This allows us to evaluate spatial genetic structure in *I. webberi*, from which we can make inference on the effect of habitat fragmentation and disturbances on gene flow and species' capacity to adapt to future environmental changes. Additionally, inferences can be drawn on the mating system exhibited by this species. Field observations indicate that *I. webberi* primarily regenerates annually from dormant underground vegetative structures, but seed regeneration has also been observed. Vegetative reproduction may support population persistence under habitat fragmentation, disturbance, and climate change (Bellingham, 2000; Lander et al., 2019), but pollination and seed-based regeneration are necessary to maintain genetic diversity, dispersal, and establishment in new sites (Lander et al., 2019). However, previous studies show that species with a long history of self-compatibility or mixed mating strategies can persist in fragmented habitats because detrimental recessive alleles and products of deleterious mutations can be purged from the populations (Husband and Schemske, 1996; Aguilar et al., 2019).

Identifying the functional traits related to phenotypic plasticity and niche breadths can be used to assess plants' response to environmental change (Berg et al., 2010; Gratani, 2014; Costa et al., 2018). Genome size, also known by nuclear DNA content and C-value, is the total amount of DNA in an unreplicated haploid nucleus of an organism (Greilhuber et al., 2005). Previous studies have reported positive correlations between genome size and nucleus weight, RNA and protein content, cell size, flower and fruit size, and minimum generation time in herbaceous plants (Bennett, 1987). Additional empirical evidence shows a positive correlation between genome size and seed size (Chung et al., 1998; Beaulieu et al., 2007), guard cell and epidermal cell sizes (Beaulieu et al., 2008; Hoang et al., 2019), leaf length and width (Chung et al., 1998), and mitotic cell cycle duration (Francis et al., 2008; Hodgson et al., 2010). The correlation of genome size with these physiological plant traits suggests that genome size may have adaptive significance by influencing the life history strategy, plant distribution and phenology of plants (Knight and Ackerly, 2002; Hodgson et al., 2010; Dušková et al., 2010). Within a species, genome size variations have also been reported and attributed to differing selection and evolutionary processes that occur in the genetically isolated populations (Šmarda and Bureš, 2010; Bilinski et al., 2018). Therefore, chapter 4 investigates the adaptive significance of genome size variations among 31 *Ivesia* taxa and 11 *I. webberi* locations.

Post-disturbance restoration projects of plant communities in the Great Basin Desert rely on accurate knowledge of species regeneration biology, including seed viability and storage behavior. Moreover, *ex situ* conservation, via seed banking and other methods, is recommended as a management strategy for nationally or globally

threatened plant species under the Global strategy for plant conservation (GSPC) of the United Nations' Convention on Biological Diversity (CBD) (CBD, 2019). However, there is limited empirical knowledge on the seed storage behavior for most of the threatened plant species, the focus of the GSPC (Hay and Probert, 2013; Wyse and Dickie, 2017). The seeds of many plant species can be dried and stored for longer periods of time without losing their viability, but others lose their seed viability with storage time and desiccation, hence they are not suitable for seed banking (Roberts, 1973; Hay and Probert, 2013). Therefore, chapter 5 of this dissertation focuses on investigating the storage behavior of *I. webberi* seeds, identifying populations that produce seeds with higher viability, interannual variations in seed viability and the potentials of discriminating between viable and nonviable seeds using non-destructive seed testing methods. These investigations will inform the decisions on populations to select for seed harvesting, strategies to ensure effective seed banking, and approaches for reducing the cumulative loss of stored seeds from periodic seed viability monitoring.

These studies have conservation applications for the management of *Ivesia webberi* and the ecosystem that harbors it. The gene flow patterns, genetic diversity and structure in *I. webberi* populations can help managers assess how the species is responding to the effects of current anthropogenic and natural landscape features on gene flow, and how the species would respond to future environmental changes. Given lack of species-specific empirical studies on many Great Basin Desert plant species, findings from this study can be extrapolated to other threatened and range-restricted plant species with niche breadth, mating systems, and functional traits similar to *I. webberi*. Furthermore, the iterative niche modeling approach explained in this research can be

applied to other rare and native plants with few occurrence records. Model-guided vegetative sampling can lead to the discovery of new locations and an expansion of their geographic range. A characterization of the soil seed bank in the mid-elevation sites where *I. webberi* is located and its relationship with the aboveground vegetation can help managers predict future secondary succession in these sites and design ecological restoration programs that can decimate the populations of the invasive plant species and facilitate the recovery of the resident native flora. Moreover, post-disturbance ecological restoration programs in the Great Basin Desert such as native plant seeding and the *ex-situ* conservation can benefit immensely from an understanding of the seed storage behavior, while seed banking practices can be modified to use nondestructive methods for seed viability testing.

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CHAPTER 1 Geographic predictions of iterative ecological niche modeling result in the discovery of novel populations of a rare cold desert perennial*

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ABSTRACT

Despite the immense benefit of niche modeling to the management of rare and threatened species, it is often challenging to fit these models with high predictive accuracy for species with occurrence records that are commonly few, spatially biased, and incomplete. In this study, we applied an iterative niche modeling approach to guide prospective field sampling, improve niche model formulation, and better understand ecological influences on the distribution of *Ivesia webberi*. Six of the 72 assembled variables selected from three successive reduction analyses were used to fit preliminary niche models, from which the top three predictor variables were used for final niche modeling in each iteration. The iterative niche models were fitted using Boosted Regression Trees, Random Forests, Maximum Entropy, Artificial Neural Networks, Generalized Additive Models and Generalized Linear Models, and evaluated using true skill statistic, area under curve of the receiver operating characteristic, specificity, and the continuous Boyce index. Weighted average ensembles of the single models were used to produce geographic habitat suitability projection maps, which were used to design field sampling. New spatial data from the field sampling were added to the dataset for subsequent modeling. The environmental conditions of both the original and novel species occurrences were plotted in principal component analysis (PCA) ordination space. The degree of similarity among the geographical predictions of the iterative niche modeling was assessed using niche overlap analysis. Iterative niche modeling and model-guided field surveys resulted in the discovery of seven novel locations and expanded the northern reach of the distribution range by 65 km. Perennial herbaceous layer and topographic position index were the two most important ecological drivers of *I. webberi*

niche across all four modeling iterations. PCA ordination showed that the new occurrence points filled the realized niche space for *I. webberi* and slightly expanded climatic and topographic breadth of the species in ordination space. This study demonstrated that model-guided sampling and iterative niche modeling can advance scientific understanding of the species-environment interactions, ecological niche, and geographic distributions of rare species, which is crucial for effective conservation.

KEYWORDS: species distribution models, habitat suitability, iterative niche modeling, BIOMOD2, niche breadth, *Ivesia webberi*, Great Basin Desert

INTRODUCTION

Species with restricted geographical distributions, small population sizes and narrow ecological tolerance ranges are more vulnerable to future environmental change and thus, are commonly prioritized for conservation (Lavergne et al. 2005, Lomba et al. 2010, Sousa-Silva et al. 2014). Ecological niche modeling (ENM), also referred to as species distribution modeling (SDM) or climatic envelope modeling, has become an important conservation tool for the management of species at risk because it can be used to identify environmental factors that support the persistence of the species (Jiménez-Valverde, Lobo, & Hortal, 2008; Jiménez-Valverde, Peterson, Soberón, Overton, Aragón, & Lobo, 2011), and limiting factors that restrict their geographical distributions (Gorban, Pokidysheva, Smirnova, & Tyukina, 2011). ENM can also be used to evaluate degree of rarity of species (Broennimann, Thuiller, Hughes, Midgley, Alkemade, & Guisan, 2006), and identify areas that may serve as future climatic refugia (Petitpierre, Kueffer, Broennimann, Randin, Daehler, & Guisan, 2012; Sousa-Silva et al., 2014). Furthermore, niche models are often integrated into models of landscape connectivity, population genetic and metapopulation dynamics (e.g., Ikeda, Max, Allan, Lau, Shuster, & Whitham, 2016; Keeley, Beier, Keeley, & Fagan, 2017; Banerjee, Mukherjee, Guo, Ng, & Huang, 2019), or used for mapping the phylogenetic diversity of an area (e.g., Scherson, Thornhill, Urbina-Casanova, Freyman, Pliscoff, & Mishler, 2017).

Understanding the distribution of rare species is critical for effective conservation planning, but with incomplete and spatially biased occurrence records, modeling the niches of rare species with high predictive accuracy can be challenging (Hernandez, Graham, Master, & Albert, 2006; Wisz, Hijmans, Li, Peterson, Graham, Guisan, &

NCEAS Predicting Species Distributions Working Group, 2008), a condition referred to as the rare species modeling paradox (Lomba et al., 2010). Furthermore, correlative species distribution models (SDM) are fitted with an underlying assumption that species are in equilibrium with their environment (i.e., temporal and spatial stationarity) and that all important and biologically-relevant variables have been included in the niche model (Elith & Leathwick, 2009). This presents challenges to modeling rare species because the inclusion of many predictors when the number of occurrences are few can lead to model overfitting (Stockwell & Peterson, 2002; Wisz et al., 2008; Jarnevich, Stohlgren, Kumar, Morisette, & Holcombe, 2015). Moreover, limited knowledge on species-environment relationships for rare species makes predictor variable selection challenging and subjective (Aranda & Lobo, 2011). Consequently, poorly fit models and misjudgements of model predictions can lead to over- or underestimation of the rare species' niche, resulting in poor management decisions (Ramesh, Gopalakrishna, Barve, & Melnick, 2017; Burns, Clemann, & White, 2020). Therefore, niche models for rare species are recommended only for hypothesis testing for further sampling and possible discovery of novel populations (Stockwell & Peterson, 2002; Wisz et al., 2008; Jarnevich et al., 2015).

Predicted habitat suitability maps from preliminary niche modeling can be used to stratify and optimize sampling efficiency (Chiffard, Marciau, Yoccoz, Mouillot, Duchateau, Nadeau, ..., Besnard, 2020). This niche-based sampling approach (Guisan, Broennimann, Engler, Vust, Yoccoz, Lehmann, & Zimmermann, 2006) can reduce spatial bias in the presence/true absence points (Singh, Yoccoz, Bhatnagar, & Fox, 2009), and result in the discovery of novel locations of the studied taxa and thus the correction of species range boundaries to encompass larger areas (de Siqueira, Durigan, de Marco

Junior, & Peterson, 2009; Deb, Jamir, & Kikon, 2017; Burns, Clemann, & White, 2020). Integrating the new spatial data from niche-based sampling in subsequent iterations can help increase the predictive accuracy of niche models for rare species and facilitates identification of biologically-relevant environmental factors (Rinnhofer, Roura-Pascual, Arthofer, Dejacó, Thaler-Knoflach, Wachter, ..., Schlick-Steiner, 2012).

However, niche model performance can vary widely among different algorithms (Pearson et al. 2006, Thuiller et al. 2009, Marmion et al. 2009, Opiel et al. 2012, Li and Wang 2013), and this inter-model variation and uncertainty can be significant when fitting niche models for species with fewer occurrences (Araújo et al. 2005, Hernandez et al. 2006, Wisz et al. 2008). Therefore, comparative niche modeling with different algorithms (Stockwell and Peterson 2002, Araújo et al. 2005, Wisz et al. 2008), and combining models from different algorithms into an ensemble model (Araújo and New 2007, Thuiller et al. 2009) are recommended. This is because ensemble predictions minimize the prediction errors and uncertainties while retaining the true signals of species-environment relationships in each algorithm (Araújo and New 2007, Marmion et al. 2009, Dormann et al., 2018).

The aim of this study was to evaluate the ability of niche-based sampling and an iterative modeling approach to predict the niche of a rare plant (*Ivesia webberi* A. Gray), relative to an effort that used only the limited presence/absence data available at the outset of our study. Therefore, we asked the following questions: (1) How does additional spatial data from niche-based sampling alter our understanding of the species-environment relationships for *I. webberi*? (2) To what extent did additional spatial data alter the habitat suitability map projections from the modeling iterations? (3) Do

modeling iterations in tandem with model-guided sampling significantly improve the predictive accuracy of niche models for *Ivesia webberi*? (4) How did the performance of modeling algorithms change with additional spatial data across iterative niche models? (5) Did ensemble models perform better than single niche models across iterative niche models?

METHODS

Species and occurrence data

Ivesia webberi is a perennial forb restricted to the eastern foothills of the Sierra Nevada and the adjacent western edge of the Great Basin Desert. At the outset of our study, it had been recorded from 21 locations, most of which are spatially aggregated and have been under human and biological invasion pressures. The species was estimated to have originated between 1.3 and 3.8 million years ago (Töpel, Antonelli, Yesson, & Eriksen, 2012), and may be one of the many Great Basin Desert neoendemics and phylogenetically young taxa that have not had enough time to fully colonize their range (Kraft, Baldwin, & Ackerly, 2010; Thornhill, Baldwin, Freyman, Nosratinia, Kling, Morueta-Holme, ..., Mishler, 2017).

Initial niche modeling started in 2015 with 21 occurrence points and 758 absence points obtained from the Nevada Natural Heritage Program (NNHP). Absence points were collected from historical surveys by NNHP botanists and citizen scientists in areas where *I. webberi* was not detected. Additional spatial points were added following iterative modeling and field validation (described below) to predicted suitable habitats.

The absence points were thinned using a distance of 7.5 km in *spThin* R package (Aiello-Lammens, Boria, Radosavljevic, Vilela, & Anderson, 2015) to reduce the effect of spatial aggregation, and were merged with the presence points for niche modeling (Table 1).

Additionally, absence points within 5 km of an occurrence point were removed to avoid false negatives. In all modeling iterations, the absence points were more than the presence points in order to reduce prevalence in the spatial data set, and avoid an overprediction of the geographical distribution for the rare *I. webberi* (Jiménez-Valverde, Lobo, & Hortal, 2009).

Study extent

The study extent was defined by a 60 km buffer from marginal ranges of known populations as of 2015. Field surveys indicate that this species occurs in or near ephemeral washes and forest dry meadow gaps in mostly gentle slope areas (Witham, 2000). Also, the species produces relatively heavy achene seeds which are not adapted for long-range dispersal. Therefore, the study area was restricted within this narrow range, excluding the expansive adjacent unsuitable areas of playas in the central Great Basin Desert.

Predictor variables

A total of 72 predictor variables describing edaphic, topographic, land cover, vegetative cover, and climatic factors were assembled for fitting niche models for *Ivesia webberi* (see Table S1 in Supporting Information). To avoid overfitting and maintain a 1:10 predictor variable to occurrence points ratio (Harrell, Lee, & Mark, 1996), the full set of predictor variables were reduced to six uncorrelated predictors (Table 2, Figure S1)

using a combination of the Kendall r correlation coefficient ($r > 0.6$), feature selection runs in *Boruta* R package (Kursa & Rudnicki, 2010), and recursive feature elimination algorithm in *caret* R package (Kuhn, 2008).

The climatic variables (actual evapotranspiration, minimum annual temperature and annual precipitation) were downsampled from the PRISM climatic data (1971-2000) normals (Daly, Halbleib, Smith, Gibson, Doggett, Taylor, ... Pasteris, 2008) from 800 m to 30 m spatial resolution using ordinary kriging and the Climatic Water Deficit Toolbox (Dilts, 2014; Dilts, Weisberg, Dencker, & Chambers, 2015; see Table S1). The cosine aspect was derived from slope using the formula: $\theta \times \cos(\alpha)$, where θ is slope (in percentage), and α is aspect (in radians), while slope was calculated from the 1 arc-second digital elevation models (DEM; USGS, 2017). Perennial herbaceous vegetative cover is considered both a biotic and land cover layer in this modeling framework. It is a vegetation type raster layer obtained from the Multi-Resolution Land Characteristics (MRLC) development of the 2016 U.S. National Land Cover Database (NLCD; Xian, Homer, Meyer, & Granneman, 2013). Topographic Position Index (TPI) was calculated from the DEM as in Weiss (2001).

Modeling algorithms

Six algorithms were used for *Ivesia webberi* niche modeling: Generalized linear models (GLMs; McCullagh and Nelder 1989), Generalized additive models (GAMs; Hastie and Tibshirani 1990), boosted regression trees (BRTs; Elith et al. 2008), random forests (RF; Breiman 2001), maximum entropy (MAXENT; Phillips et al. 2006), and artificial neural networks (ANN; Ripley 1996). Preliminary models also included surface

resistance envelopes (SRE) which were dropped from the final modeling due to poor model performance.

Generalized linear models (GLMs)

GLMs are extensions of linear models assuming a parametric relationship between species distributions and the environmental variables. By using the appropriate link function, GLMs allow a non-Gaussian transformed species occurrence to be modeled as a linear function of environmental variables (Guisan et al. 2002). Unlike linear models, GLMs allow for the distribution of errors in different families, including binomial. In GLMs-based niche modeling, polynomial terms are recommended (Aguirre-Gutiérrez et al. 2013, Shabani et al. 2016).

Generalized Additive Models (GAMs)

GAMs are non-parametric extensions of the GLMs that allow for flexible modeling of species' non-linear response to environmental variables using smoothing functions computed independently for each of variable and used to build the final model (Guisan et al. 2002, Segurado and Araújo 2004). This is useful because species-environment relationships are often skewed and non-linear (Austin 2002, 2007).

Boosted Regression Trees (BRTs)

BRT is a machine learning algorithm comprising classification and regression trees, and boosting algorithm to produce a final model with high performance from many weak, simpler models (De'ath 2007, Elith et al. 2008). BRTs make recursive splits using values of the variables or variable interactions that minimize prediction errors in a

stepwise manner and weighting subsequent trees by the residuals of the first trees, all decision trees are then combined by collapsing the weakest splits to optimize model performance based on specific rules of tree complexity and regularization (De'ath 2007, Elith et al. 2008). Many studies have reported superior performance of BRTs to regression models (e.g., Moisen et al. 2006, Leathwick et al. 2006, García-Callejas et al. 2016), and BRTs are shown to be less sensitive to species with fewer and clumped distribution in environment space (Elith et al. 2008).

Maximum Entropy (MAXENT)

MAXENT is a machine learning algorithm that estimates the suitability of a site for a particular species by fitting the unknown probability distribution of maximum entropy to the predictors (Phillips et al. 2006). It does this iteratively by comparing values of predictor variables at species occurrence points to predictor variable values in the random background points, within different modeling functions (e.g., linear, quadratic, etc.), at the same time avoiding overfitting by regularization mechanisms (Phillips et al. 2006, Phillips and Dudík 2008). It is robust to modeling the niche of species with fewer and incomplete occurrence points and it does not require absence points, therefore it is often used to fit niche models for rare species (Moreno et al. 2011, Merow et al. 2013).

Random Forests (RF)

RF, another tree-based machine-learning algorithm, uses the classification and regression trees to produce hundreds of random trees with a bootstrapped subset of the predictor variables at each node iteratively (Breiman 2001, Prasad et al. 2006, De'ath 2007). The resulting trees are averaged to produce the final model, using bootstrap

aggregating (or “bagging”) method (Cutler et al. 2007). Optimizing parameters such as the number of variables used and number of trees was recommended to help minimize the generalization (“out-of-bag”) error (Prasad et al. 2006, Gromping 2009). Fitted trees in the forest used fewer predictors, therefore there is low correlation with the predictors used, while all of the trees were averaged to produce the final tree thereby reducing chances of overfitting, bias and uncertainty (Prasad et al. 2006, Wiesmeier et al. 2011).

Artificial Neural Networks (ANN)

ANN development was inspired by brain structure, comprising artificial neurons (processors), organized into three layers that compute species-environment relationship through a network of links and their associated functions (Li and Wang 2013). ANN is composed of three layers that represent the predictor variables, intermediate (hidden) layer and the output layer (Lek and Guegan, 1999). The intermediate layer is like a black box that processes predictor variable values using a function which can operate as regression or classification tree depending on the predictor variable (Li and Wang 2013). ANN was included in this modeling based on earlier studies that reported its superior model performance (e.g., Segurado and Araújo 2004).

Niche modeling, projections, and field validation

The niche models were fitted iteratively in 2015, 2018, 2019 and 2020, hereafter called iterative niche models (Fig. 1). For each model iteration, the six predictor variables were used to fit preliminary models, from which the top three predictors were selected for the final modeling. All preliminary, final and iterative niche modeling was implemented in BIOMOD2 package in R (Thuiller et al. 2009, R Core Team 2020). We used default

BIOMOD modeling settings as recommended by Thuiller et al. (2009). GLMs were generated using quadratic terms in a stepwise approach, and using the Akaike information Criterion (AIC) for model selection. BRT models were generated by fitting 2500 trees and three cross-validations, while GAMs were analyzed with a spline smoothing function. We used five cross-validations to select the optimal hidden layers in ANN models, we fitted 500 random forest models, and ran MAXENT models with 10,000 background points, using linear, quadratic and product features but with logistic model output (Phillip and Dudík 2008, Thuiller et al. 2009, Aguirre-Gutiérrez et al. 2013). Due to the small number of occurrence points, spatial data was not partitioned to independent training and test data; rather all iterative niche models were fitted with 10 replicates each for the six algorithms using 80% of the data for cross-validation (Araújo et al. 2005, Thuiller et al. 2009). Ensembles of best single models (TSS > 0.7) were also fitted for all model iterations.

An initial niche model was fitted in 2015 with 21 occurrence points and 53 spatially thinned true absence points (Table 1) from which habitat suitability map projections were generated. The original 758 absence points were overlaid on the predicted habitat map in ArcGIS software and suitable areas that had not been previously surveyed were selected for field validation, however the field sampling was limited to public lands and sites under protection. Additionally, since many of the occurrence points were spatially aggregated, predicted suitable sites adjacent to existing locations of *I. webberi* (that is, adaptive cluster sampling; Thompson 1990) were also surveyed. This resulted in the generation of about 900 true absence points and three new locations, which were added to existing data for modeling iteration in 2018.

Niche modeling in 2018 used 26 occurrence and spatially thinned absence points. The 26 occurrence points included the original 21 points, the three new locations and spatial adjustment of existing locations. For example, the USFWS population designations clustered multiple locations into one population. The disaggregation of these subpopulations resulted in three additional presence points. All absence points were overlaid on the resulting habitat suitability map projection from which sites for field validation were selected for sampling. Ground truthing resulted in the discovery of a new location and 229 absence points, which were added to the dataset for modeling iterations in 2019. Predicted habitat maps from the 2019 modeling iteration were used to select sampling sites for field validation in 2020. Absence points were overlaid on the prediction map, and predicted suitable sites in close proximity to known occurrences (i.e., adaptive cluster sampling) were selected for field validation. This resulted in the discovery of four new locations, which increased the spatial points to 31 occurrences and 2289 absence points (Table 1), which were used for the most recent modeling iteration.

In all final modeling iterations, replicates of all single models with $TSS \geq 0.7$ were averaged into an ensemble model (Araújo & New, 2007; Marmion, Parviainen, Luoto, Heikkinen, & Thuiller, 2009; Thuiller et al., 2009), which was also evaluated using TSS and AUC. The ensemble model with the highest TSS was used to generate maps of projected habitat suitability that were used for the model-guided field sampling. On the predicted habitat maps, areas with a ≥ 0.5 probability of occurrence were considered suitable, based on the recommendation for fitting niche models for species with few occurrence points (Araújo & Peterson, 2012). All model-guided field surveys

were conducted between May and June of each year when the plants are in flower to ensure optimal detection.

We used a different niche modeling approach to assess overfitting in the iterative models where overfitting is indicated by a large difference between observed accuracies for a fitted model using the training data set, and accuracies for models developed using spatially independent test data. The same spatial dataset used for the iterative niche modeling each year were partitioned into three spatial blocks, two of which were used for training while the third block was used for testing. Blocking is a nonrandom allocation of spatial data to reduce the effect of spatial bias and autocorrelation in the resulting models (Valavi, Elith, Lahoz-Monfort, & Guillera-Arroita, 2019). In this study, we partitioned the entire study area into six latitudinal and longitudinal bins, which were then clustered into three spatial blocks. Due to the data requirements of different algorithms, we limited the niche modeling to random forest, maximum Entropy, and Artificial Neural Networks. Overfitting was assessed as a difference between the training and test AUC (Warren & Siefert, 2011).

Assessment of niche model performance

Multi-metric model comparison is recommended for evaluating models generated from different algorithms, because each metric assesses a different aspect of model performance (Elith and Graham 2009, Escobar et al. 2018). In order to produce model predictions with maximal accuracy and minimal omission errors, true statistic skill (TSS; Allouche et al. 2006), area under the curve (AUC) of the receiver operating characteristics (ROC) plot (Hanley and McNeil 1982), specificity and Continuous Boyce

index (CBI; Boyce et al. 2002) were used to evaluate the performance of the fitted niche models.

TSS is computed as $TSS = sensitivity + specificity - 1$, thus considering both commission and omission errors, and accuracy (Allouche et al. 2006). The main strength of TSS is that it is not sensitive to species prevalence (Allouche et al. 2006, Beaumont et al. 2016). Specificity measures the probability of a model correctly classifying an absence point (Allouche et al. 2006). However, both TSS and specificity are threshold-based metrics, using a default or defined threshold to predict the suitability of a grid based on the confusion matrix, while AUC is a threshold-independent metric. Sensitivity values are plotted against specificity values to produce the ROC plot, from which the AUC score is obtained (Fielding and Bell, 1997), therefore it could be interpreted as the average sensitivity across all values of specificity, or vice versa (Liu et al. 2011). Experts have cautioned that AUC weights commission and omission errors equally, and it is sensitive to overfitting when the test data is not independent (Lobo et al. 2008, Warren and Seifert 2011). In spite of this, AUC is the most commonly used model performance metric (Lobo et al. 2008). The Continuous Boyce Index (CBI) is the Spearman rank correlation between model predictions and the occurrence points used in the modeling (Boyce et al. 2002, Hirzel et al. 2006). It was developed originally for assessing the accuracy of presence-only models, but can be used for all niche modeling frameworks (Breiner et al. 2015).

Assessment of differences among iterative niche models

Model performance was assessed for each year of iterative niche modeling. Generalized Linear mixed effect models (GLMM) were used to account for the random effect of years of iterative modeling on the difference in predictive performance of each of the six algorithms, using the scores of the performance metrics. In the GLMM, values of the performance metric (response variable) were regressed against the six algorithms, while the years of iterative modeling was included as a random effect.

Furthermore, niche overlap analysis was performed on the ensemble predictions of habitat suitability for each modeling iteration, using the *I* similarity metric, which is based on the Hellinger distance (Warren, Glor, & Turelli, 2008). Niche overlap analysis is a cell-by-cell comparison of geographical predictions of occurrence and randomization tests to quantify niche differences and assess their statistical significance (Warren, Glor, & Turelli, 2008). The similarity metric of the niche overlap analysis, as implemented in the *nicheOverlap* function of the *dismo* R package, ranges from 0 to 1 representing the degree of similarity between two niche model projections (Warren, Glor, & Turelli, 2008; Hijmans, Phillips, Leathwick, & Elith, 2017). Additionally, the number of predicted suitable raster cells (≥ 0.5 probability of occurrence) was counted.

Additionally, we conducted principal component analysis (PCA) to assess changes in the ecological niche of *I. webberi* due to the novel locations and additional absence points. This complements the niche overlap analysis and corrects for a possible overestimation of the similarities among the predicted niches (Broennimann, Fitzpatrick, Pearman, Petitpierre, Pellissier, Yoccoz, ..., Guisan, 2012). PCA was implemented in *FactoMineR* R package (Lê, Josse, & Husson, 2008), which uses a singular value

decomposition method that examines covariances and correlations among individuals (Wall, Rechtsteiner, & Rocha, 2003). We conducted PCA using the six uncorrelated predictor variables and *I. webberi* occurrence data for the 2015 iterative modeling and all new presence points derived as from the 2020 spatial data. The PCA bivariate plot of the first two PCA axes included two convex hulls representing original and new presence points. In addition, we also plotted boxplots of the six environmental predictors between the original *I. webberi* points and the new locations discovered during the model-guided surveys.

RESULTS

Does iterative niche modeling improve our understanding of species-environment relationships for *I. webberi*?

The iterative niche modeling and model-guided field surveys resulted in the discovery of seven new locations of *I. webberi* and also expanded the northern distribution range by 65 km. The positions of the new occurrence points on the PCA bivariate plot in relation to the original presence points show that the new locations filled the realized niche space and slightly expanded the climatic breadth for the *I. webberi* ecological niche (Fig. 2). The first two axes together explained 49% of the variation in the data. Axis 1 represents climatic and topographic gradients, while axis 2 represents gradients in the available energy and cosine aspect (Table 3). The boxplots show that some of the novel locations expanded the range for actual evapotranspiration, summer precipitation, and monthly minimum temperature and (Fig. 3a, d, f, respectively), but the

sites were within the range of the original occurrence for the remaining three predictors (Fig. 3b, c, and e).

The variable importance plots showed that the perennial herbaceous layer and topographic position index (TPI) consistently contributed the most to *I. webberi* niche across all niche modeling iterations (Fig. 4a-d). AET, indicating the available energy, was the third predictor in the 2015 niche model iteration (Fig. 4a), cosine aspect layer, illustrating exposure to sunlight, came third in both the 2018 and 2019 modeling iterations (Fig. 4c-d), while mean summer precipitation was the third predictor for the 2020 niche modeling (Fig. 4d).

The response plots show that the probability of *I. webberi* occurrence was optimized between 25- and 33-mm summer precipitation, sites with $\geq 20\%$ native perennial herbaceous occurrence, and topographic positions that are either gentle lateral valleys or gentle lateral ridges, but never in flat areas (Fig. 5).

To what extent did additional presence and absence data alter the habitat suitability map projections?

Niche overlap analysis showed a slight but gradual dissimilarity among the ensemble habitat suitability map projections across the modeling iterations (Fig. 6a-d; Table 4). Furthermore, the percentage of the ensemble predicted suitable raster cells (using 0.5 threshold) decreased from 5.98% in 2015, to 3.10% in 2018, 3.06% in 2019, but slightly increased to 3.34% in 2020. Together, both results indicate that additional presence and absence points slightly altered the geographic projections of the iterative niche modeling.

Does iterative modeling improve the niche of *Ivesia webberi*?

Both TSS and AUC scores decreased from 2015 to 2019 iterative niche models, and slightly increased in the final niche model iteration (Fig. 7a and b). Tukey post-hoc comparison test on the MMLR analysis for both the TSS and AUC values showed that 2015 niche models were significantly different from 2019 and 2020 modeling iterations ($p < 0.05$; Table 5). The 2018 iterative models were also significantly different from 2019 ($p < 0.05$; Table 5). Furthermore, CBI values decreased between 2015 and 2018 niche models, but slightly increased in 2019 and 2020 iterations (Fig. 7c). Specificity values increased consistently with years of iterative modeling (Fig. 7d). However, Tukey post-hoc comparison test on the MMLR of both CBI and specificity metrics were not significantly different (Table 5). The difference in the training and test AUC values from the niche modeling with spatial blocks shows overfitting in both the 2015 and 2019 iterative niche models, while the 2018 and 2020 iterative niche models did not exhibit overfitting (Table 6).

How did the performance of modeling algorithms change across iterative niche models?

Across all four model performance metrics used, RF and GLM had the best and worst performance respectively across the years of iterative modeling (Fig. 8a-d), but the difference was not greater than expected by chance (MLM; $p > 0.05$). However, when accounting for the effect of years of iterative modeling, Tukey post-hoc test on the GLMM analysis showed that TSS values from GLM niche models were significantly lower than for GBM (adjusted $p < 0.01$), RF (adjusted $p = 0.01$), and MAXENT models

(adjusted $p=0.03$). Similar results were obtained using AUC values showing that AUC values for GLM models was significantly lower than GBM (adjusted $p=0.01$) and RF models (adjusted $p=0.01$).

For CBI, while accounting for the year effect using GLMM, MAXENT was significantly different ($p=0.03$), but the difference was lost in the Tukey post-hoc correction. For specificity, RF and ANN had the highest and lowest specificity respectively. Tukey post-hoc test on the multivariate multiple regression showed that ANN niche models were significantly lower to GAM (adjusted $p=0.04$), GBM (adjusted $p=0.01$), GLM (adjusted $p=0.01$), and RF (adjusted $p=0.01$). Similar results were obtained in GLMM where the effect of iterative modeling was accounted for: ANN was significantly lower than the remaining algorithms - GAM (adjusted $p=0.01$), GBM (adjusted $p=0.01$), GLM (adjusted $p=0.01$), MAXENT (adjusted $p=0.03$), and RF (adjusted $p=0.01$).

Did ensemble modeling perform better than single algorithms?

Based on TSS and AUC, the default BIOMOD metrics for ensemble models, ensemble models performed better than all single models (Fig. 9a-b), however this difference was only significant between ensemble and GLM niche models from the Tukey post-hoc test on a linear regression (adjusted $p=0.01$) on the TSS metric, but not for the AUC metric. While accounting for the year effect, Tukey post-hoc test on the GLMM showed significant difference between ensemble models and ANN (adjusted $p=0.02$), and GLM (adjusted $p=0.01$) for the TSS metric, and only GLM (adjusted $p=0.01$) for the AUC metric.

DISCUSSION

Strengths and weaknesses of the iterative modeling approach

The discovery of seven novel localities and an overall expansion of *Ivesia webberi*'s known geographical range underscores the importance of iterative niche modeling to guide field surveys, identify biological relevant ecological factors that support species persistence, and accurately map their geographical distributions. Previous studies have reported the discovery of new localities from niche model guided field surveys (e.g., Aitken, Roberts, & Schultz, 2007; Williams, Seo, Thorne, Nelson, Erwin, O'Brien, & Schwartz, 2009; de Siqueira, Durigan, de Marco Jr., & Peterson, 2009; Newbold, Reader, El-Gabbas, Berg, Shohdi, Zalat, ... Gilbert, 2010; Rebelo & Jones, 2010; Le Lay, Engler, Franc, & Guisan, 2010; Menon, Choudhury, Khan, & Peterson, 2010; Särkinen, Gonzáles, & Knapp, 2013; Groff, Marks, & Hayes, 2014; McCune, 2016; Deb, Jamir, & Kikon, 2017; Burns et al., 2020). This study and other similar studies demonstrate that the discovery of new locations can result in an expansion of the known distribution range of the study species and lead to increased understanding of the niche and species-environment relationships (Rinnhofer et al., 2012; Young Fairchild, Belcher, Evangelista, Verdone, & Stohlgren, 2019; Chiffard et al., 2020). Iterative ecological modeling is not limited to niche models, but has also been recommended for accurate forecasting of plant response to climate change (White, Yenni, Taylor, Christensen, Bledsoe, Simonis, & Ernest, 2018).

When occurrence points are few, the chances of model prediction errors are high because of an inflation of the influence of spatial bias and high risk of violating

stationarity assumptions in the niche models (Wisz et al., 2008; Lobo & Tognelli, 2011; Galante, Alade, Muscarella, Jansa, Goodman, & Anderson, 2018). Moreover, the ability of models to reliably identify relevant drivers of a species distribution is reduced (Wisz et al., 2008; Galante et al. 2018). Therefore, commission errors do not necessarily mean a failure of the model to characterize suitability accurately, but may highlight potential suitable but unsampled sites or an incomplete model due to the absence of important variables (Araújo & Peterson, 2012). Therefore, when modeling the niches of rare species with few occurrences, locations of commission errors should be considered potential suitable habitats which can be validated through an adaptive sampling strategy.

Novel locations of a species have both conservation and ecological significance. In this study, we observed slight dissimilarities among the geographic projections of the iterative niche models for *I. webberi*, which highlights the role of additional spatial data in the model predictions. However, niche overlap metrics do not indicate the directionality or type of difference in the niche, and do not provide a quantitative indication of the position and breadth of the niches, thus, they cannot tell us if the modeled niches have expanded, shrunk or remained the same (Broennimann et al., 2012). Both the boxplots and the PCA bivariate plot show that the novel presence points expanded the climatic breadth of *I. webberi* ecological niche. Previous studies have reported similar ecological significance of additional occurrence in niche modeling and predictions (Rinnhofer et al., 2012; Chiffard et al., 2020). Overall, the niche model projections are congruent with field observations in that *I. webberi* is spatially distributed in climatically suitable sites, on gentle slopes, dominated by mixed perennial herbaceous and shrub vegetation and gravely bare ground (see Figures S2-14).

Conversely, absence points can have significant effects on model predictions. In this study, we observed decreasing performance in the iterative niche models which can be attributed to an increase in the number of absence points. Absence points in niche models can represent sites with unsuitable climatic conditions, abiotically suitable sites but the species cannot naturally reach due to limited dispersal or the species is competitive excluded, and sites where the species was present but not detected (Lobo, Jiménez-Valverde, & Hortal, 2010). Sites that are environmentally unsuitable for a species represent true absence while the other two absence types can introduce noise into bioclimatic envelopes and reduce the reliability of potential niche predictions (Lobo, 2008; Lauzeral, Grenouillet, & Brosse, 2012). Different algorithms have varying statistical artefacts to deal with noisy absences, inevitably resulting in uncertainty in the prediction of spatial distributions (Lauzeral, Grenouillet, & Brosse, 2012). Therefore, absence points generated from field sampling of locations of commission errors should be treated with caution because their inclusion in iterative niche models can result in the underprediction of the potential niche. Conversely, additional absence points resulting from iterative niche modeling will reduce the absence ratio and increase specificity in the model predictions. This trend was observed in our study and has also been observed in previous studies (Chiffard et al., 2020).

A common limitation of ecological niche modeling for rare species is the lack of true absence points; therefore, many modelers are restricted to generating and using pseudo-absence points (Barbet-Massin, Jiguet, Albert, & Thuiller, 2012). However, niche models that used true absence points performed better than those that used pseudo-absence points (Elith et al. 2006, Elith et al. 2011, Drake 2014). However, many state and

federal conservation agencies in the United States have repositories of historical vegetative sampling that can help overcome the lack of true absence points for niche modeling. We recommend the development of open-source flora databases from these site-specific plant surveys which can be used to generate true absence points for ecological niche modeling.

Considerations regarding the choice of predictor variables

The perennial herbaceous layer, which is a spatial distribution of native grasses, forbs and cacti (Wickham et al, 2014), consistently contributed the most to all niche models. This may be partly due to that fact that biotic variables help reduce commission errors by predicting suitability only in areas with favorable abiotic and biotic conditions (de Araújo et al. 2014), which can only be captured in the fine-scale modeling used in this study (Heikkinen et al. 2007a, Muñoz-Mas et al. 2017). The niche models showed that *I. webberi* are located in sites with moderate to high perennial herb cover, which may reflect the abundance and coexistence of *I. webberi* and native shrubs such as *A. arbuscula* observed in known sites. The significant contribution of the perennial herb layer to *I. webberi* niche models validates previous studies that showed the importance of biotic interactions in niche models (e.g., Araújo and Luoto 2007, Heikkinen et al. 2007b, Meier et al. 2010, Bateman et al. 2012). It is widely believed that climatic factors influence species distributions at coarse scale, and the effect of biotic interactions are more appropriately captured at smaller spatial resolutions (Whittaker et al. 2001, Pearson and Dawson 2003, McGill 2010, Ashcroft et al. 2011, but see Meier et al. 2010, Bateman

et al. 2012, de Araújo et al. 2014), a concept formally described as the Eltonian noise hypothesis (Soberón and Nakamura 2009).

In this study, we incorporated biotic interactions in five ways: a) modeling at fine scale (30 m) to capture the floristic heterogeneity, since some of *I. webberi* locations are in forest gaps, b) inclusion of forest cover to illustrate competitive biotic interaction since *I. webberi* does not grow under the trees, c) inclusion of remotely sensed modified soil-adjusted vegetation index (MSAVI) and the normalized difference vegetation index (NDVI), d) inclusion of *Artemisia arbuscula* spatial distribution, which is recorded in all *I. webberi* sites, and e) inclusion of layers of spatial distribution of annual and perennial herbs in the Great Basin Desert (Wickham et al. 2014). However, all predictor variables representing biotic interactions, except the perennial herbaceous layer, were excluded following the three variable reduction processes. Additionally, preliminary modeling efforts (not reported here) that included layers of spatial distributions of *Artemisia arbuscula* and other native Great Basin Desert shrubs, suggesting facilitative biotic interaction, did not improve niche models. This is possibly due to the fact that *I. webberi* occupies a small portion of the distribution range of these native shrubs, which suggests a lack of true ecological fidelity between *I. webberi* and *A. arbuscula*. Previous studies have also included biotic interactions (competition, facilitation and resource availability) as spatial distribution layers of other species (e.g., Meier et al. 2010, Linder et al. 2012, Blois et al. 2013, Pellissier et al. 2013, de Araújo et al. 2014, Anderson 2016), co-occurrence matrix of vegetation types (e.g., Pellissier et al. 2010, Kissling et al. 2012, González-Salazar et al. 2013, Pollock et al. 2014), species' functional traits (e.g., Kraft et al. 2008, Morales-Castilla et al. 2015), remotely sensed vegetation indices and image

texture which are proxies for species richness, plant productivity and habitat physiognomy (Oindo and Skidmore 2002, Zimmerman et al. 2007, Buermann et al. 2008), and mechanistic niche models (Peterson and Soberón 2005).

Experts have discouraged the use of topographical variables for niche modeling because such variables have no direct relationship with species, but are proxies of climatic variables and hydrological processes (Austin 2007). However, the Great Basin Desert and the adjacent Sierra Nevada Range are home to relatively young neo-endemic plant taxa, and the origin and spatial endemism of these young endemics is attributed to several environmental factors including topographic heterogeneity (Kraft et al. 2010, Thornhill et al. 2017). Furthermore, topographic variables have been reported as an important driver of species diversity in plants, amphibians, birds and mammals (O'Brien et al. 2000, Hortal et al. 2009, Albuquerque et al. 2019). Therefore, topographic variables are more than just micro-climate proxies for *I. webberi*, but they may also delineate the slope positions of the sites where the species may occur. Furthermore, species were reported to change their topographic positions due to variations in climatic conditions at fine scales (Guisan and Zimmerman 2000), which may explain why *I. webberi* was fitted in niche models to occur in either gentle lateral slopes or ridges. This validates field reports that have observed that known populations of *I. webberi* occur in mid-elevation, gentle slope areas (Witham 2000, USFWS 2014). Previous studies have also acknowledged the contribution of topographic variables in species distribution modeling for plant species (e.g., Guisan et al. 1999, Chardon et al. 2014, Kübler et al. 2016).

In this study, summer precipitation was the third important predictor for the 2020 niche modeling iteration, replacing AET and cosine aspect in the previous modeling iterations. *I. webberi* seeds are not adapted for long distance dispersal common with wind-dispersed seeds, but gravity-assisted seed dispersal by surface run-off resulting in the colonization of empty microsites and decolonized roads and trails have been observed. Therefore, summer precipitation may play an important role in the *I. webberi* climatic niche and seed dispersal. Moreover, summer precipitation represents rainfall seasonality that has been linked with annual grass dominance in the Mediterranean region (Clary 2008). Chen et al. (2019) also reported that increase in summer precipitation facilitates seed dormancy for spring germinating annual plant species in a cold desert. Water, sourced mainly from precipitation, is the principal limiting factor for plant physiology and growth in the Great Basin Desert (Donovan and Ehleringer 1994). Not only do climatic variables have an impact on species distributions (Bellard et al. 2012, Araújo and Rozenfeld 2014), they also influence the phenology of winter and spring annuals and perennials in the Great Basin Desert. For example, the timing of *I. webberi* regeneration from seeds and vegetative caudices is determined by increase in soil temperature which results in gradual snow melt and availability of soil moisture (Witham 2000, USFWS 2014).

Comparison of modeling algorithms

Rare species are given conservation priority and ecological niche models can inform the management of such imperiled species. Therefore, it is important to use modeling algorithms that are less sensitive to prevalence and biased occurrence records,

while also having high predictive ability. In this study, machine learning algorithms, especially random forests and boosted regression trees, performed excellently in fitting the niche model of *Ivesia webberi*. This result is congruent with literature and demonstrates the statistical ability of machine learning algorithms in fitting niche models of species with fewer occurrences (Marmion et al. 2009, Mi et al. 2017). Moreover, the spatial distribution of co-occurring species, that is, biotic variables, is often influenced by abiotic variables (Anderson 2013); therefore, abiotic variables used for niche modeling may have incorporated the non-independent effects of biotic interactors (Costa et al. 2010, Brewer and Gaston 2003, Soberón and Nakamura 2009). Machine learning algorithms were reported to be ideal for modeling the non-independent effects of biotic interactors (Elith and Graham 2009, Latimer et al. 2009, Anderson 2016).

Conversely, GLM had the poorest model performance based on TSS and AUC, while ANN and MAXENT models performed poorly under the continuous Boyce index and specificity respectively. This is congruent with previous studies where RF was reported to have had the highest model performance while GLMs had the lowest, especially when an independent test data was not used (Oppel et al. 2012, Guo, Lek, Ye, Li, Liu, & Li 2015). Previous studies have shown that GLMs are sensitive to prevalence in the dataset (Fielding and Bell 1997), but produce a more reliable spatial interpolation (Iturbide, Bedia, & Gutiérrez 2018).

However, fitting weighted mean ensemble models using the models with high predictive performance from the six single algorithms produced the best overall model performance. The superior model performance of ensemble models over single

algorithms is well established in literature, and demonstrates their ability to reduce prediction errors (Marmion et al. 2009, Li and Wang 2013, Guo et al. 2015).

Conclusion and future directions

Occurrence points for rare species are often incomplete and variable selection for niche modeling is commonly based on expert knowledge rather than from empirical studies; therefore, predictions and transferability of such niche models can be suspect. In this study, we explored the efficacy of two main approaches for addressing the challenges associated with niche modeling for rare species: ensemble modeling, and iterative niche modeling. Ensemble modeling helps to reduce prediction error that can arise from using incomplete and biased occurrence data for rare species, while iterative niche modeling and model-guided field surveys can reduce the spatial bias, allow for model fine tuning that can improve model performance, and increase the chances of detecting novel species locations that can either fill the realized niche space or expand species niche breadth and geographical distribution range. These two approaches increased the scientific understanding of species-environment relationships for *I. webberi*. Furthermore, our work also highlights the value of incorporating biotic predictor variables in ecological niche modeling. Future directions in this study should intensify model-guided surveys to unsampled areas, especially through collaborative efforts with citizen scientists, and the resulting spatial data should be used for further iterative niche modeling.

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TABLES**Table 1.** Iterative niche modeling across five years with increasing number of presence and absence points for *Ivesia webberi*

Year	Presence points	Raw absence points	Thinned absence	Predictor variables used for final modeling
2015	21	758	53	Perennial herbaceous, topographic position index (TPI), and annual evapotranspiration
2018	26	1652	90	Perennial herbaceous, TPI, and cosine aspect
2019	27	1881	75	Layers of perennial herbaceous, TPI, and cosine aspect
2020	31	2289	102	Perennial herbaceous, TPI, and annual precipitation

Table 2. The six uncorrelated predictor variables used to fit preliminary niche models for *Ivesia webberi*. The three predictor variables used for the iterative niche models were selected from this pool. All predictors were downscaled from 4 km to 30 m resolution

Predictor variable	Relationship with species
Actual evapotranspiration (AET)	An estimate of the amount of water removed from an area by both evaporation and transpiration. AET, a direct predictor, is a proxy estimate of plant productivity.
Cosine aspect	Higher values indicate more north-facing slope aspects, which receive less sunlight.
Perennial herbaceous vegetative cover	A spatial vegetative cover delineation representing native grasses, perennial forbs, and cacti, which includes areas of <i>I. webberi</i> distribution. We considered this as a representation of biotic interactions covering the coexistence of all native forbs in <i>I. webberi</i> communities.
Minimum annual temperature	A direct predictor that potentially influences plant distribution (Araújo and Rozenfeld 2014). Vegetative and seed regeneration of <i>I. webberi</i> are dependent on cold stratification that characterizes late winter and early spring seasons.
Summer precipitation	A direct predictor that potentially influences plant distribution. Summer precipitation causes surface runoffs which facilitate localized gravity-enhanced seed dispersal and colonization of empty niches.

Topographic position index (TPI)	A scale-dependent variable describing the elevation of a cell in relation to the mean elevation of the neighboring cells. At the scale of 333 m, TPI distinguishes between mountains and valleys in the study area. The study area is characterised by topographic heterogeneity which can limit dispersal and distribution, and also act as a proxy for climatic variables.
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Table 3. The relationship between the environmental variables and the first two axes of the principal component analysis based on *Ivesia webberi* presence and true absence points. Values represent correlation between the environmental variables and PC axes

Environmental variables	PC1 (28.7%)	PC2 (20.3%)
Actual evapotranspiration	-0.154	-0.607
Cosine aspect	0.159	0.791
Perennial herbaceous layer	0.015	0.436
Mean summer precipitation	0.868	-0.122
Minimum annual temperature	-0.742	0.121
Topographic position index	0.605	-0.051

Table 4. Similarity measure among the ensemble-projected habitat suitability from iterative niche modeling. Similarity was assessed using the niche overlap function in *dismo* R package

Iterative models	2015	2018	2019	2020
2015	1			
2018	0.946	1		
2019	0.927	0.989	1	
2020	0.894	0.882	0.845	1

Table 5. Adjusted p values from the pairwise Tukey post-hoc test on the multivariate multiple linear regression on four performance metrics of the niche model iterations from 2015 to 2020 for *Ivesia webberi*. P values < 0.05 are in bold text

Pairwise comparisons	TSS	AUC	Boyce Index	Specificity
2015: 2018	0.19	0.25	0.12	0.90
2015: 2019	0.01	0.01	0.53	0.99
2015: 2020	0.01	0.02	0.66	0.88
2018: 2019	0.01	0.01	0.82	0.74
2018: 2020	0.13	0.47	0.70	0.99
2019: 2020	0.67	0.25	0.99	0.72

Table 6. AUC values for the iterative niche models from a separate niche modeling using blocking to partition spatial data into training and test data. Block AUC and test AUC represent average values of the model replicates from random forest, artificial neural networks and maximum entropy

Iterative model	Block AUC	Test AUC	Difference AUC
2015	0.81	0.47	0.34
2018	0.63	0.59	0.04
2019	0.66	0.46	0.20
2020	0.47	0.52	-0.05

FIGURE LEGENDS

Figure 1. A diagram illustrating the iterative niche modeling framework for fitting *Ivesia webberi* ecological niche

Figure 2. PCA biplot of the environmental predictors that influence the *Ivesia webberi* niche in the western Great Basin Desert. 2015 represents the initial spatial data used for the first niche model iteration, while 2020 represents all new spatial data added to the iterative niche models from 2016-2020. Both “a” and “p” represent absence and presence points respectively

Figure 3a-f. Box plots representing environmental conditions in the original occurrence points compared to those in the novel locations. The environmental conditions represent the six uncorrelated predictor variables, including (a) actual evapotranspiration, (b) cosine aspect, (c) perennial herbaceous cover, (d) summer mean precipitation, (e) topographic position index, and (f) minimum monthly temperature

Figure 4. Variable contributions to the iterative niche modeling for *Ivesia webberi* from a) 2015 to d) 2020. The three predictors used for each year of iterative modeling were selected from the preliminary modeling. Herb represents the perennial herb layer, TPI stands for topographic position index at 333 m, AET stands for cumulative actual evapotranspiration, aspect represents cosine aspect, while precip stands for summer mean precipitation

Figure 5. Response plots showing the predicted probability of *Ivesia webberi* occurrence in a) topographic position index, b) annual precipitation, and c) perennial herb spatial distribution layer. The response plots were generated using the Random forest models

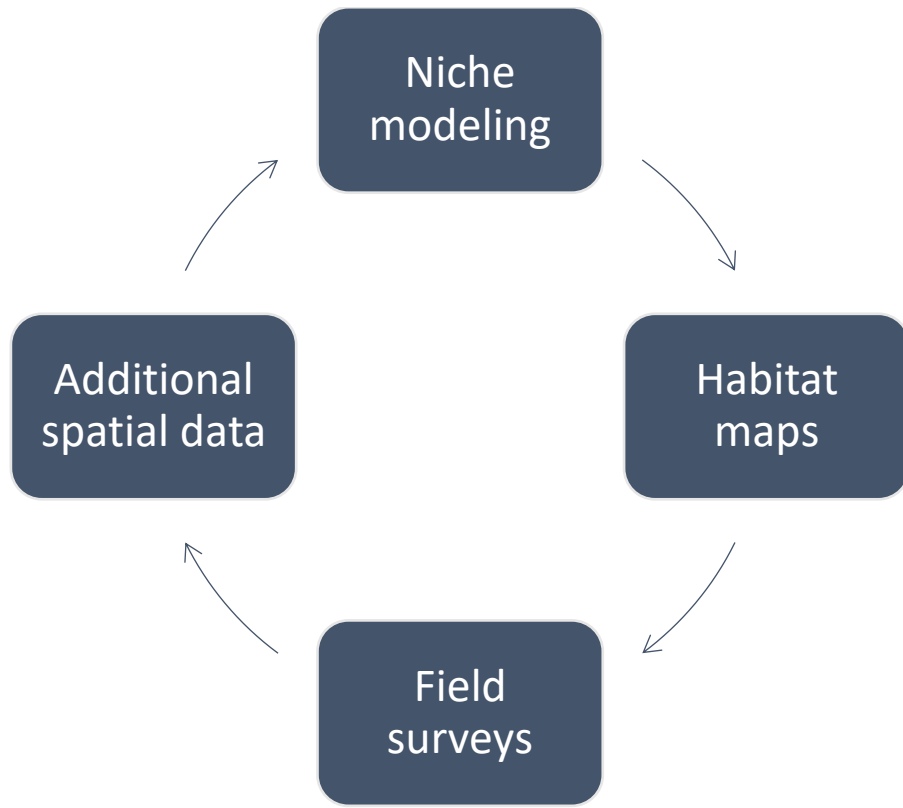
Figure 6a-d. Maps of predicted suitable areas and geographical distribution of *Ivesia webberi* in the western Great Basin Desert, with occurrence points overlay. Red colored pixels represent areas of predicted high probability of *I. webberi* occurrence, yellow pixels represent intermediate probability of species occurrence, while blue pixels are predicted areas of zero to low probability of occurrence. The occurrence points in green are the original *I. webberi* occurrence points used for niche modeling, while yellow colored occurrence points represent the novel population

Figure 7. Values for the a) area under curve (AUC) of the receiver operating characteristic (ROC) plot, b) continuous Boyce index (CBI), c) specificity, and d) true statistic skill (TSS) metrics across the years of iterative niche modeling (shown in x axes). Niche models for 2015 and 2018 were the highest and lowest respectively

Figure 8. Model performance of the six algorithms based on the a) area under curve (AUC) of the receiver operating characteristic (ROC) plot, b) continuous Boyce index (CBI), c) specificity, and d) true statistic skill (TSS), after accounting for the effect of years of iterative modeling

Figure 9. Comparative model performance between ensemble and single algorithm-based niche models for *Ivesia webberi*, after accounting for the effect of years of iterative modeling in a) TSS, and b) AUC metrics

FIGURES

**Figure 1.**

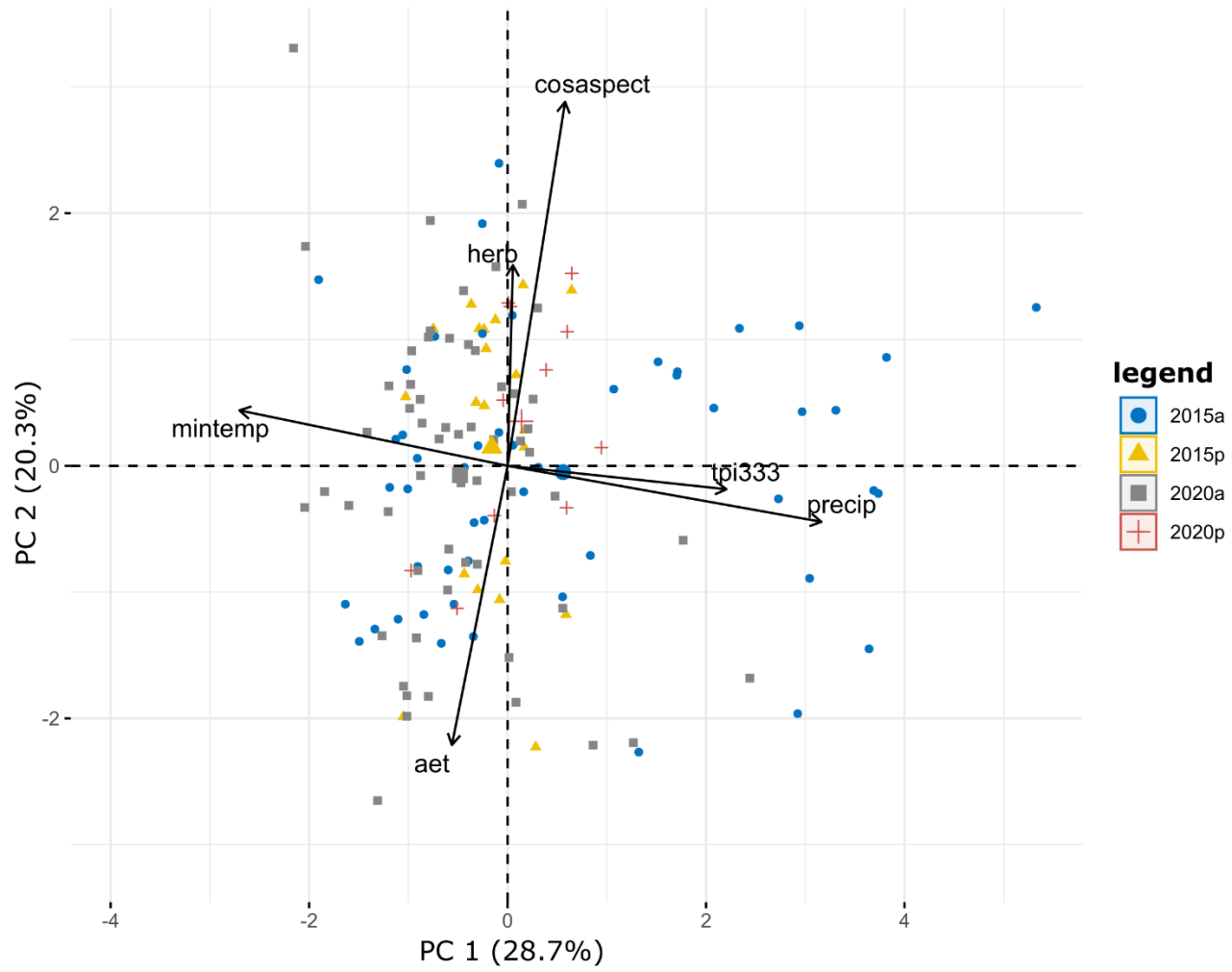


Figure 2.

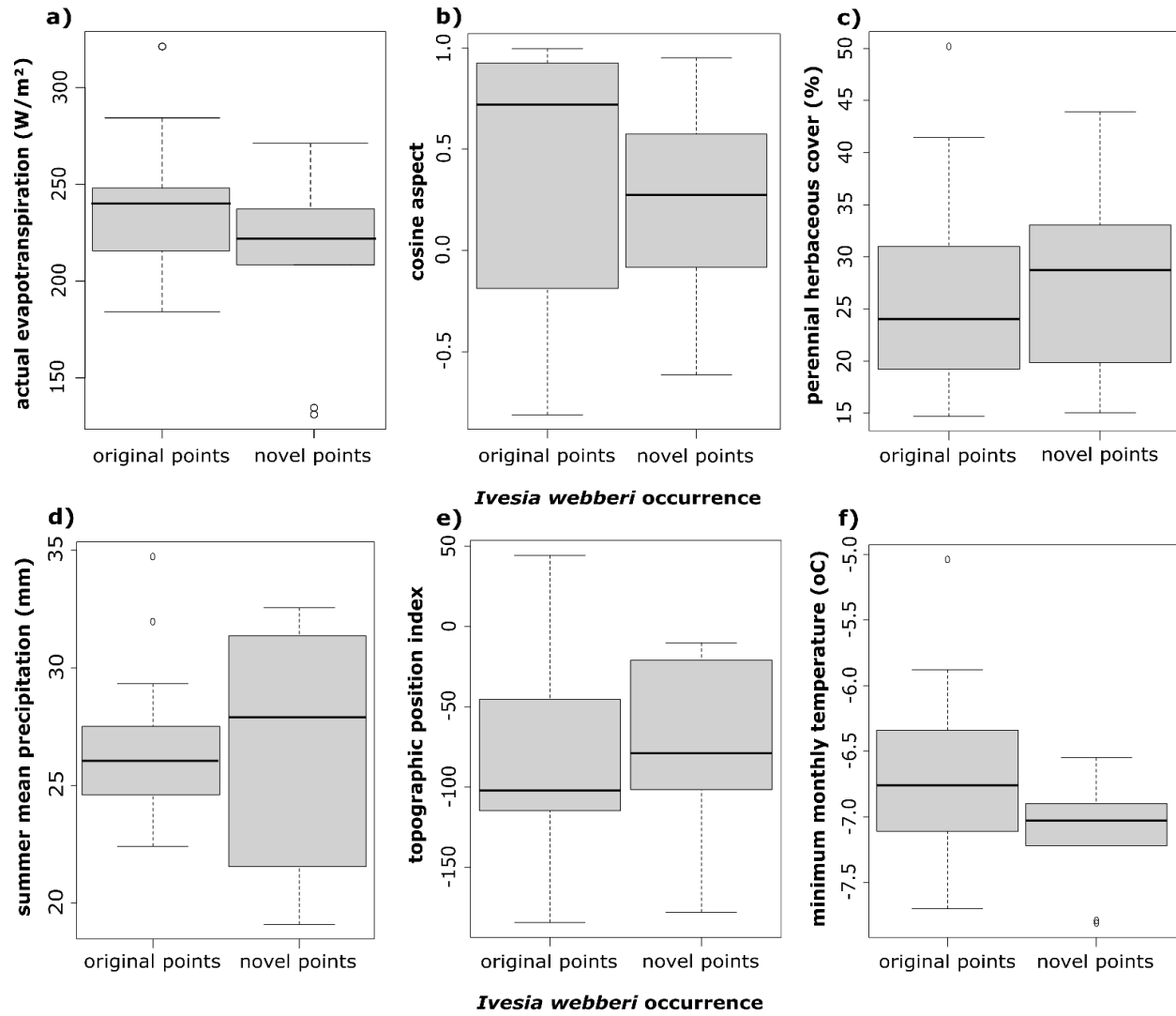


Figure 3.

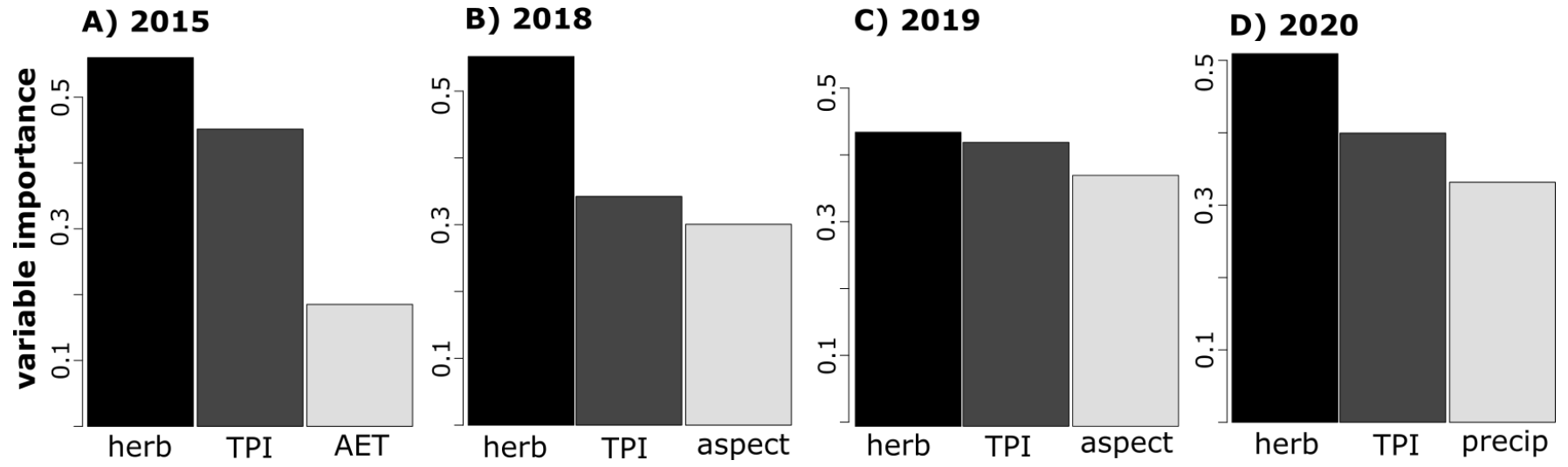


Figure 4.

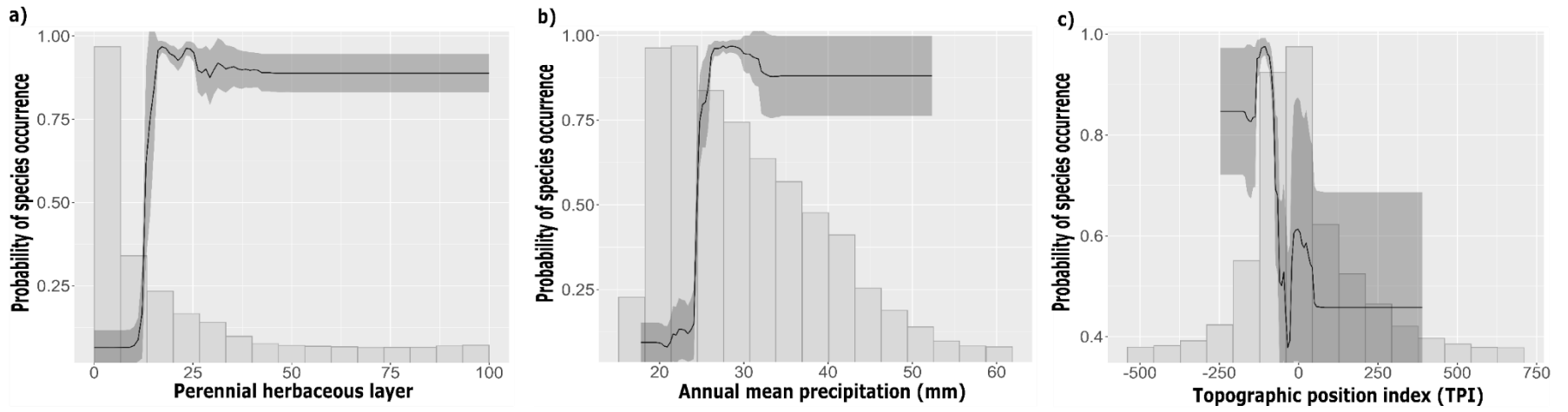


Figure 5.

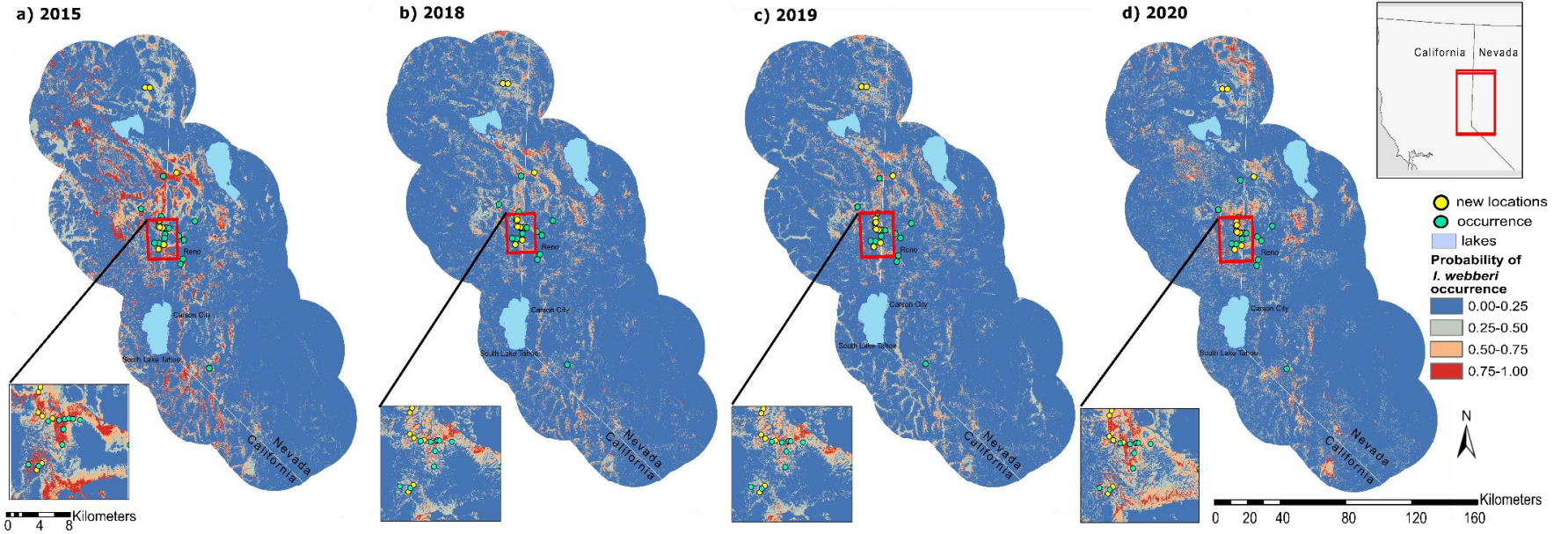


Figure 6a-d.

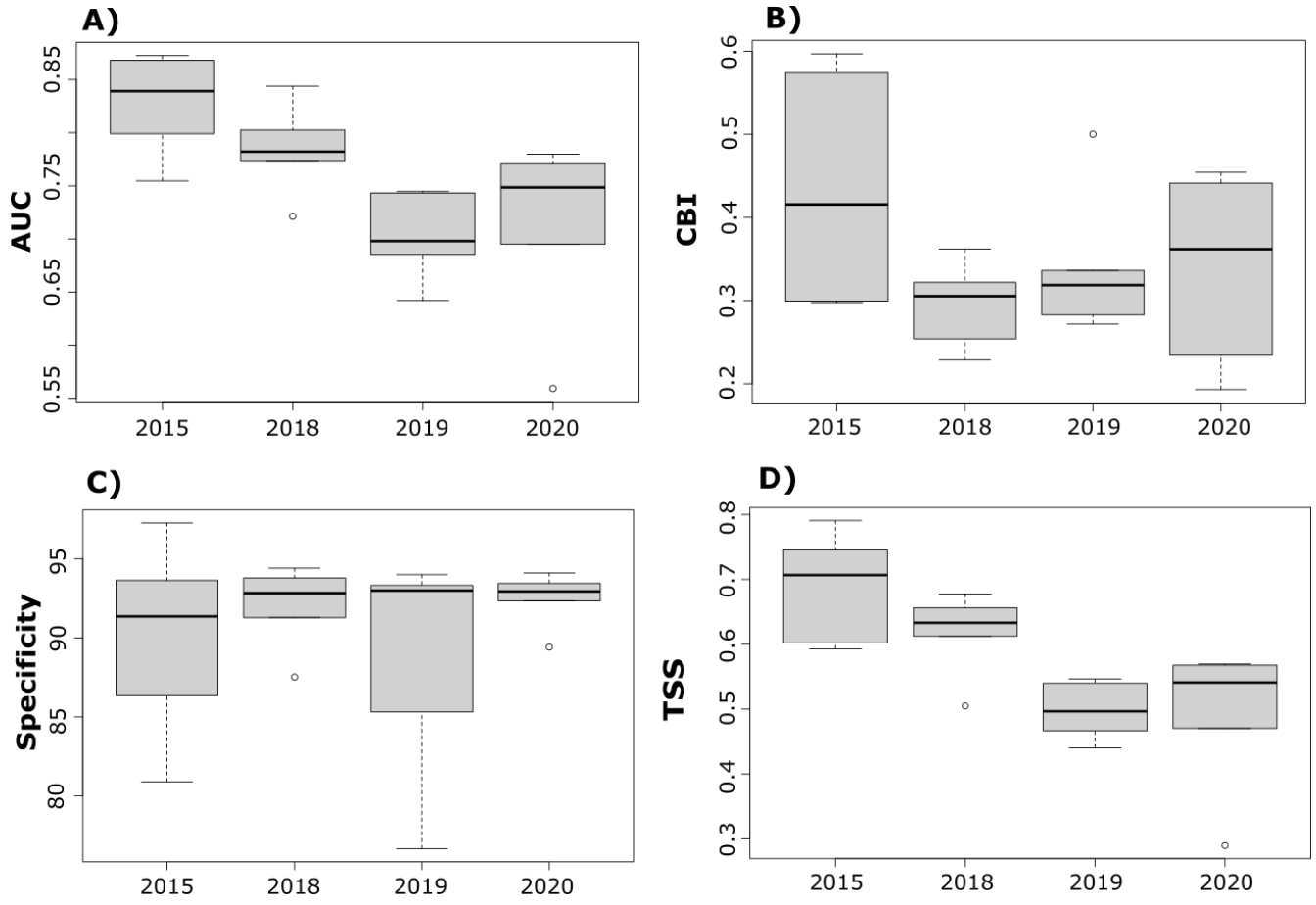


Figure 7.

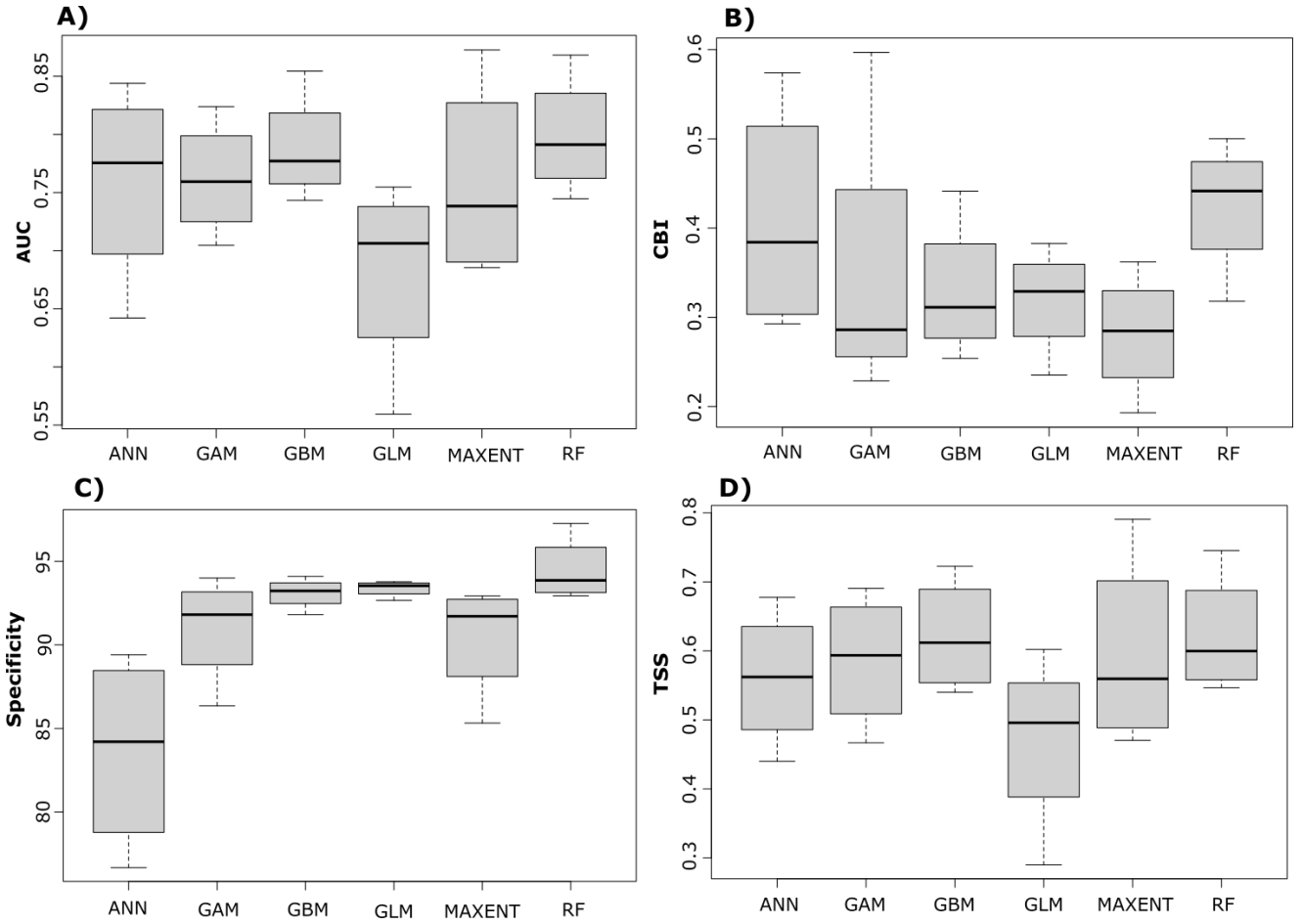


Figure 8.

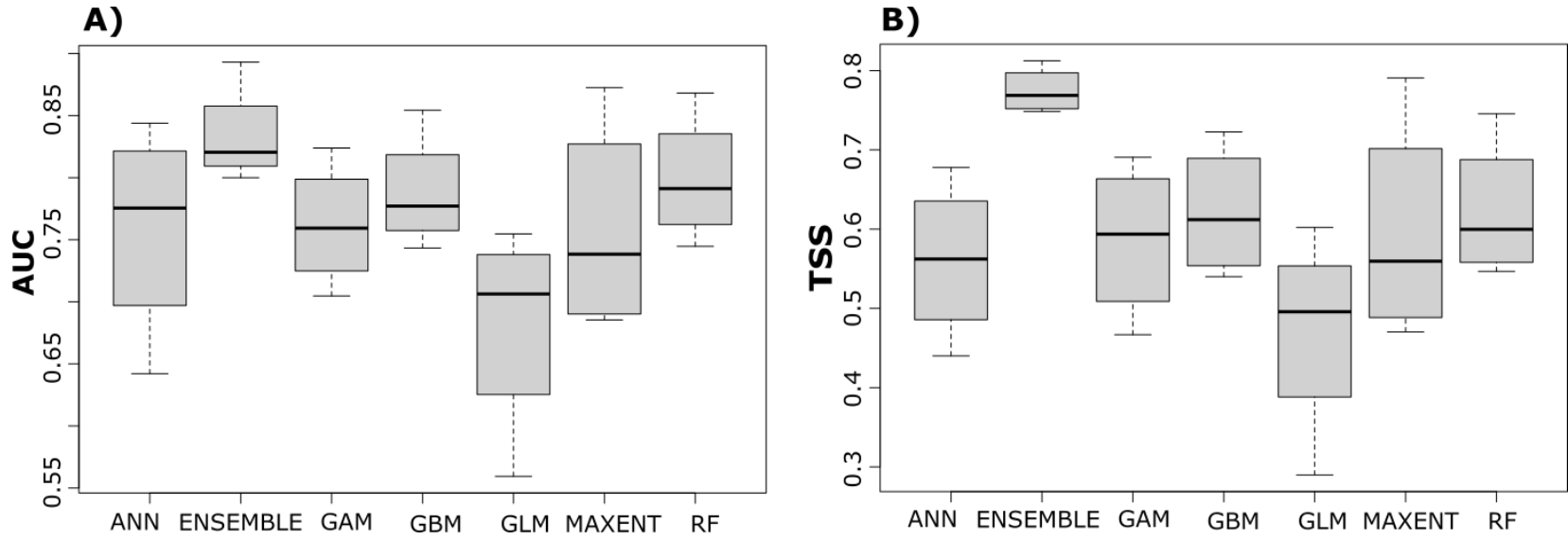


Figure 9.

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SUPPLEMENTAL INFORMATION

Table S1. List of 72 predictor variables assembled for fitting the ecological niche models of *Ivesia webberi*. They were reduced to six uncorrelated ($r < 0.59$) predictors using Kendall τ correlation, and feature selection runs in *Boruta* R package and RFE function in *caret* R package

Predictor name	Description and source
Elevation	A 30 m (1 arc second) digital elevation model (DEM) from National elevation dataset (USGS, 2017)
Slope	Slope layer was calculated from the USGS (2017) DEM layer, using the slope tool in ArcMap version 10.7
Curvature	Curvature layer was calculated from the USGS (2017) DEM layer, using the slope tool in ArcMap version 10.7
Land cover	Sourced from the 30 m U.S. National Land Cover Dataset (NLCD) 2011 product (Homer, Dewitz, Yang, Jin, Danielson, Xian, ... Megown, 2015)
Sine aspect	Sine aspect was calculated in ArcMap version 10.6.1 using USGS (2017) DEM and the slope layers
Cosine aspect	Cosine aspect was calculated in ArcMap version 10.6.1 using USGS (2017) DEM and the slope layers, the formula: $= \theta \times \cos(\alpha)$, where θ is slope (in percentage), and α is aspect (in radians)
Cosine aspect at 45°	Cosine aspect was calculated in ArcMap version 10.6.1 using USGS (2017) DEM and the slope layers, the formula: $= \theta \times \cos(\alpha)$, where θ is slope (in percentage), and α is aspect (in radians). Here, aspect is tilted at 45°

Hillshade	A topographic layer calculated from the USGS (2017) DEM layer, using the hillshade tool in ArcMap version 10.6.1
Topographic position index (TPI33)	Calculated from USGS (2017) DEM in ArcMap version 10.6.1, using formula introduced by Weiss (2001), and a 33 m neighborhood
Topographic position index (TPI333)	Calculated from USGS (2017) DEM in ArcMap version 10.6.1, using formula introduced by Weiss (2001), and a 333 m neighborhood. At 333 m scale, the entire landscape is classified into either a valley or a mountain range
Topographic wetness index	Calculated from USGS (2017) DEM following the formula of Beven & Kirkby (1979) and is defined using the following formula: $\ln(\text{upslope area} / \tan(\text{slope}))$.
Annual herb cover	Data was sourced from the Multi-Resolution Land
Bare ground	Characteristics (MRLC) development of the U.S. National
<i>Artemisia tridentata</i> cover	Land Cover Database (NLCD) 2016 Shrub component products
Perennial herbaceous cover	(Xian, Homer, Meyer, & Granneman, 2013)
Soil litter	
<i>Artemisia</i> spp. cover	
Shrub layer	
Shrub height	
Sagebrush height	
Forest cover	A 30 m raster layer developed by the United States Forest Service (USFS) from multispectral LANDSAT imagery (Coulston, Moisen, Wilson, Finco, Cohen, & Brewer, 2012)

Modified soil-adjusted vegetation index (MSAVI2)	Vegetation indices were created in Google Earth Engine, using remotely sensed data between January 1985 and December
Normalized difference vegetation index (NDVI)	2010, from LANDSAT 5, 7 and 8 images, using code developed by Brehm & Matos (2019)
Solar radiation	Solar radiation was calculated using a hemispherical viewshed algorithm (Fu & Rich, 2002) on the USGS (2017) DEM layer, in ArcMap version 10.6.1. Solar radiation was calculated for one day each in the four seasons: Julian days 1, 90, 225 and 287 for winter, spring, summer and fall respectively (except for leap years where Julian days 91, 226 and 288 represent spring, summer and fall respectively). Five-year interval area solar radiation was calculated between 1975 and 2018.
Available water content (AWC)	Edaphic layers sourced from POLARIS, a 30 m probabilistic soil series map of the United States (Chaney, Wood,
Soil mean CaCO ₃	McBratney, Hempel, Nauman, Brungard, & Odgers, 2016)
Soil mean clay	
Soil mean silt	
Soil mean sand	
Soil mean pH	
Soil mean bulk density	
Soil organic matter	
Depth to restrictive layer (bedrock)	

Soil class	A gridded soil survey dataset (gSSURGO) developed by the USDA Natural Resources Conservation Service (Soil Survey Staff, 2017)
Geology	Layer obtained from the Geologic maps of U.S. states (Horton, San Juan, & Stoesser, 2017) at https://mrdata.usgs.gov/geology/state
Cumulative annual actual evapo-transpiration (AET)	Water balance variable calculated from 800 m 1971-2000 PRISM climate normals (Daly et al., 2008), available water capacity (Chaney et al., 2016), and the 1-arcsecond DEM (USGS, 2017) combined in the Climatic Water Deficit Toolbox for ArcGIS (Dilts, 2014). Methods are described in Dilts, Weisberg, Dencker, & Chambers (2015). AET represents the simultaneous availability of water and energy to support plant productivity.
Cumulative annual climatic water deficit (CWD)	Water balance variable calculated from 800 m 1971-2000 PRISM climate normals (Daly et al., 2008), available water capacity (Chaney et al., 2016), and the 1-arcsecond DEM (USGS, 2017) combined in the Climatic Water Deficit Toolbox for ArcGIS (Dilts, 2014). Methods are described in Dilts et al. (2015). CWD is a measure of aridity and is difference between evaporative demand and supply
Cumulative annual potential evapo-transpiration (PET)	Water balance variable calculated from 800 m 1971-2000 PRISM climate normals (Daly et al., 2008), available water capacity (Chaney et al., 2016), and the 1-arcsecond DEM

(USGS, 2017) combined in the Climatic Water Deficit Toolbox for ArcGIS (Dilts, 2014). Methods are described in Dilts et al. (2015). PET was calculated using the Thornthwaite approach outlined in Lutz et al. (2010).

Cumulative annual soil water balance (SWB)	Water balance variable calculated from 800 m 1971-2000 PRISM climate normals (Daly et al., 2008), available water capacity (Chaney et al., 2016), and the 1-arcsecond DEM (USGS, 2017) combined in the Climatic Water Deficit Toolbox for ArcGIS (Dilts, 2014). Methods are described in Dilts et al. (2015). SWB represents the amount of soil water storage summed across all months of the year.
Absolute cumulative soil water balance	Absolute value of the SWB. Calculated using 800 m resolution PRISM climatic data 1970-2001 normals (Daly et al., 2008), in BIOLCLIM (Booth, Nix, Busby, & Hutchinson, 2014)
Cumulative annual water supply (WS)	Water balance variable calculated from 4 km 1971-2000 PRISM climate normals (Daly et al., 2008), available water capacity (Chaney et al., 2016), and the 1-arcsecond DEM (USGS, 2017) combined in the Climatic Water Deficit Toolbox for ArcGIS (Dilts, 2014). Methods are described in Dilts et al. (2015). WS is the sum of rainfall and snowmelt in all months in the calendar year
Monsoonality	Proportion of annual precipitation falling between July and September (Romme, Allen, Bailey, Baker, Bestelmeyer, Brown, ... & Miller, 2009). Original data source from 4 km

resolution PRISM climatic data normals 1971-2000 (Daly et al., 2008)

Heatload	Static measure of solar radiation exposure based on slope, aspect, and latitude, based on methods published in McCune and Keon (2002). DEM derivatives were based on the 1-arcsecond National Elevation Dataset (USGS, 2017).
Minimum monthly temperature	Based on the 4 km PRISM climatic data normals 1971-2000 (Daly et al., 2008)
Maximum monthly temperature 1970-2001	
Minimum annual temperature	
Minimum spring temperature	
Minimum fall temperature	
Minimum summer temperature	
Minimum winter temperature	
Maximum spring temperature	
Maximum summer temperature	
Maximum fall temperature	

Maximum winter temperature	
Annual monthly precipitation	
Fall seasonal precipitation	
Spring seasonal precipitation	
Summer seasonal precipitation	
Winter seasonal precipitation	
Temperature range	
Ratio of AET and CWD	Water balance variables calculated from 4 km 1950-2015
Ratio of AET and PET	PRISM climate monthly data (Daly et al., 2008), available
Ratio of SWB and AET	water capacity (Chaney et al., 2016), and the 1-arcsecond DEM
Ratio of WS and AET	(USGS, 2017) combined in the Climatic Water Deficit Toolbox
Positive difference between AET and SWB	for ArcGIS (Dilts, 2014). PRISM climate data were downscaled from 4 km using the delta method and the PRISM
Absolute ratio of SWB and AET	1971-2000 normals. Variables are described in Barga, Dilts, & Leger (2018).
Spring ratio of WS and the greater of AET or SWB	
Precipitation seasonality	Based on PRISM climatic data normals 1971-2000 (Daly et al., 2008), in BIOCLIM (Booth et al., 2014).

April snowpack (1951 – 1980)	Water balance variables calculated from 4 km 1950-2015 PRISM climate monthly data (Daly et al., 2008), available
April snowpack (1981 – 2010)	water capacity (Chaney et al., 2016), and the 1-arcsecond DEM (USGS, 2017) combined in the Climatic Water Deficit Toolbox
Recharge 1951-1980	for ArcGIS (Dilts, 2014). PRISM climate data were
Recharge 1981-2010	downscaled to 800 m using the delta method and the PRISM 1971-2000 normals. Variables are described in Barga et al. (2018).

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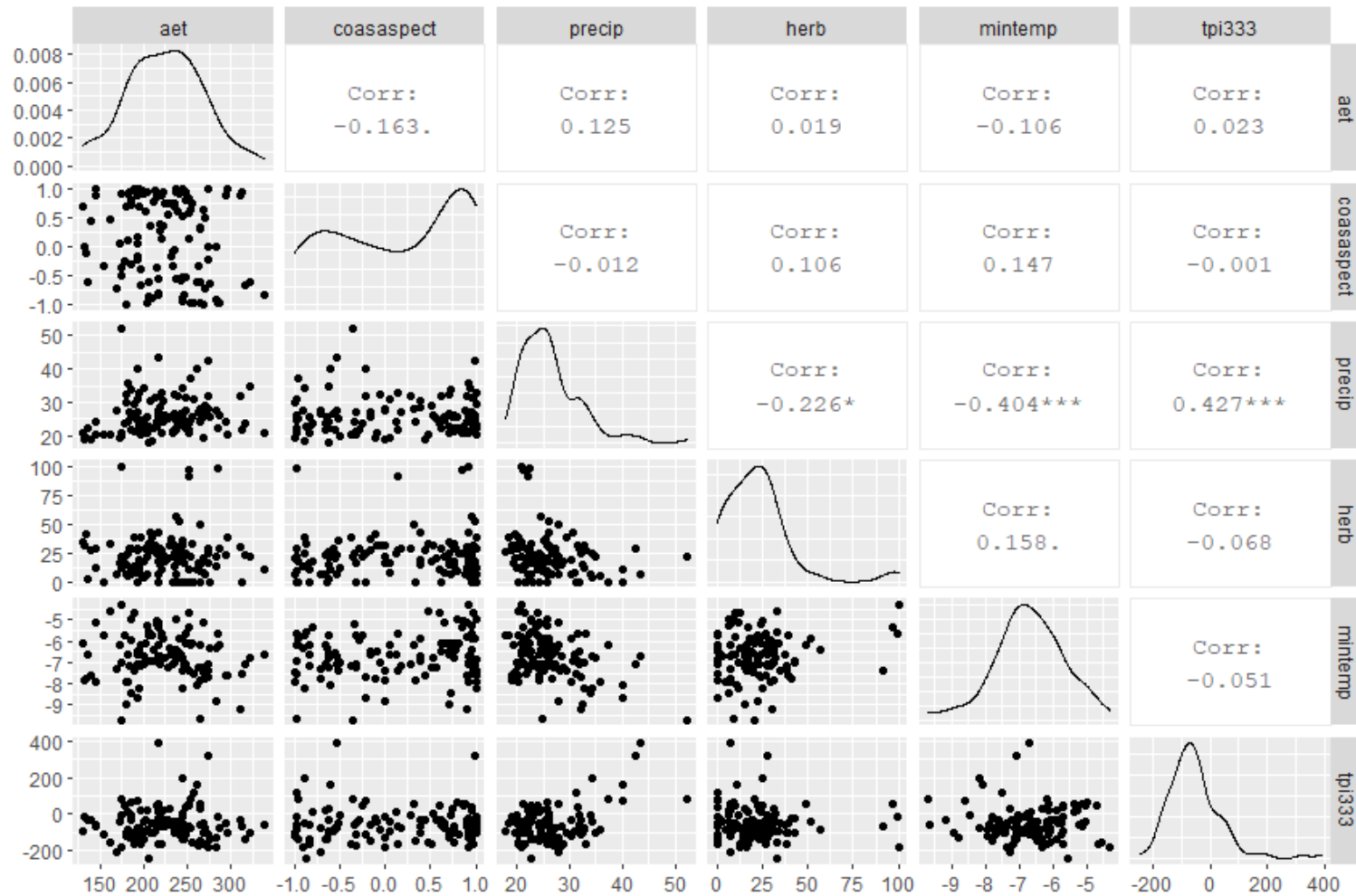


Figure S1. Correlation plot of the six uncorrelated predictor variables used for the iterative niche modeling of *Ivesia webberi* A. Gray. AET = actual evapotranspiration, coaspect = cosine aspect, precip = summer mean precipitation, herb = perennial herb vegetative cover, mintemp = minimum annual temperature, tpi333 = topographic position index calculated at 333 m



Figure S2. Photo illustrating the vegetative cover in *Ivesia webberi* site at the Hallelujah Junction Wildlife Area (USFWS-designated unit 4). Photo taken by Israel Borokini in June 2020.



Figure S3a. Photo illustrating the vegetative cover in *Ivesia webberi* site at the Dog Valley Meadows, near Verdi NV (USFWS-designated unit 5a). Photo taken by Israel Borokini in June 2018.



Figure S3b. Photo illustrating the vegetative cover in *Ivesia webberi* site at the Dog Valley Meadows, near Verdi NV (USFWS-designated unit 5a). Photo taken near the historical California trail marker by Israel Borokini in June 2017.



Figure S4. Photo illustrating the vegetative cover in *Ivesia webberi* site at the Dog Valley Meadows, near Verdi NV (USFWS-designated unit 5b). Photo taken by Israel Borokini in May 2017.



Figure S5. Photo illustrating the vegetative cover in *Ivesia webberi* site at the *Ivesia* flat on Peavine Mountain Range (USFWS-designated unit 8). Photo taken by Israel Borokini in June 2018.



Figure S6. Photo illustrating the vegetative cover in *Ivesia webberi* site in the Humboldt-Toiyabe National Forest, near the Nevada-California Stateline (USFWS-designated unit 9a). Photo taken by Israel Borokini in June 2018.



Figure S7. Photo illustrating the vegetative cover in *Ivesia webberi* site in the Humboldt-Toiyabe National Forest, near the Nevada-California Stateline (USFWS-designated unit 9b). This site is characterized by mosaics of *I. webberi*-*Balsamorhiza hookeri* and the invasive *Taeniatherum caput-medusae* (green patches). Photo taken by Israel Borokini in June 2018.



Figure S8. Photo illustrating the vegetative cover in *Ivesia webberi* site in the Humboldt-Toiyabe National Forest, near the Nevada-California Stateline (USFWS-designated unit 10). Photo taken by Israel Borokini in June 2018.



Figure S9. Photo illustrating the vegetative cover in *Ivesia webberi* site near Dutch Louie Flat on the Carson Range (USFWS-designated unit 14). Photo taken by Israel Borokini in June 2018.



Figure S10. Photo illustrating the vegetative cover in *Ivesia webberi* site at the base of the Carson Range near Caughlin Ranch neighborhood (USFWS-designated unit 15). *I. webberi* grows under the dense tufts of *Bromus tectorum* (senesced plants) and *Taeniatherum caput-medusae* which invaded the site. Photo taken by Israel Borokini in June 2018.



Figure S11. Photo illustrating the vegetative cover in one of the newly discovered *Ivesia webberi* sites on a private property adjacent to the Humboldt-Toiyabe National Forest. Photo taken by Israel Borokini in May 2020.



Figure S12. Photo illustrating the vegetative cover in one of the newly discovered *Ivesia webberi* sites, the second patch on the same private property adjacent to the Humboldt-Toiyabe National Forest. Photo taken by Israel Borokini in May 2020.



Figure S13. Photo illustrating the vegetative cover in one of three newly discovered *Ivesia webberi* sites in the Hallelujah Junction Wildlife Area. This site is located in the southern end of the western portion of the Wildlife Area. Photo taken by Israel Borokini in May 2020.



Figure S14. Photo illustrating the vegetative cover in one of the newly discovered *Ivesia webberi* sites on public land managed by the Bureau of Land Management Eagle Lake District, along the Smoke Creek Road, northeast of Honey Lake, off U.S. 395 highway in California. This site extends the northern distribution range of *I. webberi* by 65 km. *I. webberi* is found along a long but narrow stretch of ephemeral dry wash surrounded by *Bromus tectorum* and *Taeniatherum caput-medusae* monocultures. Photo taken by Israel Borokini in May 2020.

CHAPTER 2 Quantifying the relationship between soil seed bank and plant community assemblage in sites harboring the threatened *Ivesia webberi* in the western Great Basin Desert*

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ABSTRACT

Questions: The soil seed bank is an important ecosystem component that can be pivotal for long-term persistence of many plant species, especially after disturbances followed by the invasion of alien weeds. However, many Great Basin Desert perennials produce fewer viable seeds, while large areas of the Great Basin are currently invaded by alien weeds. This could result in dissimilarity in floristic composition between the aboveground vegetative community and the soil seed bank, causing abrupt plant community shifts following disturbance. Therefore, we asked what is the relationship in the floristic composition between the aboveground communities and the soil seed bank in sites where the threatened *Ivesia webberi* occurs?

Location: The Great Basin Desert, United States.

Methods: We used Dice-Sorensen's similarity index to estimate similarity between the standing vegetation and the soil seed bank. Redundancy analysis and variation partitioning were used to quantify the relationship between the total abundance of the sampled aboveground flora and the soil seed bank, accounting for effects of spatial processes and environmental variables describing climate, soils, and vegetation in the 10 sites.

Results: Findings reveal high dissimilarity in species assemblage and abundance between the aboveground plant communities and the soil seed bank. Most of the dominant native plant species sampled in the standing vegetation were absent in the soil seed bank, and the soil seed bank was dominated by invasive alien weeds.

Conclusions: Divergence in the floristic composition between the aboveground communities and the soil seed bank in *I. webberi* habitat indicates low resilience and high risk of native species loss following perturbation. Post-disturbance succession in these plant communities may be largely dominated by invasive annual species; therefore, reduction of invasive species and native plant seeding may be necessary to sustain the ecological legacies of the desert ecosystem.

Keywords: *Ivesia webberi*, invasive species, community assemblage, redundancy analysis, soil seed bank, variation partitioning, ecological resilience, ecological restoration, native plant seeding, Great Basin Desert

Data accessibility statement: Data used in this study are published in Knowledge Network for Biocomplexity: doi:10.5063/F1FN14J7.

INTRODUCTION

An assessment of the relationship between the aboveground plant community and the soil seed bank is critical to understanding the ecological resistance and resilience of an ecosystem and post-disturbance successional pattern (Korb, Springer, Powers, & Moore, 2005; Ma et al., 2019; Mandela, Madakadze, Nherera-Chokuda, & Dube, 2020). Such an understanding is needed to support successful restoration efforts, and reverse biodiversity loss (Ma, Zhou, & Du, 2010; Haapalehto et al., 2017). However, the majority of studies that investigated the association between the aboveground plant communities and the soil seed bank reported low floristic similarity, and many soil seed banks are dominated by native and exotic annual ruderal and early-successional species (Peco, Ortega, & Levassor, 1998; Decocq et al., 2004; Hopfensperger, 2007; Bossuyt & Honnay, 2008). This raises questions concerning the role of the soil seed bank in fostering plant community resilience to disturbance (Kalamees & Zobel, 2002; Gioria & Pyšek, 2016).

The Great Basin Desert plant communities are dominated by perennial species (Kemp, 1989; Allen & Nowak, 2008). Many of these species produce fewer healthy seeds which have limited dispersal capacity, and form a transient seed bank (Lee, 2004; Goodwillie, Kalisz, & Eckert, 2005; Pekas & Schupp, 2013). Most studies in the Great Basin Desert have reported dissimilarities in the aboveground vs. belowground floristic composition (Pekas & Schupp, 2013; Martyn, Bradford, Schlaepfer, Burke, & Lauenroth, 2016), suggesting a limited role of the soil seed bank in the regeneration of desert perennial species (Bossuyt & Honnay, 2008; Allen & Nowak, 2008; Gomaa, 2012).

Moreover, vegetative recovery is not dependent on the seed bank alone, as regrowth from surviving vegetative structures of perennial plants can be quite important (Milberg, 1993; Bullock, Hill, Silvertown, & Sutton, 1994). For arid ecosystems in particular, the soil seed bank can be vulnerable to surface disturbance as the seed density is highest within the top 5 cm of the soil (Walters, 2004; DeFalco, Esque, Kane, & Nicklas, 2009).

Plant community structure in the Great Basin Desert has been significantly altered as a result of the spread of invasive species, intense grazing, climatic stress, increased frequency and intensity of wildfires, and heavy recreational land use (Cox & Allen, 2008; Balch, Bradley, D'Antonio, & Gómez-Dans, 2013; Coates et al., 2016; Pilliod, Welty, & Arkle, 2017). These disturbances deplete the soil seed bank for most native plant species, and reduce the ecological resistance and resilience to invasive alien weeds and disturbance (Chambers et al., 2007; Brooks & Chambers, 2011). Consequently, the Great Basin Desert is listed as the third most endangered ecosystem in the United States (Stein, Kutner, & Adams, 2000; Nachlinger, Sochi, Comer, Kittel, & Dorfman, 2001). Experts have therefore cautioned that the remaining native vegetation in the Great Basin Desert could be lost to invasive alien weeds (Wisdom & Chambers, 2009).

In this study, we investigated the relationship in species composition between the soil seed bank and the aboveground plant communities for 10 sites occupied by the threatened *I. webberi*, listed under the United States Endangered Species Act of 1973 (ESA; 16 U.S.C. § 1531 et seq.). These sites were selected with a focus on understanding the regeneration dynamics of *I. webberi* and the role of the soil seed bank in maintaining these plant communities that are currently threatened by the invasive, nonnative weeds.

Therefore, we asked the following questions: 1) What is the degree of similarity between the species assemblage in the aboveground vegetation and the soil seed bank of the sites where *I. webberi* occur? 2) Is there a significant relationship between the soil seed bank and the aboveground plant communities in these sites? 3) If so, what proportion of the aboveground plant community structure is explained by the soil seed bank in comparison to other environmental variables? Given a high density of nonnative and invasive annual plant species in the study sites, and based on existing literature (e.g., Humphrey & Schupp, 2001; Hopfensperger, 2007; Vanstockem, Ceusters, Van Dyck, Somers, & Hermy 2018; Barga & Leger, 2018), we predict high dissimilarity between the aboveground vegetation and the soil seed bank in these relatively undisturbed sites. We defined the soil seed bank as a collection of viable but ungerminated seeds, originating from standing, but also locally extirpated plants and vegetation from neighboring sites (Baskin & Baskin, 1998; Boussyt & Honnay, 2008; Solomon, 2011).

METHODS

Study sites

I. webberi (Rosaceae; Flora of North America) is a federally-listed threatened perennial forb, narrowly distributed along the eastern foothills of the northern Sierra Nevada and western margin of the Great Basin Desert (Figure 1). There are 16 known populations, most of which are located in small and isolated habitat patches that are dominated by nonnative and invasive annual grasses including *Bromus tectorum* and *Taeniatherum caput-medusae*. *I. webberi* regenerates mainly from the dormant root

caudex in late winter or early spring (Witham, 2000), but age-class difference, colonization of bare-soil microsites created by the decommissioned roads, and field detection of seedlings in many of the sites indicate additional recruitment from seeds. This necessitates the importance of characterizing the soil seed bank in the sites harboring the species to assess the potential implications for persistence of this threatened forb under current disturbance levels.

Only 10 of the 16 known sites with *I. webberi* populations were accessible for this study, but were representative of the spatial distribution of the species (Figure 1). Climatic conditions at these sites are typical of the Great Basin Desert, which is influenced by rain shadow effects from the Sierra Nevada and Cascade Mountain ranges, and is therefore characterized by relatively mild winters and hot summers (Svejcar, Boyd, Davies, Hamerlynck, & Svejcar, 2017). Across the sites, temperature ranged from an average of -6 °C in the winter to an average of 28 °C in the summer, while annual precipitation varies between 25 and 33 cm. The areal extent of the selected sites for this study varies widely (Table 1) based on the patch occupancy of *I. webberi* estimated by the U.S. Fish and Wildlife Service (USFWS), which may indicate the relative suitability of ecological conditions at these sites for this species. The soil across these 10 study sites is characterized by argillic (clayey) subsurface horizon of volcanic origin overlaid by rocky pavement soil surface (USFWS, 2014). Over the past 30 years, all 10 sites have experienced varying degrees of grazing, off-highway vehicle use, wildfires and extensive colonization by nonnative and invasive plants (Witham, 2000; USFWS, 2014).

Soil seed bank sampling and estimation

To characterize species composition of the soil seed bank, 20 soil samples were collected in November 2015 from each site ($n = 200$), after seed set and before the soil was covered in snow (Allen & Nowak, 2008). At each site, collection points were randomly selected within *I. webberi* patches (Adams, Marsh, & Knox, 2005). Within a 1 m² quadrat placed at each of the collection points, three soil samples were collected from the top 3 cm, and combined as one sample. Due to a rocky surface pavement above a thick and hard argillic subsurface horizon in all sites (USFWS, 2014), the chosen soil depth is expected to capture optimal seed density in the soil seed bank.

The seedling emergence method was used to quantify species composition of the soil samples (Thompson & Grime, 1979). This method is preferable for plant communities in desert and grassland ecosystems (ter Heerd, Verweij, Bekker, & Bakker, 1996), which often produce small seeds that could be lost using seed extraction methods (Gonzalez & Ghermandi, 2012). Importantly, the emergence method estimates the abundance of viable, non-dormant seeds (Tessema, de Boer, Baars, & Prins, 2012).

Germination trials of the collected soil seed bank were implemented in the Greenhouse complex at the University of Nevada, Reno. Soil samples were left to dry for one month, and the soil was then sieved with 50 mm mesh to remove rocks. The soil samples were spread evenly over 1 m thick vermiculite on 25 × 25 cm, 5 cm deep nursery trays ($n = 200$), which were divided into four blocks (Espeland, Perkins, & Leger, 2010). The greenhouse was maintained under an ambient temperature of 21 °C, during the day and 16 °C at night, to enhance optimal germination in a stratified environment

(Humphrey & Schupp, 2001). To ensure equal exposure to light, the positions of the trays in the four blocks were changed every month (Sileshi & Abraha, 2014). Randomized sampling and cold-moist stratification conditions in the greenhouse were used to mitigate potential limitations of the seedling emergence method, which may not account for dormant and ungerminated seeds (Allen & Nowak, 2008; Gonzalez & Ghermandi, 2012).

The soil samples were subjected to sequential treatments to enhance the probability of all seeds germinating. Transitioning each tray to the next treatment was done when no additional germination was observed in the trays after one week. The first treatment was a 2-minute daily watering which continued for five months until all germination ceased. This was followed by a dry phase for four weeks, to mimic natural fluctuations in soil moisture which is required for germination of some desert seeds (Baskin & Baskin, 1998; Meyer, Quinney, Nelson, & Weaver, 2007). The dry phase was followed by another daily watering phase for four weeks, the application of gibberellic acid to break seed dormancy of some species, and finally, the application of vinegar and sugar solution, which has been reported to stimulate seed germination through mycorrhizal association (Mu, Uehara, & Furuno, 2003). Also, the soil was stirred once every two months to allow for repeat germination of new seedlings (Ma et al., 2010). The experiment was ended when no additional seedling emerged for two consecutive weeks.

Germinated seedlings were identified and removed, or potted for later identification, to reduce seedling competition and facilitate optimal germination of seeds in the soil samples (Ma et al., 2010; Aponte, Kazakis, Ghosn, & Papanastasis, 2010; Gomaa, 2012). The number of species (species richness) and number of individuals for

each species (species abundance) per site was recorded. Five morpho-species were also included, as they could not be identified to species. In addition, some seedlings did not survive beyond the seed-leaf stage and could not be identified. This is a common issue with employing the seedling emergence method, which has been reported in previous studies in the Great Basin Desert (see Allen & Nowak, 2008; Martyn et al., 2016).

Vegetative community sampling

In May 2016, the 10 study sites were revisited for plant community sampling. Twenty locations were randomly selected at each site using a stratified random sampling strategy focusing on *I. webberi* occupied patches. For each sampling point, we used a 1 m² quadrat to record total plant cover, plant richness, abundance, elevation and GPS coordinates. For each site, we also recorded soil type, dominant vegetation, distance (m) to major/minor road, disturbance intensity and physiognomic description. As in the soil seed bank estimate (section 2.2 above), species richness was taken as the number of species per site, while the total number of individuals per species was summed and used as species abundance per site. Disturbance intensity was described using an ordinal ranking of five classes as described in Appendix S1. Cover was measured as the total land area covered by plants and their shadows, estimated by eye as percentage of the quadrat. Percentage total vegetation cover for each site was obtained from the mean percent cover for the 20 quadrats sampled.

Environmental predictor variables

In addition to the field data, we also included 10 geospatial variables describing climate (seasonal mean temperature and precipitation for winter, spring, fall and summer,

and solar radiation), and soil properties (soil mean clay, silt and sand). Selected predictor variables represented different components of water availability for plants, as is expected to be limiting for vegetation in arid ecosystems. However, the set of 17 predictor variables was reduced to six uncorrelated variables ($r < 0.59$) using an informed interpretation of Kendall correlation coefficients. The five selected abiotic variables, including solar radiation, soil mean clay, vegetation cover, and mean temperature for spring and winter seasons, together with the soil seed bank richness and diversity, were used as predictor variables (Table 2). Soil seed bank diversity was taken as the effective number of species, which are natural numbers produced from converting the logarithmic values of the Shannon-Wiener H' index for each site (Jost, 2006). Spatial variables were computed as the principal coordinates of the neighbor matrix (PCNM, Borcard & Legendre, 2002; Dray, Legendre, & Peres-Neto, 2006), obtained from the truncated pairwise geographical distance matrix among sampling sites. The resulting eight independent and orthogonal PCNM eigenvalues were further reduced to one spatial variable (PCNM dimension 8) following forward variable selection runs, which was used for variation partitioning.

Statistical analysis

Dice-Sorensen's quantitative similarity index was used to estimate similarities in species composition between the aboveground plant communities and the soil seed bank for each site (Osem, Perevolotsky, & Kigel, 2006). We also used transformation-based redundancy analysis (tb-RDA) to assess variation in the Hellinger-transformed species abundance across all sites, as explained by linear gradients in the five abiotic variables,

and soil seed bank richness and diversity. The choice of RDA over canonical correspondence analysis (CCA) was supported by a linear relationship of species data to gradients of environmental predictors in a detrended correspondence analysis (DCA; Hill, 1979), following the recommendation of Lepš & Šmilauer (2003). Permutation tests (10,000 Monte-Carlo permutations) were used to evaluate the overall RDA model fitness relative to a permuted data, the significance of the constrained axes, and the partial effects of the variables used.

Variation partitioning was used to quantify the proportion of variation in the plant communities explained by the soil seed bank, abiotic and spatial variables (Borcard, Legendre, & Drapeau, 1992). The variables were clustered into climatic (seasonal mean temperatures for winter and spring, and solar radiation), site conditions (soil mean clay content and vegetation cover), soil seed bank (richness and diversity), and a spatial variable. Data analyses were done in R statistical software version 3.5.3 (R Core Team, 2019), using functions in various packages including *vegan* (Oksanen et al., 2019), *phytools* (Revell, 2012), and *ecodist* (Goslee & Urban, 2007). The raw data used for this study were published in Knowledge Network for Biocomplexity (Borokini, Weisberg & Peacock, 2020).

RESULTS

Floristic structure and association of the aboveground plant community with the soil seed bank

There was a 37% overall similarity in the floristic composition between the aboveground vegetation (hereafter, AGV) and the soil seed bank (hereafter, SSB) community (Table 3), with 21 species in common out of 82 total species. Species richness and diversity were higher in the AGV but total species abundance was higher in the SSB (Table 3). The AGV had higher richness of native perennial flora, in contrast to higher species richness of annuals in the SSB, but the dominance of invasive species in both the AGV and the SSB was apparent (see Appendix S2). Only one site had a disproportionately low abundance of invasive plants, which also had the highest species diversity in both the AGV and the SSB, and a significantly low similarity (Sorensen's index $w = 1441$, $p < 0.001$) between the AGV and the SSB (Table 3). *Ivesia webberi* was recorded in the AGV but not in the SSB of all studied sites.

Effect of environmental variables on the structure of the aboveground plant community

The transformation-based redundancy analysis illustrated key relationships among the environmental variables and the aboveground plant community structure in the sampled sites. The tb-RDA model was significantly different than a random model of permuted data ($F = 2.24$, $p < 0.004$), produced seven constrained axes capturing 88.67% of the variance in the AGV data, 50.15% of which was captured in the first RDA axis ($F = 5.24$, $p < 0.006$) and the second RDA axis ($F = 3.62$, $p < 0.04$). The first ordination axis describes a gradient from sites with warmer winter temperatures, higher solar radiation and greater overall vegetation cover, all of which were associated with greater levels of invasive *B. tectorum* dominance, to sites with colder winters, lower solar radiation and lower cover, associated with dominance of invasive *Draba verna* (Figure 2). Along axis

2, soil mean clay content was positively correlated with seed bank diversity, both of which were negatively correlated with seed bank richness and abundance of invasive plants (Figure 2). This suggests that sites with higher abundance of invasive *B. tectorum* and *D. verna* have lower seed bank diversity. Correlation among the environmental variables (Table 2) is congruent with their contribution to the structure of the sampled aboveground plant communities in ordination space, however these correlations were not statistically significant. The ordination biplot also showed that some sites have higher abundance of invasive *B. tectorum*, and *D. verna* (Figure 2). Species diversity in the SSB was the only variable with significant partial effects on the RDA model (Table 4).

Quantifying the relationship of the environmental variables and aboveground plant community composition

Climatic variables explained most of the variance in the sampled plant communities, followed by the soil seed bank, site properties and lastly the spatial variable. The variance explained by the synergistic effect of the environmental variables was much lower, except for the variance shared among the grouped climate, site and seed bank variables (Figure 3). Overall, 61% of the variation in the aboveground plant communities was explained by the environmental variables.

DISCUSSION

An assessment of soil seed banks and their floristic similarities with the aboveground vegetation could be used to infer the ability of a vegetative community to maintain its ecological integrity post-disturbance. In this study, we observed high dissimilarity in the floristic composition of the aboveground communities and the soil

seed bank in the 10 sites occupied by *Ivesia webberi*. This dissimilarity is due primarily to the absence in the seed bank of the majority of the native plant species recorded in the standing vegetation. The majority of native plants in the Great Basin Desert produce fewer seeds (Guo, Rundel, & Goodall, 1998; Lucero & Callaway, 2018) compared to the prolific seed production in the invasive alien grasses (Gioria & Osborne, 2010; Gremer & Venable, 2014; Martyn et al., 2016), which could result in greater sampling of the soil seed bank of invasive plant species than the native plants. Furthermore, native plants may be represented in the pool of seedlings that died before they could be identified during the study, or could have dormancy requirements that were not met in our experimental conditions. However, the seedling mortality observed in this study is similar to previous studies that reported high seedling mortality of native plants in the Great Basin Desert (James, Svejcar, & Rinella, 2011; Boyd & Lemos, 2015). Species compositional dissimilarity between AGV and SSB has been previously reported in many ecosystems including grasslands (Peco et al., 1998; Valkó et al., 2014), heathland (Valbuena & Trabaud, 2001), salt marshes (Egan & Unger, 2000), alpine sites (Ma et al. 2010), wetlands (Jutila, 2003; Aponte et al., 2010), forest-grassland mosaic (Díaz-Villa, Marañón, Arroyo, & Garrido, 2003), natural and planted forests (Lemenih & Teketay, 2006), and particularly for desert ecosystems (Guo, Rundel, & Goodall, 1999; Goma, 2012), including the Great Basin desert (Martyn et al., 2016; Barga & Leger 2018).

The redundancy analysis showed an association of greater vegetation cover, especially of the invasive *B. tectorum*, with warmer winter temperatures and higher solar radiation. Similar relationships with incident solar radiation have been observed from studies elsewhere in the Great Basin (Condon, Weisberg, & Chambers, 2011; Williamson

et al., 2020). Warmer winter temperatures result in rapid snowmelt and increasing soil moisture which supports regeneration of winter annuals, such as the invasive *B. tectorum*. Furthermore, reduced snowpack decimates the population of the snow molds which damage *B. tectorum* seedlings (Smull, Pendleton, Kleinhesselink, & Adler, 2019).

Alien plant invasions are well known to lead to plant community homogenization through the suppression of native plant species (Richardson, Macdonald, & Forsythe, 1989; Hejda, Pyšek, & Jarošik, 2009). Consistent with this, we observed an inverse relationship between the abundance of invasive plants and species diversity. For example, the only site with low abundance of invasive plants also had the highest native plant species richness and diversity in both the aboveground vegetation and the soil seed bank. Additionally, high abundance of invasive plants in the standing vegetation corresponded with high abundance in the soil seed bank, which was also associated with reduced diversity and richness of native plant species in the soil seed banks. These findings show that the plant community structure in the sampled sites is driven largely by the abundance of invasive species. This pattern is supported by earlier work that show that a large proportion of the standing vegetation and the soil seed bank in the Great Basin Desert has been invaded by nonnative plant species (Humphrey & Schupp, 2001; 2004; Cox & Allen, 2008; Aponte et al., 2010; Bradley et al., 2018). The disproportionately higher abundance of alien plant species in the sampled soil seed bank could have had negative effects on the emergence of native perennials and resulted in competitive exclusion of native plant seedlings (Brooks, 2000; Humphrey & Schupp, 2004; Chambers, Roundy, Blank, Meyer, & Whittaker, 2007).

Results of the variation partitioning analysis showed varying contributions of the environmental, spatial variables and the soil seed bank on the floristic assemblage and structure of the aboveground communities across the 10 studied sites. It is not surprising that climatic variables contributed the most to the plant community structure, because the regeneration of many Great Basin Desert flora, including *I. webberi*, is influenced by climatic factors, especially by winter and spring temperature (USFWS, 2014; Chick, Nitschke, Cohn, Penman, & Yoek, 2018). The contribution of the soil seed bank was also relatively high in the variation partitioning, but given a high floristic dissimilarity in the AGV and the SSB, the proportion explained by the soil seed bank is primarily due to the abundance of the invasive species. Furthermore, it is particularly interesting that the synergistic effects of climatic, site, and seedbank variables explained 11.5% of the aboveground plant community structure. This may highlight the relationship among surface and soil temperatures in winter and spring and the soil water retention capacity, which provide the natural stratification needed for seed germination and vegetative regeneration of perennials, as well as winter and spring annuals (Humphrey & Schupp, 2001).

The observed disparity between species composition of the aboveground plant community and the soil seed bank suggests that these study sites have low resistance and resilience to invasion by nonnative weeds following disturbance, and are in high risk of losing their ecological legacies. The selected sites in this study are of the mid-elevation *I. webberi-Artemisia arbuscula* vegetative association (USFWS, 2014), which experience frequent inter-annual soil moisture fluctuations (Chambers et al., 2007), and which may be vulnerable to shifts in species assemblages due to climate change (Kiss, Deák, Török,

Tóthmérész, & Valkó, 2018). Post-fire recovery of the dominant native perennials in these sites is weak and slow (Miller, Chambers, Pyke, Pierson, & Williams, 2013). This implies that post-disturbance plant early succession may be driven by invasive alien weeds (DiVittorio, Corbin, & D'Antonio, 2007; Gioria & Osborne, 2010; Morris, Monaco, & Sheley, 2011). However, given the natural history of these sites, and depending on the severity of the disturbance, some native perennials may regenerate from surviving vegetative structures. Overall, these findings caution against reliance on the soil seed bank for restoration of desert perennials (Laughlin, 2003; Maccherini & De Dominicis, 2003; Handlová & Münzbergová, 2006; Allen & Nowak, 2008; Goma, 2012), underscore the importance of seeding with native plants as a key restoration program (Knutson et al., 2014; Svejcar, Boyd, Davies, Hamerlynck, & Svejcar, 2017), and highlight the importance of research and management practices aimed at controlling invasive plants (Elseroad & Rudd, 2011).

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TABLES

Table 1. Characteristics of the 10 *Ivesia webberi* study sites in the western Great Basin Desert, United States

Unit ^a	Site location	County and State	Site area (m ²) ^b	Solar radiation (WH/ha) ^c	Soil clay (%) ^d	Elevation (m) ^e	Disturbance rank ^f
2	Near Constantia	Lassen CA	7,700	1.42	8	1435.97	2
3	East of Hallelujah Junction	Lassen CA	1,400	1.47	8	1561.68	1
5	Dog Valley Meadows	Sierra CA	289,700	1.51	16	1834.70	1
6	White Lake Overlook	Sierra CA	54,900	1.47	11	1715.15	1
7	Mules Ear flat	Sierra CA	1,400	1.46	12	1680.01	1
8	Ivesia flat	Washoe NV	3,000	1.43	10	1775.63	1
11	Hungry Valley	Washoe NV	600	1.47	9	1599.01	3
12	Black Springs	Washoe NV	25,500	1.46	14	1730.17	2
13	Raleigh Heights	Washoe NV	38,600	1.48	14	1602.60	3
16	Dante Mine Road	Douglas NV	2,300	1.54	12	1894.81	1

^aUSFWS unit assignment for the *I. webberi* populations (see USFWS, 2014); ^bSite area was calculated from USFWS (2014); ^cSolar radiation was calculated in ArcMap using hemispherical viewshed algorithm (Fu & Rich, 2002) in ArcMap version 10.6.1; ^dSoil mean clay content was extracted from soil series probabilistic map (Chaney et al., 2016) in ArcMap; ^eElevation was extracted from 30 m digital elevation model of (United States Geological Survey [USGS], 2016) in ArcMap; ^fDisturbance ranking was used (see Appendix S1).

Table 2. Correlation matrix of the predictor variables used for the redundancy analysis. The upper matrix contains the Kendall correlation coefficient, while the lower matrix contains the corresponding p values

Predictor variable	Solar radiation	Winter temperature	Spring temperature	Soil clay content	Vegetative cover	Seedbank diversity	Seedbank richness
Solar radiation	1	0.43	0.54	0.52	0.01	0.41	-0.23
Winter temperature	0.2194	1	0.51	-0.09	0.20	-0.41	0.22
Spring temperature	0.1048	0.13	1	-0.24	-0.21	-0.28	0.60
Soil clay content	0.1217	0.79	0.51	1	0.37	0.53	-0.10
Vegetative cover	0.9945	0.58	0.57	0.29	1	0.21	0.43
Seedbank diversity	0.2434	0.24	0.44	0.12	0.55	1	-0.42
Seedbank richness	0.5178	0.55	0.07	0.79	0.21	0.23	1

Table 3. Species richness, abundance, diversity indices, effective number of species and similarity indices in the aboveground vegetation (AGV) and the soil seed bank (SSB) in 10 sampled *Ivesia webberi* sites

<i>Ivesia webberi</i> sites	Species richness		Sorensen's similarity index	Species abundance		H' index (alpha diversity)		Effective number of species	
	AGV	SSB		AGV	SSB	AGV	SSB	AGV	SSB
	Unit 2	18	18	0.28	3667	21439	1.23	0.32	3.41
Unit 3	20	14	0.29	2323	6453	1.26	0.59	3.53	1.80
Unit 5	34	14	0.21	1021	86	2.51	2.17	12.35	8.72
Unit 6	26	16	0.33	2405	2031	2.33	0.54	10.32	1.72
Unit 7a	26	16	0.33	9197	10472	1.40	0.85	4.07	2.33
Unit 8	22	16	0.26	9091	2419	1.84	1.12	6.28	3.05
Unit 11	24	21	0.31	3321	1304	0.64	1.11	1.89	3.04
Unit 12	25	20	0.36	3300	7911	1.28	0.29	3.60	1.33
Unit 13	20	18	0.26	5494	4371	1.84	0.58	6.26	1.79
Unit 16	21	16	0.43	1211	1285	2.18	0.97	8.81	2.65
Total	82**	32**	0.37**	41030	57771				

**Morphospecies were not included the soil seed bank data; **Species richness and similarity index in the total row for both the aboveground community and the soil seed bank is the overall species richness or similarity index, not a sum of all sampled sites in the rows above it.*

Table 4. Permutation test for the redundancy analysis of the effects of the predictor variables on the plant community structure in the 10 *Ivesia webberi* sites. *F* and *P* distributions were generated using 10,000 permutations

Variable	<i>df</i>	Variance	<i>F</i>	<i>P</i>
Vegetative cover	1	0.036	1.521	0.169
Solar radiation	1	0.044	1.873	0.074
Mean winter temperature	1	0.040	1.693	0.115
Mean spring temperature	1	0.037	1.569	0.148
Soil mean clay content	1	0.040	1.692	0.111
Seed bank species richness	1	0.035	1.475	0.184
Seed bank species diversity	1	0.055	2.334	0.026
Residual	2	0.047		

FIGURE LEGENDS

Figure 1. Global distribution of *Ivesia webberi* populations. Unit numbers follow the USFWS designations, circles represent the geographic center of extant, mapped occurrences, and circles of the same color indicate USFWS-designated subpopulations of the same population. Asterisk on unit 17 indicates it is a new proposed unit, as it was recently discovered.

Figure 2. Redundancy analysis biplot of the predictor variables on the Hellinger-transformed species abundance in the aboveground plant community. The biplot was scaled symmetrically by eigenvalues of both the species and site scores. Arrow length represents the strength of the variables in the ordination space, and the arrow direction illustrates the gradients. For clarity, species reported in at least seven sites were displayed. See Appendix S3 for a full list of all species and their associated acronyms used in this Figure.

Figure 3. Venn diagram showing variation in the aboveground plant communities partitioned to variables associated individually with climate, site, soil seed bank and spatial properties across all 10 sampled *Ivesia webberi* sites. The values represent the adjusted R squared values of each fraction in the partitioning.



Figure 1.

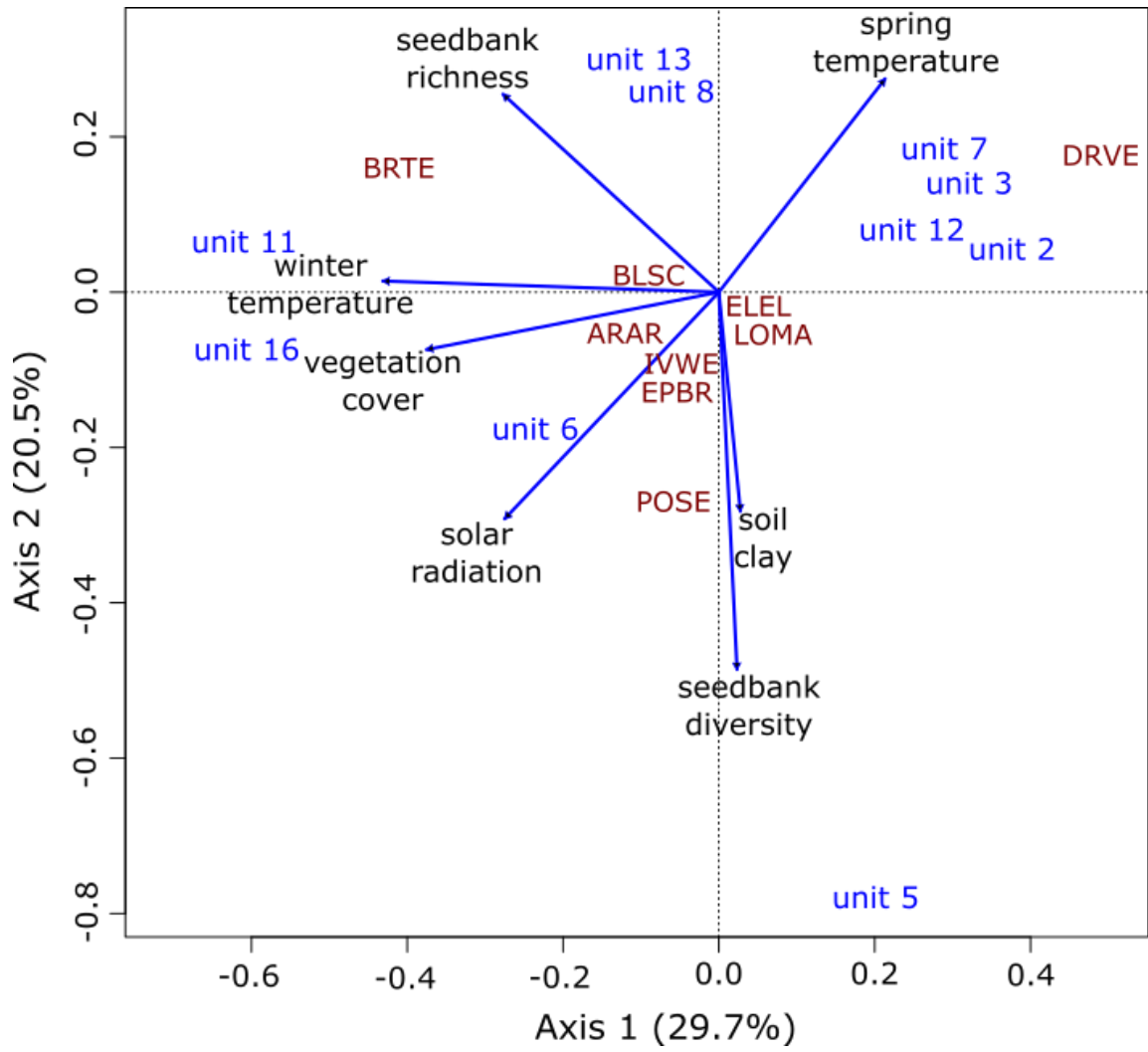


Figure 2.

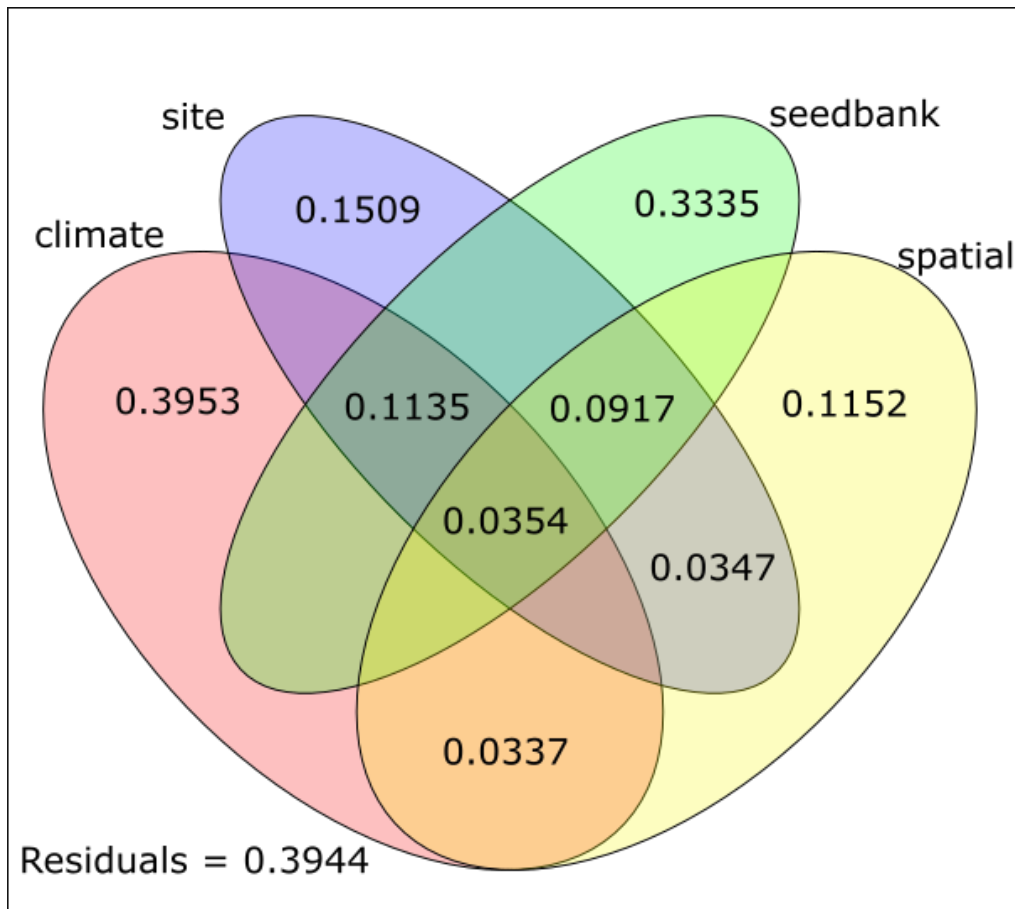


Figure 3.

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Appendix S1. Ranking of disturbance in *Ivesia webberi* sites

Rank value	Description
1	No visible or current anthropogenic disturbance across the entire site
2	Historical disturbance still visible, but discontinuous. For example, decommissioned off-highway roads where <i>Ivesia</i> is observed recovering. Disturbance affects less than 10% of site
3	Minor anthropogenic activity, such as active minor road, with <i>Ivesia</i> occurring on both sides, infrequent cattle grazing, hiking trails, etc. Disturbance affects between 10 and 25% of site
4	Moderate anthropogenic activity, including illegal dumping, and heavy grazing. Disturbance affects at least 50% of total site
5	Heavy anthropogenic activity such as housing and urban development, recent wildfires (≤ 2 years ago), or other activities that can potentially extirpate the population. Disturbance affects between 50 and 100% of total site

Appendix S2. Species richness and abundance for the 10 sites harboring *Ivesia webberi* decomposed into their component annual and perennial, and native and alien species. The first values under each heading representing the species richness, while the values in parenthesis represent the total abundance count per site

Site Name	Richness and counts of annuals		Richness and counts of perennials		Richness and counts of natives		Richness and counts of alien species	
	AGV	SSB*	AGV	SSB*	AGV	SSB*	AGV	SSB*
Unit 2	4 (3138)	15 (21280)	14 (529)	3 (159)	15 (839)	12 (473)	3 (2828)	6 (20966)
Unit 3	11 (2240)	12 (6451)	9 (83)	2 (2)	18 (487)	9 (331)	2 (1836)	5 (6122)
Unit 5	8 (369)	9 (71)	26 (652)	3 (12)	30 (773)	7 (64)	4 (248)	5 (19)
Unit 6	10 (1615)	11 (1989)	16 (790)	4 (37)	21 (1080)	13 (143)	5 (1325)	2 (1883)
Unit 7a	11 (8809)	11 (10215)	15 (388)	3 (255)	23 (3393)	10 (731)	3 (5804)	4 (9739)
Unit 8	8 (7542)	11 (2363)	14 (1549)	3 (49)	19 (2104)	10 (251)	3 (6987)	4 (2161)
Unit 11	8 (3169)	18 (1281)	16 (152)	3 (23)	20 (228)	14 (80)	4 (3093)	7 (1224)
Unit 12	10 (2736)	17 (7865)	15 (564)	3 (46)	20 (668)	14 (234)	5 (2632)	6 (7677)
Unit 13	10 (4913)	14 (4022)	10 (581)	3 (348)	15 (857)	12 (514)	5 (4637)	5 (3856)
Unit 16	9 (903)	13 (1246)	12 (308)	2 (38)	16 (554)	11 (201)	5 (657)	4 (1083)
Total	31 (35434)	23 (56783)	51 (5596)	4 (969)	70 (10983)	20 (3022)	12 (30047)	7 (54730)

Appendix S3. List of all species recorded in the aboveground plant communities and the soil seed bank in the 10 sampled sites where *Ivesia webberi* occurs

#	Species name*	Code	Species abundance		Life form	Distribution
			Aboveground	Soil seed bank		
1	<i>Acmispon americanus</i>	ACAM	3	0	annual	native
2	<i>AG</i>	AG	0	4	morphospecies	N/A
3	<i>Agoseris heterophylla</i>	AGHE	4	0	annual	native
4	<i>Agropyron cristatum</i>	AGCR	2	0	perennial	alien
5	<i>Aliciella leptomeria</i>	ALLE	0	3	annual	native
6	<i>Allium lemmonii</i>	ALLE	7	0	perennial	native
7	<i>Alopecurus pratensis</i>	ALPR	18	0	perennial	alien
8	<i>Alyssum desertorum</i>	ALDE	40	40	annual	native
9	<i>Antennaria dimorpha</i>	ANDI	695	0	perennial	native
10	<i>Antennaria luzuloides</i>	ANLU	231	0	perennial	native
11	<i>Arenaria kingii</i> var <i>glabrescens</i>	ARKG	290	0	perennial	native
12	<i>Artemisia arbuscula</i>	ARAR	324	44	perennial	native
13	<i>Artemisia tridentata</i>	ARTR	1	0	perennial	native
14	<i>Astragalus andersonii</i>	ASAN	217	0	perennial	native
15	<i>Balsamorhiza hookeri</i>	BAHO	25	0	perennial	native
16	<i>Blepharipappus scaber</i>	BLSC	210	39	annual	native
17	<i>Bromus japonicus</i>	BRJA	211	0	annual	alien
18	<i>Bromus tectorum</i>	BRTE	9557	446	annual	alien
19	<i>Camissonia pusilla</i>	CAPU	255	450	annual	native
20	<i>Castilleja chromosa</i>	CACH	5	0	perennial	native
21	<i>Collinsia parviflora</i>	COPA	38	35	annual	native
22	<i>Crepis acuminata</i>	CRAC	4	0	perennial	native
23	<i>Crepis occidentalis</i>	CROC	269	0	perennial	native
24	<i>Cryptantha pterykarya</i>	CRPT	2	299	annual	native
25	<i>Cusickiella douglasii</i>	CUDO	63	3	perennial	native
26	<i>Danthonia unispicata</i>	DAUN	31	0	perennial	native
27	<i>Descurainia sophia</i>	DESO	0	7	annual	alien

28	<i>Draba verna</i>	DRVE	15805	50908	annual	alien
29	<i>Elymus elymoides</i>	ELEL	594	0	perennial	native
30	<i>Ephedra sp</i>	EPSP	7	0	perennial	native
31	<i>Epilobium brachycarpum</i>	EPBR	230	0	annual	native
32	<i>Ericameria nauseosa</i>	ERNA	3	0	perennial	native
33	<i>Ericameria parryi</i>	ERPA	7	0	perennial	native
34	<i>Erigeron bloomeri</i>	ERBL	37	0	perennial	native
35	<i>Eriogonum caespitosum</i>	ERCA	69	0	perennial	native
36	<i>Eriogonum douglasii</i>	ERDO	62	0	perennial	native
37	<i>Eriogonum microthecum</i>	ERMI	10	0	perennial	native
38	<i>Eriogonum nudum</i>	ERNU	6	0	perennial	native
39	<i>Erodium cicutarium</i>	ERCI	3499	0	annual	alien
40	<i>Gutierrezia sarothrae</i>	GUSA	14	0	perennial	native
41	<i>Holosteum umbellatum</i>	HOUM	2598	2681	annual	native
42	<i>Chorizanthe watsonii</i>	CHWA	7	0	annual	native
43	<i>Chrysothamnus viscidiflorus</i>	CHVI	2	0	perennial	native
44	<i>Chrysothamnus viscidiflorus</i> var <i>puberulus</i>	CHVP	3	0	perennial	native
45	<i>Idahoia scapigera</i>	IDSC	0	301	annual	native
46	<i>Ivesia aperta</i> var <i>canina</i>	IVAC	2	0	perennial	native
47	<i>Ivesia webberi</i>	IVWE	1253	0	perennial	native
48	<i>Juncus bufonius</i>	JUBU	62	11	annual	native
49	<i>Lactuca serriola</i>	LASE	1	0	annual	alien
50	<i>Lagophylla ramosissima</i>	LARA	404	9	annual	native
51	<i>Lepidium perfoliatum</i>	LEPE	1	0	annual	alien
52	<i>Lewisia rediviva</i>	LERE	108	0	perennial	native
53	<i>Leymus cinereus</i>	LECI	1	0	perennial	native
54	<i>Lithophragma glabrum</i>	LIGL	0	852	perennial	native
55	<i>Lomatium macrocarpum</i>	LOMA	204	0	perennial	native
56	<i>Lomatium nudicaule</i>	LONU	3	0	perennial	native
57	<i>Lotus sp.</i>	LOSP	3	0	annual	native
58	<i>Lupinus argenteus</i>	LUAR	1	0	perennial	native

59	<i>Lupinus lepidus</i> var <i>sellulus</i>	LULS	30	0	perennial	native
60	<i>Lupinus malacophyllus</i>	LUMA	40	70	perennial	native
61	<i>Macrosteris gracilis</i>	MAGR	139	16	annual	native
62	<i>Madia exigua</i>	MAEX	10	16	annual	native
63	<i>Mimulus susksdorfii</i>	MISU	0	38	annual	native
64	<i>Muilla transmontana</i>	MUTR	8	0	perennial	native
65	<i>N</i>	N	0	1	morphospecies	N/A
66	<i>Navarretia breweri</i>	NABR	15	0	annual	native
67	<i>Nestotus stenophyllus</i>	NEST	33	0	perennial	native
68	<i>Orobanche corymbosa</i>	ORCO	1	0	annual	native
69	<i>Pectocarya setosa</i>	PESE	2	8	annual	native
70	<i>Penstemon roezlii</i>	PERO	30	0	perennial	native
71	<i>Perideridia bolanderi</i>	PEBO	1	0	perennial	native
72	<i>Phlox longifolia</i>	PHLO	51	0	perennial	native
73	<i>Plagiobothrys tenellus</i>	PLTE	28	0	annual	native
74	<i>Plectritis macrocera</i>	PLMA	38	1	annual	native
75	<i>Pleicanthus spinosus</i>	PLSP	5	0	perennial	native
76	<i>Poa bulbosa</i>	POBU	297	0	perennial	alien
77	<i>Poa secunda</i>	POSE	443	0	perennial	native
78	<i>Prunus andersonii</i>	PRAN	2	0	perennial	native
79	<i>Purshia tridentata</i>	PUTR	3	0	perennial	native
80	<i>Ranunculus testiculatus</i>	RATE	0	538	annual	alien
81	<i>Rigiopappus leptocladus</i>	RILE	498	229	annual	native
82	<i>Salsola tragus</i>	SATR	609	76	annual	alien
83	<i>Sidalcea</i>	Code	2	0	perennial	native
84	<i>Sisymbrium altissimum</i>	SIAL	44	74	annual	alien
85	<i>Stipa</i> sp	STSP	32	0	perennial	native
86	<i>T</i>	T	0	1	morphospecies	N/A
87	<i>Tetradymia canescens</i>	TECA	10	0	perennial	native
88	<i>Tragopogon dubius</i>	TRDU	3	0	annual	alien
89	<i>Trifolium lemmonii</i>	TRLE	38	0	perennial	native
90	<i>U</i>	U	0	1	morphospecies	N/A

91	<i>Vulpia microstachys</i>	VUMI	1117	558	annual	native
92	<i>Wyethia mollis</i>	WYMO	3	0	perennial	native
93	<i>Y</i>	Y	0	12	morphospecies	N/A

*Species names follow the scientific nomenclature in the Flora of North America Flora

CHAPTER 3 Life in the desert: habitat spatial complexity, gene flow and functional connectivity in *Ivesia webberi**

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ABSTRACT

Habitat protection is not sufficient to conserve range-restricted species with disjunct populations. It is also critical to characterize gene flow among the populations and factors that influence functional connectivity in order to design effective conservation programs. In this study, we genotyped 314 individuals of *Ivesia webberi*, a United States federally threatened Great Basin Desert perennial forb using six microsatellite loci, to estimate genetic diversity and population genetic structure, as well as rates and direction of gene flow among 16 extant *I. webberi* populations. We also assessed the effects of Euclidean distance, landscape features, and ecological dissimilarity on the genetic structure in the sampled populations, as well as the relationship between *I. webberi* genetic diversity and floristic diversity in the vegetative communities. The results show low levels of genetic diversity ($H_e = 0.200\text{--}0.441$; $H_o = 0.192\text{--}0.605$) and high genetic differentiation among the populations. Genetic diversity was structured along geographic and latitudinal gradients, indicating isolation by distance and central-marginal patterns. Genotype cluster membership supports west to east gene flow for populations near the species' range center. Populations at the range margins have significantly higher genetic distances, higher relatedness, and evidence of genetic bottlenecks. Pairwise genetic distance strongly correlates with actual evapotranspiration and precipitation, indicating a pattern of isolation by environment. An inverse of habitat suitability, but not land cover, contributes to an isolation by landscape resistance and highlights the importance of maintaining suitable habitats that act as corridors to facilitate functional connectivity. The significant correlation between pairwise genetic distance and dissimilarity in the soil seed

bank suggest that annual regeneration of the floristic communities contributes to the genetic diversity in *I. webberi*.

Keywords: gene flow, *Ivesia webberi*, central marginal hypothesis, isolation by distance, species-genetic diversity, isolation by environment

DATA ACCESSIBILITY STATEMENT: Data generated or analyzed during this study are included in this article and the supplementary information files. The microsatellite loci and primers used in this study were developed and described in the Dobeš and Scheffknecht (2012) paper.

INTRODUCTION

Effective species conservation involves not only protecting habitat, but also maintaining genetic diversity within and among populations in order to ensure evolutionary potential on the rapidly changing landscapes of the Anthropocene (Murphy et al., 2010; Spear et al., 2010; Auffret et al., 2017). Gene flow among populations is critical to the maintenance of genetic variation (Cruzan, 2001; Hughes et al., 2008; Ellstrand, 2014), especially among spatially discrete populations of organisms (Peacock and Smith, 1997; Consuegra et al., 2005; Shirk et al., 2010; Neville et al., 2016). For species found in naturally fragmented habitats gene flow among populations may be constrained by both distance and movement across unsuitable habitat (Templeton et al., 1990; Peacock and Smith, 1997; Murphy et al., 2010). Such varied landscapes may then produce a pattern of isolation by resistance, which could impede successful recolonization of locally extirpated habitat patches (McRae, 2006; McRae and Beier, 2007; Zeller et al., 2012). In addition, local adaptation to divergent micro-ecological conditions, or isolation by environment, can also contribute to differentiation among populations and limit successful recolonization potential (Wang and Bradburd, 2014; Sexton et al., 2014).

For narrowly distributed endemic species found on spatially complex landscapes, habitat fragmentation, degradation and climate change are likely to elevate the risk of both population isolation and extinction probability (Zwick, 1992; Dirnböck et al., 2011; Canales-Delgadillo et al., 2012). Therefore, characterizing patterns of gene flow, levels of genetic diversity and population genetic structure among populations of rare, endemic and threatened species is critical for informing conservation actions that facilitate

functional connectivity (Nevill et al., 2017) and the identification of evolutionarily significant units (Peacock and Dochterman, 2012, Brown et al., 2016).

Here we characterize genetic diversity and population genetic structure in *Ivesia webberi* A. Gray, in the Rosaceae family, a federally listed (United States Endangered Species Act 1973 ESA; 16 U.S.C. § 1531 et seq.) and narrowly distributed endemic perennial forb, found at the western edge of the Great Basin Desert (Figure 1; USFWS, 2014), in order to assess the effects of both natural history and landscape viscosity on dispersal and gene flow in this species. The geological history, basin and range topography and significant microclimatic gradients of the Great Basin Desert (Cassel et al., 2009; Kraft et al., 2010), together with the effects of historical climatic cycles, have shaped the distribution of native species in the Great Basin Desert resulting in many local distributed and endemic plant species. At the time of this study *I. webberi* was known from only 16 spatially discrete populations (additional populations were discovered after sampling occurred, see Figure 1) found at mid-elevation sites in Great Basin habitat in a narrow band along the eastern edge of the Sierra Nevada, together with other native Great Basin plant species including *Artemisia arbuscula* and *Balsamorhiza hookeri*. These sites have been impacted by severe historical and current anthropogenic disturbance including livestock grazing, wildfires, urbanization, off-highway vehicle (OHV) use and climate change, and are currently threatened by encroachment of invasive plants, such as *Bromus tectorum*, *Taeniatherum caput-medusae* and *Poa bulbosa* (USFWS, 2014).

We employ nuclear microsatellite genetic markers to: (a) measure levels of genetic diversity, effective population size (N_e) and rates and direction of gene flow for *I. webberi* populations; (b) estimate the effect of Euclidean distance, landscape features,

and ecological dissimilarity on the genetic structure in the sampled populations; and (c) investigate a relationship between *I. webberi* genetic diversity and floristic diversity in the vegetative communities. Due to the spatial configuration of these populations, we also (d) test the central-marginal hypothesis (CMH), which predicts decreased gene flow and increased pairwise genetic differentiation among populations towards the edge of the species range (Eckert et al., 2008; Pfenninger et al., 2011; Micheletti and Storfer, 2015). We predict a spatial genetic diversity pattern related to landscape features as well as effective resistance to gene flow by anthropogenic disturbance among the sampled *I. webberi* populations. Following the predictions of the central-marginal hypothesis, populations closer to the species' range center should have the highest genetic variation. We also predict genetic bottlenecks among *I. webberi* populations due to the past and current anthropogenic landscape perturbations

MATERIALS AND METHODS

Study species

I. webberi is a perennial forb that produces clusters of small greenish-gray leaves (i.e., 25 cm diameter) that grow at ground level and small bright yellow flowers. Flowering occurs between May and June. Occupied sites are sparsely vegetated flat, bench or terrace locations in shallow, rocky and with clay soils. All sampled *I. webberi* populations are located between 1364 and 1900 meters within the transition zone between the eastern edge of the northern Sierra Nevada and the northwestern edge of the Great Basin (United States Fish and Wildlife Service, https://www.fws.gov/nevada/nv_species/webber_ivesia.html).

I. webberi reproduces vegetatively from the dormant root caudex as well as sexually from seeds. The showy yellow flowers produced by *I. webberi* are visited by native Hymenopterans, Dipterans, and Lepidopterans and therefore, the species is thought to be entomophilous. However, little is known about the dispersal dynamics of this species (USFWS, 2014). The species produces dry indehiscent achene fruits, which are not adapted for long-range dispersal, but abscise into rock crevices that characterize the soil surface in all sites (Witham, 2000; USFWS, 2014). There are no known seed dispersers for this species, but there is localized seed dispersal to bare-soil microsites due to gravity-assisted surface runoff from summer precipitation that results in recruitment from seedlings and colonization of unoccupied bare-soil sites. Therefore, gene flow among *I. webberi* populations is thought to be more likely from pollen movement among populations than from seed dispersal (Ennos, 1994). However, it is not yet established if the *I. webberi* floral insect visitors are pollinators. Nevertheless, foraging distance and hence potential pollen dispersal in some Hymenopterans ranged from 200 m to 6 km (Pasquet et al., 2008; Albrecht et al., 2009).

Sample collection, DNA extraction, PCR amplification, and genotyping

Five leaves were collected per plant from 24 randomly selected plants in each of the 16 sampled *I. webberi* populations (Table 1). The leaves were stored in paper collection bags with silica gel to facilitate drying of samples at room temperature. GPS coordinates of each sample were also recorded using Garmin eTrex 20x.

Five mg of leaf tissue from each plant sample (n = 384) were processed using a TissueLyser II (QIAGEN Inc., Valencia, CA, USA). Genomic DNA was extracted using

the protocol described in the DNeasy96 Plant Extraction Mini kit (QIAGEN). DNA per sample was quantified at the Nevada Genomics Center (<https://www.unr.edu/genomics>) using the PicoGreen dsDNA assay (ThermoFisher, Waltham, MA, USA). DNA concentration was determined using a standard curve equation following DNA detection under the Fluoroskan Microplate Fluorometer (ThermoFisher, Waltham, MA, USA).

No microsatellite loci have been developed for *I. webberi* nor for any species in this genus. We tested 20 microsatellite loci developed from *Potentilla pusilla* (Dobeš and Scheffknecht, 2012) for use with *I. webberi* (Table 2). *Potentilla* is phylogenetically related to *Ivesia* (Töpel et al., 2012) and the developed markers were reported to be polymorphic and cross-amplified with other species, at success rates ranging from 86 to 97% (Dobeš and Scheffknecht, 2012). Six microsatellite loci amplified consistently in *I. webberi* and were further optimized for this study. PCR amplification was carried out in a Labnet International Inc. MultiGene™ OptiMax thermal cycler (115V model) in 10.0 µl reaction volumes in a 96-well format using the Qiagen Multiplex PCR kit, which contains HotStarTaq DNA polymerase, dNTPs, and PCR buffer at a 2× concentration. The six primer pairs were amplified in single or multiplexed PCR reactions with a final concentration of 0.05 µM of each tailed forward primer and 0.1 µM of each reverse primer. Each PCR reaction included 5 µl of Multiplex Mix, 20-50 ng of DNA, between 0.1-0.2 µl of primer and approximately 4.5 µl of ultra pure molecular grade water with some reactions being combined post-PCR. PCR parameters included a 15 minute hot start at 95 °C, then 41 cycles of 95 °C for 30 seconds, followed by a touchdown annealing temperature that ranged between 65 to 55 °C for 90 seconds with a final elongation step

of 72 °C for 30 seconds. The touchdown annealing temperature begins with 7 cycles at 65 °C, 7 cycles at 61 °C, 7 cycles at 58 °C and 20 cycles are 55 °C.

PCR products were diluted to an appropriate concentration and 1 µl of diluted PCR product was added to 19 µl of Hi-Di Formamide/LIZ500 size standard (Applied Biosystems) by adding 5 µl of size standard for each 1 ml of Hi-Di Formamide. Fragment analysis was done on an Applied Biosystems (ABI) Prism 3730 DNA analyser at the Nevada Genomics Center (<https://naes.unr.edu/genomics>), and all alleles generated were scored, binned and genotyped using the ABI GeneMapper software (version 5; Applied Biosystems, ThermoFisher Scientific). We also re-amplified 30% of the sample size (~115 samples) to validate genotyping reliability. Individual leaf samples that failed to amplify were removed from the analysis, thus reducing the sample size from 384 to 314 (Table 1).

Genetic analyses

Population genetic diversity

We used FSTAT 2.9.4 (Goudet, 1995) to test for Hardy–Weinberg equilibrium (HWE) across all loci, (Wright, 1969), calculate the number of alleles (N_a), allelic richness (R_s), the inbreeding coefficient (F_{IS}), and determine whether linkage disequilibrium among loci was present within populations. We estimated genetic diversity (H_e , H_o) using Microsatellite Toolkit in Excel. MICROCHECKER v.2.2.3 (van Oosterhout et al., 2004) was used to test for departures from the Hardy-Weinberg equilibrium for each locus in each population in order to estimate the frequency of allelic dropout and null alleles. MICROCHECKER uses multiple null allele estimators,

including Chakraborty et al. (1992) estimator for null alleles, two Brookfield (1996) estimators, and the van Oosterhout (2004) estimator. Preferential amplification of shorter alleles (Wattier et al., 1998) can result in what appears as a deficit of heterozygotes, which is used to indicate large allelic dropout. Relatedness (r) among individuals within populations was calculated using the Ritland and Lynch equations (1999) in GENALEX v.6.5 (Peakall and Smouse, 2012). We tested for genetic bottlenecks per population using BOTTLENECK v.1.2.02 (Piry et al., 1999) under the single step (SSM) and the two-phase (TPM) mutation models.

Population genetic structure

We used GENALEX to estimate pairwise genetic differentiation among populations (F_{ST}) and STRUCTURE (v.2.3.4; Pritchard et al., 2007) to estimate the number of Bayesian genotype clusters (k) across all *I. webberi* populations, using a 100,000 iteration burn-in followed by 10, 500,000 Markov Chain Monte Carlo (MCMC) replications per k . The optimal number of genotype clusters was determined using the Δk method (Evanno et al., 2005). AMOVA and principal coordinate analysis (PCoA) in GENALEX were used to characterize the partitioning of genetic variation on the landscape. Effective population size (N_e) was calculated for each population and Bayesian genotype clusters were identified using the linkage disequilibrium (LD) method in NeEstimator v.2.0 (Do et al., 2014).

Geographical distance, landscape barriers and ecological dissimilarity

We assessed the influence of geographical distance (isolation by distance; IBD), land cover and inverse of habitat suitability (isolation by resistance; IBR), and ecological

dissimilarity (isolation by environment; IBE) on pairwise genetic distance among the 16 *I. webberi* populations. Both IBD and IBR models were fitted using a linear mixed effects model framework in ResistanceGA R package v. 4.1-11 (Peterman, 2018). Slatkin's linearized pairwise F_{ST} values, which accounts for microsatellite mutation following the single step model (Di Rienzo et al., 1994; Slatkin, 1995), were used as genetic distance (response variable), while pairwise geographical distance was estimated from the GPS coordinates of the polygon centroid for each population. Land cover was derived from the Multi-Resolution Land Characteristics (MRLC) development of the U.S. National Land Cover Database (NLCD) 2016 (Xian et al., 2013), while the habitat suitability map was produced from ensemble projection of niche modeling replicates from six algorithms with $TSS \geq 0.7$ (Appendix I; see Chapter 1). The choice of land cover layer was based on the hypothesis that gene flow in *I. webberi* is mainly pollen-based, since the achene seeds are not adapted for long range dispersal. Field observations show that *I. webberi* flowers are frequently visited by native Lepidopteran, Dipteran, and Hymenopteran insects. Studies show that the movement of these insects across the landscape is effectively impeded by roads and human settlements (Andersson et al., 2017; Corcos et al., 2019), thus a land cover layer is expected to illustrate landscape resistance.

ResistanceGA uses a genetic algorithm from the GA R package to optimize the transformation and impact of resistance surfaces (Scrucca 2013, 2017; Peterman, 2018). Optimization of resistance surfaces in ResistanceGA include a transformation of continuous surfaces (e.g., raster layers) or an assignment of resistance values to the categorical landscape features (e.g., land cover), calculation of pairwise effective distance (e.g., least cost path, random walk, etc.), fitting maximum likelihood population effects

(MLPE) on pairwise genetic distance using the pairwise effective distance as predictor, and performing model selection to determine the best-parametrized resistance to gene flow (Peterman et al., 2019). The habitat suitability map was resampled from 30 m to 250 m and converted to a resistance surface using inverse monomolecular method, which assumes a negative relationship between gene flow and landscape resistance (Peterman, 2018). The land cover layer was also resampled to 250 m and reduced to 15 feature classes each of which was automatically assigned a resistance value. We are aware of the potential effect of spatial resolutions on landscape connectivity modeling results, but this resampling is inevitable due to the computation limitations in running ResistanceGA (Cushman and Landguth, 2010; O'Connell et al., 2019). A composite resistance surface layer which combined both the optimized land cover layer and inverse habitat suitability map was also used.

Functional connectivity in the landscape was calculated using *commuteDistance* function which is similar to the resistance estimates calculated using CIRCUITSCAPE (McRae et al., 2008). For optimal computing efficiency with parallel processing, ResistanceGA was interfaced with CIRCUITSCAPE v.5.7.1 (Anantharaman et al., 2020). Random-walk commute-distance estimates are preferred over least cost path which assume that gene flow is maximized in the lowest cost path because individuals have knowledge of all possible paths (Adriaensen et al., 2013). We used default parameterizations and 10 iterations in ResistanceGA for the independent optimization of the two resistance surfaces (that is, habitat suitability map and land cover layer).

The maximum likelihood population effects (MLPE) model used the linearized pairwise F_{ST} as the response variable, the 16 population codes as the random effect term,

while the fixed effect terms included pairwise geographical distance among the populations, landcover resistance and the transformed habitat suitability map. The MLPE model fitted a null model (*I. webberi* population ID), an IBD model (using pairwise geographical distance and population ID), and IBR model (comprising population ID, pairwise geographical distance and the resistance surfaces used individually and in combination). Following the 10 MLPE model replicates, we conducted bootstrapping to assess the sensitivity of the MLPE models to the spatial distribution of *I. webberi* populations. Here, we randomly subset 75% of the data without replacement, fitted the MLPE models again using 10,000 iterations, and selected the best models using the average AICc values. The percentage contribution of each surface within the multi-surface optimization was calculated by dividing each transformed resistance surface by the sum of the composite resistance surface (Peterman, 2018).

The effect of ecological dissimilarity (IBE) among the population sites on pairwise genetic distances was estimated by generating a distance matrix of the ecological conditions across the 16 sampled *I. webberi* sites from GIS raster layers of cumulative actual evapotranspiration, summer precipitation, native perennial herbaceous cover, mean minimum temperature, cosine aspect and Topographic Position Index (Appendix II). These environmental predictors (Appendix III) were the most important uncorrelated ($r > 0.6$) variables from a suite of 72 assembled predictors, following three consecutive feature reduction analyses (Appendix IV). A Mantel test was used to assess the relationship between the pairwise F_{ST} genetic distance and the matrices of each of the six ecological variables. Accounting for the effect of geographical distance, multiple regression on distance matrices (MRM; Lichstein, 2007) was conducted between the

pairwise F_{ST} values and the ecological variables. Mantel tests were conducted in ECODIST R package (Goslee and Urban, 2007), while MRM analysis was conducted in PHYTOOLS R package (Revell, 2012). Both the Mantel and MRM analyses were conducted with 10,000 permutations.

Central-marginal hypothesis

The range center of the *I. webberi* species distribution was estimated using the range center index (RCI; Enquist et al., 1995) method based on the latitudinal decimal degrees of the population sites. In the RCI, sites closer to the species' range center have values closer to zero, the northernmost site was assigned the value of 1, while the southernmost site was assigned a value of -1. Pearson correlation between *I. webberi* RCI and allelic richness or mean observed heterozygosity (H_o) was used to test the predictions of the central-marginal hypothesis. Additionally, the Mantel test was used to investigate the relationship between a matrix of the latitudinal degrees and the pairwise genetic distance (F_{ST}) among the sampled populations.

Relationship between plant community diversity and Ivesia webberi genetic diversity

We also tested the species-genetic diversity hypothesis that there is a relationship between *I. webberi* genetic diversity and the floristic dissimilarity across the 16 sites (Whitlock, 2014; Kahilainen et al., 2014). In a separate study (Borokini et al., 2021), species richness, abundance, and diversity of both the aboveground plant communities and the soil seed bank of 10 of the 16 sites were quantified (Appendix V), and from which the floristic dissimilarity matrix (β -diversity) across sites was generated using the Bray-Curtis method. Here, we assessed a relationship between linearized pairwise F_{ST} ,

our genetic distance metric, and the floristic dissimilarity matrix in both the aboveground vegetation and the soil seed bank for the 10 sampled sites, using a Mantel test with 10,000 permutations. In addition, we also conducted Pearson correlation between genetic diversity (e.g., allelic richness and mean observed heterozygosity) and species richness and diversity of both the aboveground vegetation and the soil seed bank in each of the 10 sites. Species diversity was the exponential conversion of the Shannon-Weiner H' index for each site (that is, effective number of species; Jost, 2006).

RESULTS

Population level genetic diversity metrics

We genotyped 314 *Ivesia webberi* individuals at six nuclear microsatellite loci (Table 1). Allelic diversity per locus (N_a) ranged from 3–13 alleles, while allelic richness per locus (R_s) ranged from 2.002–4.073 (Appendix VI). No locus showed evidence of null alleles or allelic dropout. Average levels of heterozygosity ranged from $H_o=0.192$ –0.605 and $H_e=0.200$ –0.441 (Appendix VI). Two loci were out of HWE in single or multiple populations. Locus PMS1694 had a significant positive F_{IS} in CST the northernmost population sampled ($F_{IS}=0.898$, $p=0.00052$), indicating a heterozygote deficit, and locus PMS1438 had significant negative F_{IS} values in multiple populations indicating heterozygous excess, which is consistent with a signature of recent genetic bottlenecks (F_{IS} range=-0.8 to -1.0; $p=0.00052$) (Appendix VI). Many of the populations with significant negative F_{IS} values are also peripheral populations (SVE, EHJ, DVA, HGV, PPL and DMR; Figure 1). Genetic bottlenecks were observed for both the TPM and SMM mutation models in five of the populations, four of which had significant

negative F_{IS} values (**bolded**) (**EHJ** – TPM $p=0.017$, SMM $p=0.017$; **MER** – TPM $p=0.042$, SMM $p=0.047$; **BSP** – TPM $p=0.037$, SMM $p=0.039$; **DMR** – TPM $p=0.02$, SMM $p=0.02$; **STL** – TPM, $p=0.016$, SMM, $p=0.023$).

The number of alleles per locus and allelic richness per population were the highest ($N_a=3.33$, $R_T=15.63$) in the WLO population, which is centrally located in the cluster of populations at the center of *I. webberi* range (Figure 1), while the lowest values were found in the isolated southernmost population sampled (DMR, $N_a=1.83$, $R_T=9.34$; Table 1). Similarly, the northernmost and isolated population sampled (CST) also had low allelic diversity ($N_a=2.17$, $R_T=10.31$; Table 1). In addition, DMR and CST had the highest levels of within-population relatedness ($r=0.38$ and $r=0.245$ respectively; Figure 2), while most of the centrally located and spatially proximate populations had low levels of r (Figures 1&2). We could not calculate the 95% CIs for most of the population N_e estimates (69%) and so do not report those values here. For the populations that we could calculate an N_e and 95% CI the values ranged from 0.9–11.6 (Table 3). We also calculated N_e for the genotype clusters identified below (Table 3).

Population genetic structure

Pairwise F_{ST} values among the sampled *I. webberi* populations (Table 4) tended to be high and statistically significant (corrected $p=0.0004$). The non-significant values were found primarily among the spatially proximate populations at the center of the range. The most isolated population sampled (DMR) was significantly differentiated from all remaining populations. Analysis of molecular variation (AMOVA) showed that

71% of the molecular variance was within individuals, while 11% and 18% of the molecular variance were among individuals and populations respectively.

Five genotype clusters (k) were identified as the best fit of the data [Average $\text{LnP(D)} = -2801.42$, $\text{SD} \pm = 2.936$, $\Delta k = 37.098$] (Figure 3b&d). To visualize the spatial extent of the identified genotype clusters on the landscape, we culled the dataset to include only individuals with $Q \geq 80\%$ proportional membership per cluster ($N = 207$; Table 5). Populations were assigned to the cluster in which they had the highest number of individuals with $Q \geq 80\%$. In several cases, however, individuals from a single population are assigned to multiple genotype clusters (see Table 5). We overlaid the spatial extent of the genotype clusters onto the study site map by grouping populations by cluster membership (Figure 3c).

The two genotype clusters with the greatest proportional membership included individuals from the spatially proximate populations at the center of the *I. webberi* range and these clusters showed little spatial overlap in this analysis (yellow, $N = 46$; green, $N = 47$; Figure 3c; Table 5). However, when considering the complete dataset, populations that assign primarily to the green cluster have admixed individuals from the yellow cluster suggesting directional gene flow from west to east (Figure 3a). All individuals in DMR, the southernmost population, assigned to a single genotype cluster (orange) that interestingly also included individuals from the northernmost population sampled CST (Figure 3a; Table 5). The populations with the highest assignment to the blue cluster formed a narrow northwest to southeast band, which included individuals from CST, the northernmost population and DLF, the southernmost of the central populations (Table 5). The gray genotype cluster membership was diffuse with individuals from 13 separate

populations assigning to this cluster. However, the five populations with the highest number of individuals that are assigned to this cluster form a narrow band trending west to east (Figure 3c; Table 5). When examining the complete dataset, there are admixed individuals found throughout the range as well as individuals that assign to different genotype clusters with high proportional membership within single populations (Figure 3a; Table 5).

PCoA was conducted on the genotype clusters as described above (individuals with $Q \geq 80\%$). The first 3 axes explain 50% of the variance (Figure 4). There was little separation among clusters on axis 1 (21.86% variance), but genotype cluster 1 (orange) was clearly separated from cluster 3 (yellow) on axis 2 (14.91% variance) and cluster 4 (blue) was largely separated from all other clusters by axis 3 (13.28% variance; Figure 4). Effective population size was the largest in genotype cluster 3 (yellow; $N_e=40.5$) and lowest in genotype cluster 1 (orange; $N_e=2.6$; Table 3).

Spatial correlates of genetic diversity

Pairwise linearized F_{ST} shows a significant geographical pattern among the 16 sampled *I. webberi* populations indicating isolation by distance (Table 6). Latitudinal degrees and pairwise genetic distance among the 16 populations also showed a significant positive relationship (Table 6). However, we did not observe a significant relationship between range center index (RCI) and allelic richness ($r=0.393$, $p=0.132$) or observed heterozygosity ($r=0.257$, $p=0.337$) despite positive trends. These results indicate a significant spatial genetic structure in the sampled *I. webberi* populations and provide partial support for the predictions of the central marginal hypothesis.

Relationship between floristic diversity and genetic diversity in *Ivesia webberi*

Pearson correlation tests show a positive, but nonsignificant relationship between aboveground community species richness and *I. webberi* allelic richness ($r=0.383$, $p=0.274$) and observed heterozygosity ($r=0.207$, $p=0.567$). There was also no relationship between genetic diversity and species richness in the soil seed bank or aboveground species dissimilarity matrix, but there was a significant positive relationship between pairwise genetic distance (F_{ST}) and soil seed bank species dissimilarity (Table 6).

Models of isolation by resistance and environment

The result of the bootstrap analysis on the maximum likelihood population effects (MLPE) models show that isolation by distance explained most of the variance in the patterns of gene flow in *I. webberi*, followed by the inverse projected habitat suitability map (Table 7). Furthermore, pairwise genetic distance among the populations has a positive relationship with pairwise difference in cumulative actual evapotranspiration and mean annual precipitation, but not with cosine aspect, minimum annual temperature, perennial herbaceous cover, and Topographic Position Index (Table 6).

DISCUSSION

Results of this study reveal contrasting patterns of significant population genetic structure and isolation in addition to dispersal and gene flow among the sampled *I. webberi* populations. We found evidence of isolation by distance, by environment and by resistance as well as environmental correlates of standing genetic variation. These patterns appear to be largely driven by geographic distance, latitude and to a smaller

degree inverse of habitat suitability, but also climatically influenced evapotranspiration and precipitation. Population levels of mean observed heterozygosity tended to be low ($\overline{X} = 0.390$) ranging from 0.192 to 0.605, with the exception of two neighboring populations at the center of the range (MER and IVF), which had higher observed heterozygosities (0.559 and 0.605 respectively). Not surprisingly, the highest levels of heterozygosity and allelic richness as well as non-significant pairwise F_{ST} estimates were found among spatially proximate populations at the center of the range.

However, the Bayesian genotype clustering analysis reveals a more complex movement pattern. We observed directional gene flow and admixture primarily from west to east between the two largest genotype clusters. Most admixed individuals (i.e. green and yellow genotype cluster ancestry; Figure 3) were found in populations that were assigned primarily to the easternmost genotype cluster (green). There was very little admixture observed among the other genotype clusters, but cluster dispersion spanned multiple populations creating distinct spatial patterns (see Figure 3c). The dispersion of the genotype clusters in PCoA space also suggests a landscape level influence on the source populations. Despite the fact that the blue and gray genotype clusters were found in multiple populations, the spatial distribution of these clusters was narrow. Although the populations with highest core membership in these genotyped clusters are the likely source of dispersers, we cannot definitively ascertain dispersal direction in these cases, but latitudinal degrees was one of the significant predictors of gene flow suggesting movement from higher to lower latitudes. These patterns also suggest that two dispersal modes – both pollen and seed dispersal may be in play here with landscape features influencing which dispersal mode is prevalent among populations.

Gene flow via pollen transfer may occur by native Dipterans, Lepidopterans and Hymenopterans, observed to be visiting the flowers frequently during field surveys (Dick et al., 2008; Auffret et al., 2017). The isolation by distance patterns may therefore be partially explained by the flight ranges and foraging behavior exhibited by potential pollen vectors (Matter et al., 2013; Mokany et al., 2014). However, it is unknown at this point, if the floral visitors on *I. webberi* are effective pollinators. Gamete dispersal (pollen) would result in pollination and hence admixture, whereas seed dispersal would not. Only through future sexual reproduction would dispersed seeds colonizing a new population lead to admixture. Once seeds are established and if the adult plant reproduces vegetatively no admixture would be observed and distinct genotype cluster assignments within populations would persist. *I. webberi* is known to reproduce vegetatively, which could explain the high proportional membership of individuals in the same population to distinct genotype clusters. In fact, negative F_{IS} values in some of the loci, indicating a heterozygous excess, together with high within individual genetic variance is consistent with vegetative regeneration and clonality in *I. webberi* (Balloux et al., 2005). The levels of genetic diversity observed in this study are also similar to those observed in mixed-mating plants and outcrossing species (e.g. Culley and Wolfe, 2001; Meeus et al., 2012), which suggests there is both successful sexual reproduction as well as vegetative reproduction in *I. webberi* (Genton et al., 2005; Dlugosch and Parker, 2008; Muller et al., 2011).

Localized seed movement due to gravity-assisted surface runoff is observed during field surveys, but vector(s) for potential long distance seed dispersal in this species remain unknown. Similar water-assisted seed dispersal patterns via spring snowmelt and

summer precipitation is reported for other *Ivesia* species that do not reproduce vegetatively (e.g., *I. tweedyi*, Moseley, 1993; *I. lycopodioides*, Pollak, 1997), but neither vectors nor seed dispersal distances have been quantified for any *Ivesia* species. The genetic data here suggest that seed movement may occur over 10s of kilometers, but such dispersal distances may be the result of incremental movement of seeds across the landscape over multiple generations followed by vegetative reproduction. Patterns of heterozygote excess and negative F_{IS} values such as observed for the PMS1438 locus are also suggestive of genetic bottlenecks or small founder events. Three of five populations with signatures of significant genetic bottlenecks had very small populations, while four of the populations had individuals that assign to multiple genotype clusters with high proportional assignment ($Q \geq 80\%$) and little evidence of admixture.

A significant linear relationship between the pairwise geographical distance and genetic differentiation among the populations indicate a spatial genetic structure and support the predictions of the isolation by distance theory (Dias et al., 2016; Minasiwicz et al., 2018). However, nonsignificant positive correlation between genetic diversity estimates and the range center index and latitudinal position, but a strong linear relationship between the pairwise latitudinal degrees distance and genetic distance provide partial support for the predictions of the central-marginal hypothesis (e.g. Langin et al., 2017). The results indicate an increase in pairwise population genetic differentiation towards the edge of the species' range. This is not surprising given that many of the sampled populations are clustered near the species range center which may experience higher levels of gene flow. Indeed, the DMR population at the southern edge of the species range is geographically isolated from the rest of the populations and as a

result has the greatest pairwise genetic differentiation, lowest allelic richness and expected heterozygosity and highest within population genetic relatedness.

Among the six environmental predictor variables used, genetic differentiation has a significant relationship with pairwise differences in actual evapotranspiration and precipitation. This highlights significant ecological dissimilarity among the sites which correlates with genetic distance, and may indicate isolation by environment. Both the actual evapotranspiration and precipitation represent water availability and climatic stress to which the native flora in the Great Basin Desert must adapt. The differences in water availability among these sites may be attributed to their varying elevation and topographic positions which determines the duration of their exposure to sunlight. Field observations suggest that *I. webberi* have adapted to these varying microclimatic conditions across the sites through varying phenology. For example, populations at lower elevations were observed to regenerate earlier, hence, flower earlier than those in the higher elevations and this could result in a temporal mismatch in flowering which can impede successful gene flow via pollen transfer among the populations. Previous studies also show a significant positive relationship between water availability and genetic distance (Allen et al., 2002; Oliveira et al., 2018; Tso and Allen, 2019). Moreover, climatic resistance to gene flow has been reported for plant species (Alvarado-Serrano et al., 2019), but may also indicate climatic impacts on the physiology of probable pollen vectors of *I. webberi*. Previous studies focusing on connectivity among the populations of animal species report on strong movement costs of climatic resistance surfaces which were attributed to the physiological tolerance limits (Sexton et al., 2014; Castillo et al., 2014; Hohnen et al., 2016; Flores-Manzanero et al., 2019).

Floristic richness and diversity in the aboveground vegetation and the soil seed bank in the sampled 10 population sites both have a significant linear relationship with effective population sizes in *I. webberi*. Though the species regenerates vegetatively, effective population sizes may be associated with seedling recruitment into the vegetative community. Most of the sites harboring *I. webberi* have been invaded by nonnative species. Field observations suggest that the abundance of the invasive plant species does not prevent the annual vegetative regeneration of established matured *I. webberi* individuals, however, invasive alien species can hinder new recruitment of native plants in the vegetative community by outcompeting the young and delicate native seedlings (Chambers et al., 2007; Borokini et al., 2021). Moreover, the dense tufts of invasive weeds may trigger Allee effects which prevent pollinators from detecting *I. webberi* and reduce chance pollen grain transfer to other individuals by wind. Therefore, a strong relationship between floristic richness and diversity in both the aboveground vegetation and the soil seed bank and the effective population size in *I. webberi* may be attributed to ecological interactions that facilitate or impede localized gene flow and *I. webberi* seedling recruitment. Furthermore, the significant relationship of the soil seed bank with effective population sizes in *I. webberi* underscores the role of the soil seed bank in maintaining the genetic diversity of native species (Mandák et al., 2012; Schulz et al., 2018). This finding is congruent with previous studies that show a significant and positive relationship between species-genetic diversity (Hughes et al., 2008; Kahilainen et al., 2014; Vellend et al., 2014). In a meta-analysis, Whitlock (2014) found a positive relationship between adaptive genetic diversity and species richness and with biomass productivity, representing community structure and function, respectively, but not with

species diversity and evenness. Also, a significant positive relationship was observed between neutral genetic diversity and community structure (Vellend and Geber, 2005; Whitlock, 2014). Interspecific competition in niche space within an ecological community could impact both neutral and adaptive genetic diversity in populations over time and trigger varying selection across different populations within the species (Vellend, 2005; Bailey et al., 2009; Whitlock, 2014). Intraspecific genetic diversity can influence community responses to environmental changes and determine the velocity of shifts in community structure and functions (Broadhurst et al., 2008; Whitlock, 2014).

The results of this study reveal a complex interaction among Euclidean distance and environment parameters on the population genetic structure of *I. webberi*. A meta-analysis of 70 studies showed that gene flow among plants was more patterned along a combination of isolation by distance and by environment (Sexton et al., 2014). A narrowly distributed endemic found primarily in small spatially discrete populations, *I. webberi* is currently threatened by urbanization, changing fire regimes and invasive plant species. Any insect-assisted pollen transfer among *I. webberi* populations may be hindered as insects avoid human-altered landscapes such as the ones in which *I. webberi* occurs (Làzaro et al., 2020; Delnevo et al., 2020). Anthropogenic landscape features result in potential habitat loss and fragmentation, which could increase extirpation risks and resistance to gene flow among the populations. The extreme isolation of the DMR population, which also contains unique alleles, is of concern; given its small spatial extent, efforts to expand the population may be warranted. Although individuals which assign to same genotype cluster as the DMR population were found in other populations suggesting past dispersal and the possibility of unidentified populations in the habitat

separating DMR from the core of the species range. Furthermore, the Great Basin Desert is projected to experience milder winters and hot summers as a result of climate change (Chambers, 2008). Therefore, conservation efforts on *I. webberi* should include genetic characterization of newly discovered sites, further characterization of dispersal dynamics, protection and monitoring of all population sites and potential connectivity corridors, and control of invasive alien species.

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LIST OF APPENDICES

Appendix I – Fitting of the ecological niche modeling for *Ivesia webberi*

Appendix II. Ecological conditions in the 16 *Ivesia webberi* populations based on the six ecological variables

Appendix III – Feature reduction process for the ecological predictor variables

Appendix IV. A description of the six selected predictor variables used to test the isolation of environment pattern in the gene flow among the 16 sampled *Ivesia webberi* populations

Appendix V. Floristic richness and diversity in the aboveground and the soil seed bank of the 10 *Ivesia webberi* sampled populations (adapted from Borokini et al. 2021).

Appendix VI. Number of individuals genotyped (N), number of alleles observed (A), allelic richness (R_s), expected (H_e) and observed (H_o) heterozygosities, and the inbreeding coefficient (F_{IS}) for each locus across the 16 *Ivesia webberi* populations. F_{IS} values with asterisk are statistically significant (adjusted $P = 0.00052$, based on 1920 randomizations). NA means no analysis

TABLES

Table 1. *Ivesia webberi* populations sampled for this study, abbreviated (abr) site names, patch size (acres), sample size (N), mean number of alleles per locus (N_a), allelic richness over all loci per population (R_T) and mean observed (H_o) and expected (H_e) heterozygosity per population.

Population	Patch			Averages			
	Abr	size	N	N_a	R_T	H_o	H_e
Sierra Valley	SVE	44.8	21	2.67	13.45	0.400	0.344
Constantia	CST	1.91	20	2.17	10.31	0.192	0.204
Evans Canyon, East of Hallelujah junction wildlife area (HJWA)	EHJ	0.14	24	2.17	11.60	0.419	0.305
HJWA	HJA	0.05	18	2.67	14.56	0.410	0.363
Dog Valley meadow	DVA	71.58	22	2.67	12.83	0.359	0.317
White Lake overlook	WLO	13.56	22	3.33	15.63	0.487	0.405
Mules Ear Flat	MER	0.14	20	3.00	15.28	0.559	0.441
Ivesia flat	IVF	0.73	20	2.83	14.29	0.605	0.435
Stateline road 1	STL	7.03	9	2.50	14.29	0.495	0.379
Stateline road 2	STN	4.03	13	2.33	12.85	0.316	0.346
Hungry valley	HGV	0.16	24	2.50	12.28	0.492	0.369
Black springs	BSP	6.31	18	2.33	11.85	0.315	0.271
Raleigh heights	RAH	9.55	23	3.17	13.88	0.423	0.355
Dutch Louie flat	DLF	1.35	19	2.83	12.51	0.237	0.242
The Pines power line	PPL	0.14	18	2.17	10.79	0.265	0.216
Dante Mine Road	DMR	0.56	23	1.83	9.34	0.274	0.200

Table 2. Locus, primer sequences, repeat motif and PCR melting temperatures for the six microsatellite loci used in this study

Locus	Primer 5'-3'	Repeat Motif	Melting temperature (°C)
PMS 1080	F: AAATAGGCCATCCCAATTCC R: TGCCCATCTTTCTTCTGGTT	(TAG) ₁₄	66.7 57.9
PMS 1180	F: CGATCGTAACCGTTCTCCAT R: ACCGCTCTTCTTCTCCGATT	(TC) ₄ , (GGC) ₇	65.3 58.5
PMS 1438	F: GGACTTGGGACTTTGTTGGA R: TCCCAAATGCAATCGTGTA	(AC) ₁₀	68.5 56.6
PMS 1665	F: CCAAGTGAAGGAAGCCAAAC R: GCCGACGAAGAAGGAAGAC	(AG) ₆	68.2 59.7
PMS 1694	F: CCTCGAGGAACAACCTGTTT R: CATGGACTGAGGAAGAACA	(AT) ₁₃	67.3 58.8
PMS 2190	F: ATAAAGGCAACGCAAGATCA R: CGTATAATCTTACCAATCAATTAACA	(CA) ₅ /(TA) ₁₆	65.5 54.8

*The loci were developed from *Potentilla pusilla* and cross amplified with *I. webberi* (Dobeš and Scheffknecht 2012). See Table S1 for the number of genotyped individuals, alleles observed, allelic richness, expected and observed heterozygosity, and inbreeding coefficient per locus across the 16 *I. webberi* populations

Table 3. Effective population size for populations where we could calculate a 95% CI and genotype clusters (including only individuals with $Q \geq 0.8$ per cluster)

Populations	N_e	95% CI	
HJA	0.9	0.6	1.3
DVA	5.2	3.3	8.5
STN	3.3	1.6	7.7
DLF	8.3	4.4	20
RAH	11.7	6.8	24.1
Clusters			
1 (orange)	2.6	1.7	4
2 (gray)	27.9	12.6	148.1
3 (yellow)	40.5	19.5	162.1
4 (blue)	18.3	10.5	35.9
5 (green)	20.4	12.3	37.6

Table 4. Pairwise genetic differentiation (F_{ST}) values among the 16 *Ivesia webberi* populations. Bold Pairwise F_{ST} values indicate statistical different (corrected $p=0.0004$)

Population	SVE	CST	EHJ	HJA	DVA	WLO	MER	IVF	STL	STN	HGV	BSP	RAH	DLF	PPL
SVE															
CST	0.657														
EHJ	0.135	0.770													
HJA	0.114	0.612	0.045												
DVA	0.156	0.354	0.121	0.104											
WLO	0.041	0.541	0.109	0.084	0.106										
MER	0.227	0.337	0.285	0.248	0.090	0.156									
IVF	0.130	0.317	0.220	0.160	0.102	0.090	0.048								
STL	0.195	0.440	0.109	0.105	0.020	0.087	0.107	0.137							
STN	0.143	0.287	0.159	0.126	0.049	0.108	0.120	0.092	0.021						
HGV	0.252	0.335	0.257	0.188	0.146	0.188	0.131	0.028	0.186	0.124					
BSP	0.167	0.352	0.164	0.121	0.008	0.110	0.099	0.070	0.072	0.040	0.102				
RAH	0.184	0.224	0.228	0.170	0.059	0.141	0.073	0.015	0.116	0.053	0.025	0.027			
DLF	0.352	0.201	0.407	0.282	0.068	0.262	0.107	0.132	0.154	0.102	0.167	0.053	0.066		
PPL	0.421	0.216	0.465	0.352	0.101	0.316	0.104	0.148	0.220	0.153	0.185	0.080	0.077	-0.002	
DMR	0.770	0.667	0.992	0.861	0.631	0.709	0.606	0.531	0.609	0.319	0.561	0.687	0.477	0.612	0.738

Table 5. Genotype cluster assignment for individuals with $Q \geq 80\%$. Highlighted numbers represent the populations per cluster with the highest number of individuals assigned (see text) and are included in Figure 6c

Population	cluster1	cluster2	cluster3	cluster4	cluster5	Total
CST	4	1		7	3	15
MER		2		8	4	14
STN	2	2	1	4	1	10
DLF	2	1		4	3	10
WLO		2	4	8	1	15
HJA			11	4		15
DVA		1	4	2	3	10
EHJ		6	16			22
SVE		5	5		1	11
STL	1	2		1		4
IVF		4		3	6	13
RAH		3			10	13
HGV		1	1	1	9	12
BSP			3		5	8
PPL	1	1	1	5	7	15
DMR	20					20
	30	31	46	47	53	207

Table 6. Results of the Mantel tests and multiple regression on distance matrices (MRM) analysis between pairwise genetic distance (F_{ST}) and predictors of gene flow among the 16 sampled *Ivesia webberi* populations. MRM analysis accounts for the effect of geographical distance between pairwise genetic distance (F_{ST}) and predictors of gene flow. All Mantel test were run in ECODIST R package, while MRM analysis was conducted in PHYTOOLS R package, both implemented with 10,000 permutations

Predictors	Mantel's r	p	MRM F	P
Geographical distance	0.8535	0.0001	n/a	n/a
Latitudinal degrees	0.8608	0.0001	n/a	n/a
Aboveground species dissimilarity*	-0.0173	0.5001	1663.260	0.0001
Soil seed bank species dissimilarity*	0.9862	0.0001	6135.768	0.0001
Actual evapotranspiration	0.6188	0.0061	11119.242	0.0001
Cosine aspect	0.1955	0.1211	15294.608	0.0001
Summer precipitation	0.7066	0.0030	15708.377	0.0001
Minimum annual temperature	-0.0448	0.5717	15561.648	0.0001
Native herb cover	-0.0077	0.4154	12012.579	0.0001
Topographic position index	-0.2259	0.9516	214.772	0.0001

*Species dissimilarity in both the aboveground vegetation and the soil seed bank was computed from 10 of the 16 *I. webberi* populations (Borokini et al. 2021). Therefore, pairwise genetic distance (F_{ST}) corresponding to the sampled 10 populations was used.

Table 7. Summary table from the bootstrap analysis on the MLPE models with 10,000 iterations in RESISTANCE GA R package. k is the number of parameters fitted in the bootstrap analysis, AIC and AICc represent average values of the two parameters in the bootstrap analysis, LL is the average log likelihood of the bootstrap analysis. Weight represents the average contribution of each predictor to the model relative to all predictors included. R^2m is the average marginal R^2 value of the bootstrap analysis on the MLPE model

Parameters	Land cover:niche	Land cover	Niche	Distance	Null
k	19	16	4	2	1
AIC	-64.1803	-70.3673	-91.0559	-96.2079	n/a
AICc	695.8197	473.6327	-85.3417	-94.8746	n/a
LL	51.0902	51.1836	49.5279	50.1039	n/a
R^2m	0.55184	0.5616	0.4934	0.4855	n/a
Weight	0.0000	0.0000	0.0488	0.9512	n/a

FIGURE LEGENDS

Figure 1. Map of the global distribution of *Ivesia webberi*. Symbols represent the geographic center of extant, mapped occurrences. Locations represented by circles show the sampled populations used for this study; circles depicted in the same color represent occurrences that were grouped together by the USFWS as populations. New locations discovered after sample collection and thus not included in this study are represented by diamonds.

Figure 2. Ritland and Lynch (1999) mean relatedness (r) \pm SD for each the 16 sampled *Ivesia webberi* populations.

Figure 3. (A) STRUCTURE output showing proportional membership per genotype cluster ($k=5$) per individual. (B) The natural log of the probability of the data [$\text{LnP}(D)$] values per k for $k=1-10$. (C) Spatial extent of genotype clusters for individuals with $Q \geq 80\%$. (D) Mean $\text{LnP}(K) \pm \text{SD}$, $\text{Ln}'(k)$, [$\text{Ln}''(k)$] and Δk for $k=1-10$.

Figure 4. PCoA plot genotype clusters ($k=5$) for axes (A) 1vs2, (B) 2vs3, and (C) 1vs3.

FIGURES

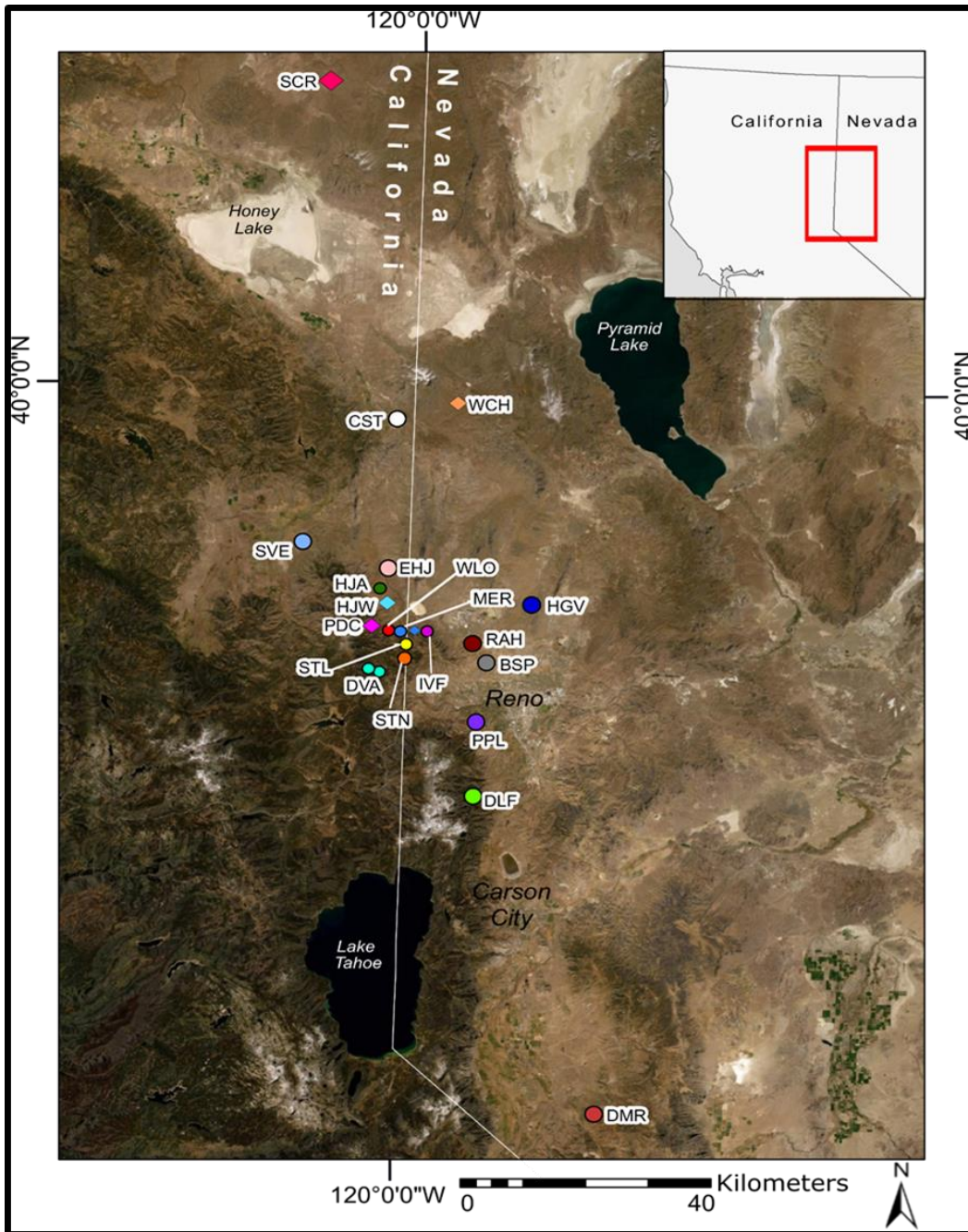


Figure 1.

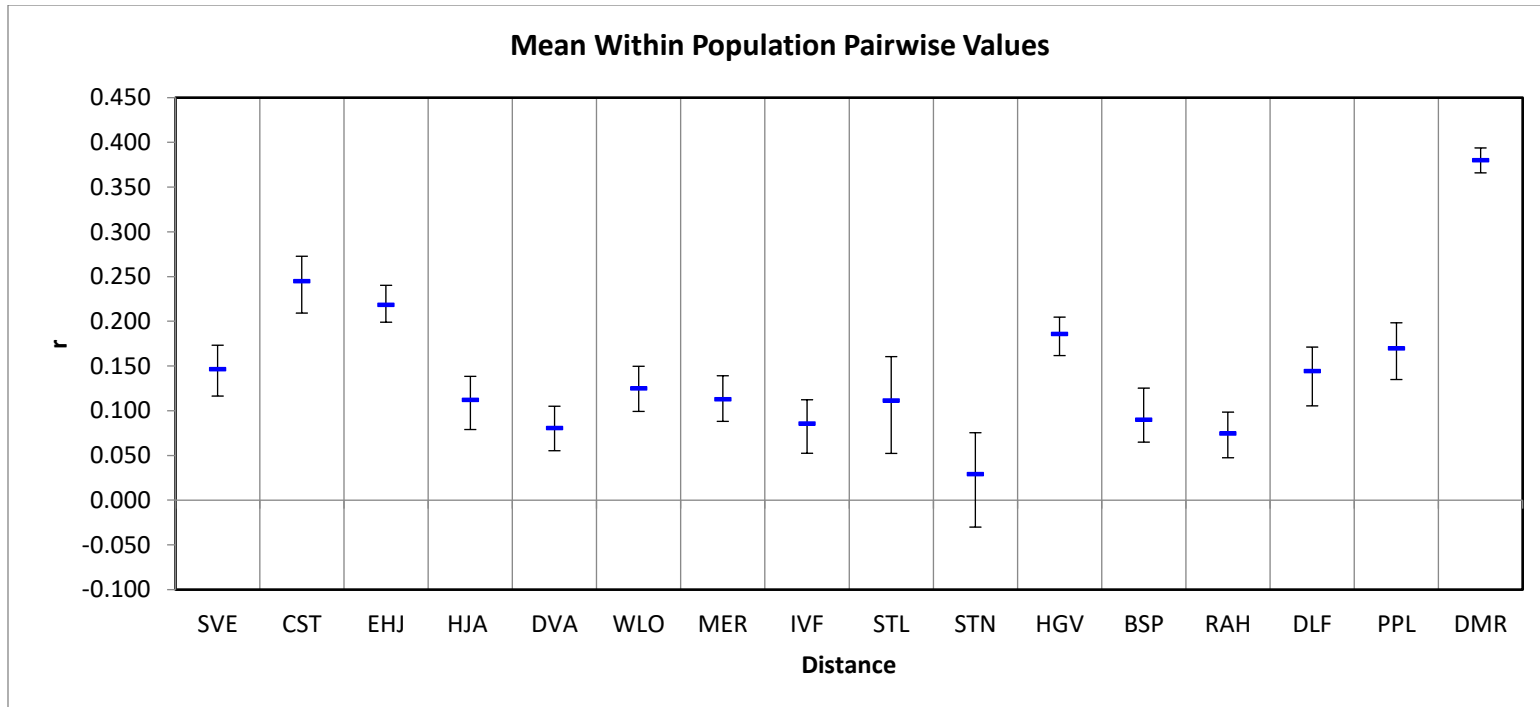


Figure 2.

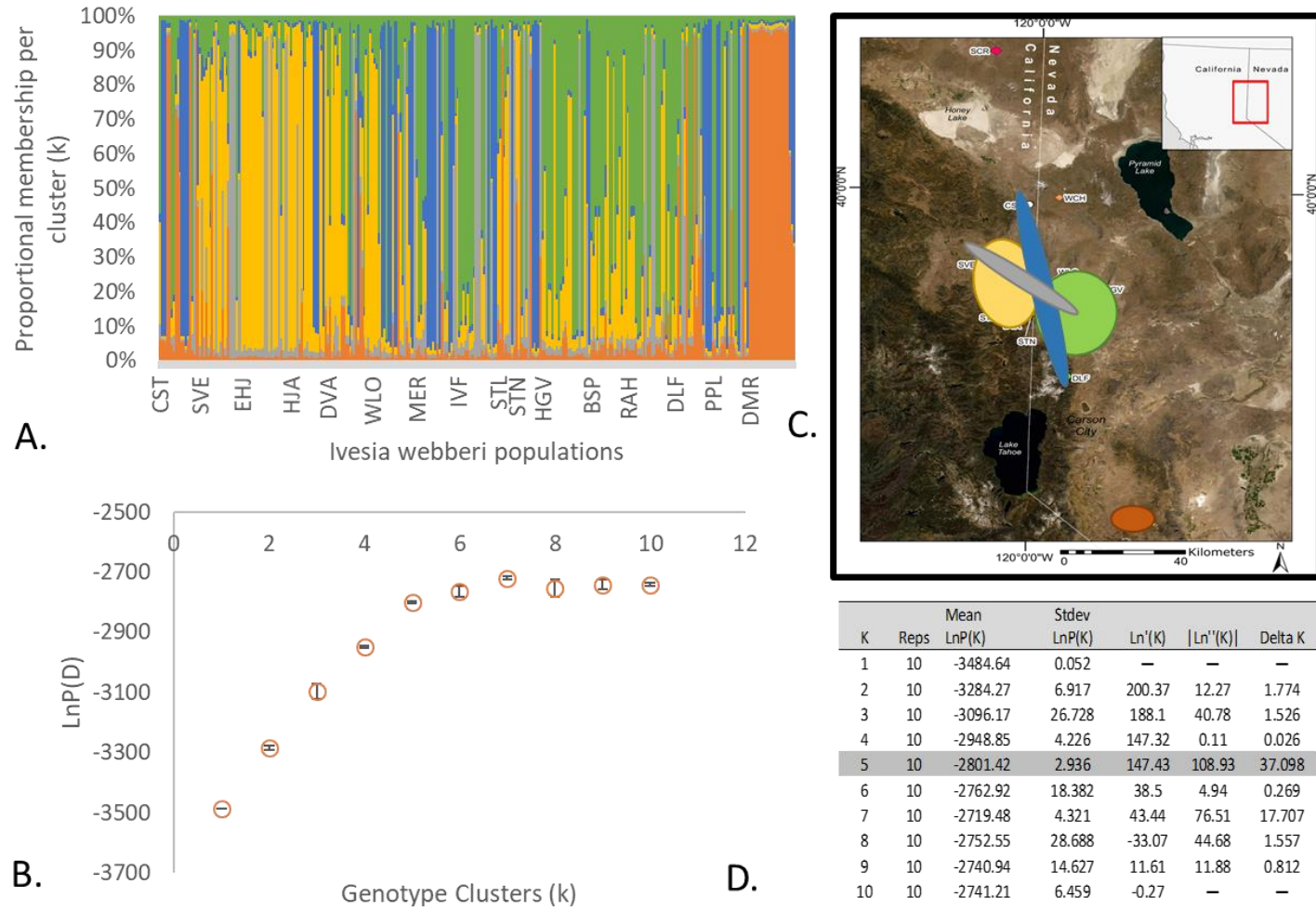
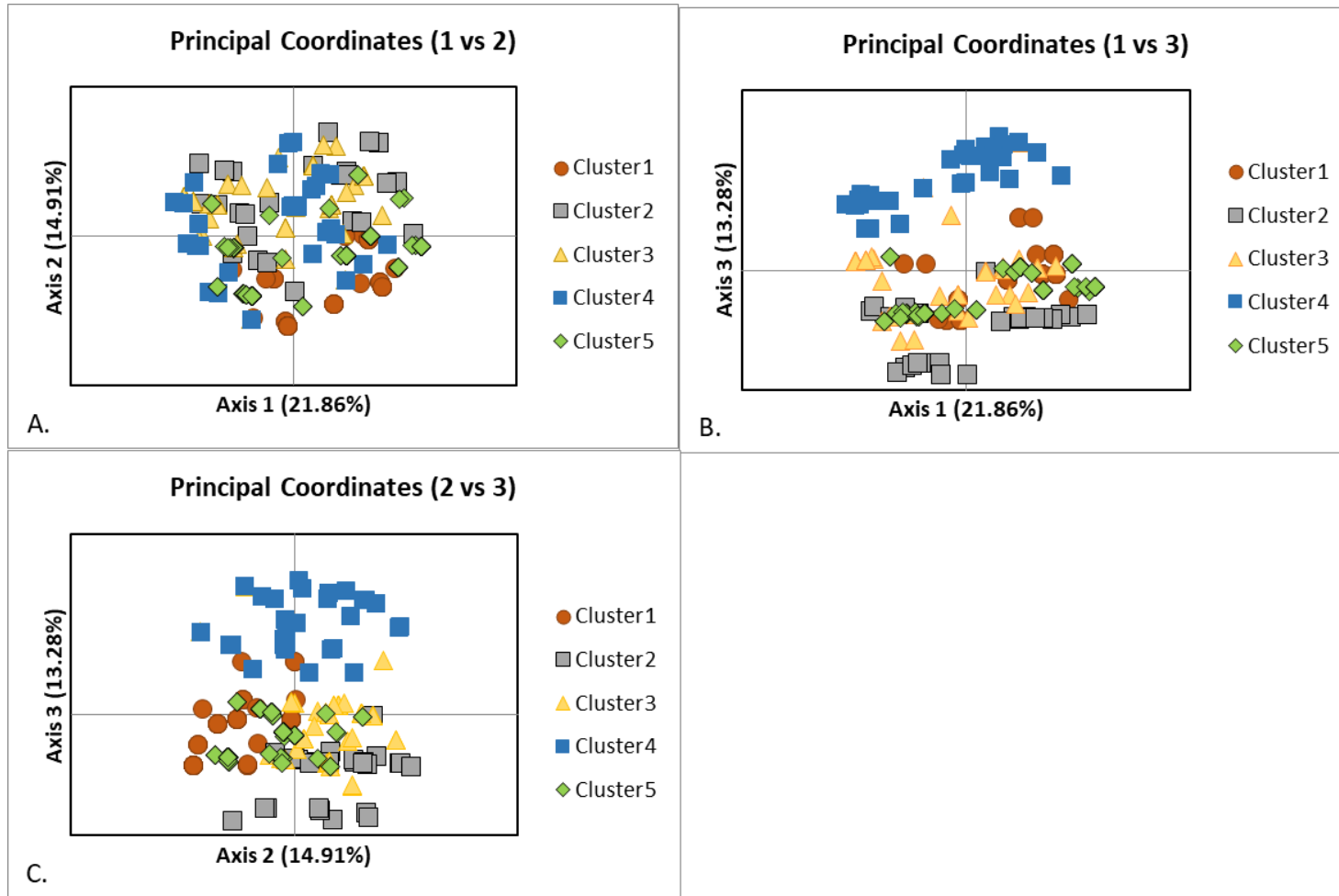


Figure 3.

**Figure 4.**

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APPENDICES

Appendix I. Fitting of the ecological niche modeling for *Ivesia webberi*

Niche model was fitted for *I. webberi* using 31 occurrence points and 102 spatially thinned true absence points, using six algorithms, including Generalized linear models (GLM), Generalized additive models (GAM), Boosted Regression Trees (BRT), Random Forests (RF), Maximum Entropy (MAXENT), and Artificial Neural Networks (ANN). The spatial dataset was a product of iterative niche modeling and successive field validation to the projected suitable sites from 2015 to 2020. The models were fitted in BIOMOD2 R package (Thuiller et al., 2009), using the default settings. GLM were generated using quadratic terms in stepwise approach, and using the Akaike information Criterion (AIC) for model selection. BRT models were generated by fitting 2500 trees and three cross-validations, while GAM was analyzed with a spline smoothing function. We used five cross-validations to select the optimal hidden layers in ANN models, we fitted 500 random forest models, and ran MAXENT models with 10,000 background points, using linear, quadratic and product features but with logistic model output. Due to the small size of occurrence points, spatial data was not partitioned to independent training and test data, rather all niche models were fitted with 10 replicates each for the six algorithms using 80% of the data for cross-validation (Araújo et al., 2005; Thuiller et al., 2009).

Model performance was measured using True statistic skill (TSS; Allouche et al. 2006), area under the curve (AUC) of the receiver operated characteristics (ROC) plot (Hanley & McNeil, 1982), specificity, and Continuous Boyce index (CBI; Boyce,

Vernier, Nielsen, & Schmiegelow, 2002) to produce model predictions with maximal accuracy and minimal omission errors. Model replicates with ($TSS \geq 0.7$) were used for ensemble modeling from which projection of habitat suitability maps were produced.

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Appendix II. Ecological conditions in the 16 *Ivesia webberi* populations based on the six ecological variables

Unit*	Population	AET (mm)	Cosine aspect	Summer precipitation (mm)	Temperature (°C)	Herbaceous cover	Topographic position index
1	SVE	243.57	-0.52	25.15	-7.40	32.16	-45.26
2	CST	248.11	0.64	22.42	-6.13	24.71	-111.29
3	EHJ	184.19	0.95	27.27	-7.16	27.74	-131.05
4	HJA	189.89	0.99	29.32	-7.42	35.11	-102.13
5	DVA	274.64	0.12	28.03	-7.36	17.13	-179.72
6	WLO	218.31	0.35	28.29	-6.76	15.70	-65.21
7	MER	240.00	0.89	25.99	-6.69	18.06	-114.72
8	IVF	244.10	0.51	26.05	-6.78	30.40	0.08
9	STL	235.90	0.74	26.61	-6.63	24.01	-99.67
10	STN	248.80	-0.52	27.35	-7.11	22.77	-80.85
11	HGV	215.68	0.86	22.65	-5.04	23.71	44.45
12	BSP	229.91	0.72	25.65	-6.31	32.09	25.93
13	RAH	223.13	0.96	24.39	-5.90	23.46	25.12
14	DLF	284.36	-0.81	27.51	-6.34	41.44	-184.36
15	PPL	241.22	0.93	26.01	-5.88	50.19	-42.74
16	DMR	321.21	-0.64	34.72	-6.75	16.96	-103.10

AET stands for cumulative actual evapotranspiration, summer precipitation represents mean summer precipitation, temperature stands for mean minimum temperature, while herbaceous cover describes perennial herbaceous cover layer.

Appendix III. A description of the six selected predictor variables used to test the isolation of environment pattern in the gene flow among the 16 sampled *Ivesia webberi* populations

Predictor name	Description and source
Cumulative actual evapotranspiration	Water balance variable calculated from 800 m 1971-2000 PRISM climate normals (Daly et al., 2008), available water capacity (Chaney et al., 2016), and the 1-arcsecond digital elevation model (DEM; USGS, 2017) combined in the Climatic Water Deficit Toolbox for ArcGIS (Dilts 2014, Dilts et al. 2015). AET represents the simultaneous availability of water and energy to support plant productivity.
Minimum monthly temperature	The bioclimatic variables were downscaled from the PRISM climatic data (1970-2001) normals (Daly, Halbleib, Smith, Gibson, Doggett, Taylor, ... Pasteris, 2008) from 4 km to 30 m spatial resolution, using BIOCLIM methods (Booth, Nix, Busby, & Hutchinson, 2014) and the climatic water deficit toolbox (Dilts, 2014; Dilts, Weisberg, Dencker, & Chambers, 2015)
Summer precipitation	
Cosine aspect	Cosine aspect was calculated in ArcMap version 10.6.1 using USGS (2017) DEM and the slope layers, the formula: $= \theta \times \cos(\alpha)$, where θ is slope (in percentage), and α is aspect (in radians)

Topographic position index	Calculated from USGS (2017) DEM in ArcMap version 10.6.1, using formula introduced by Weiss (2001), and a 333 m neighborhood. At 333 m scale, the landscape is classified into either a valley or a mountain range
Perennial herbaceous cover	It is a vegetation type raster layer sourced from the Multi-Resolution Land Characteristics (MRLC) development of the U.S. National Land Cover Database (NLCD) 2016 Shrub component products (Xian, Homer, Meyer, & Granneman, 2013)

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Appendix IV. Feature reduction process for the ecological predictor variables

A total of 72 predictor variables describing edaphic, topographic, land cover, vegetative cover, climatic and biotic factors were assembled for fitting niche models for *Ivesia webberi*. However, in order to avoid multicollinearity, the predictor variables were reduced to six uncorrelated predictors using a combination of Kendall r correlation coefficient, and feature selection runs in *Boruta* R package (Kursa & Rudnicki, 2010) and recursive feature elimination algorithm in *caret* R package (Kuhn, 2008). The six selected predictor variables are described in the Tables S1 and S2 below.

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Appendix V. Floristic richness and diversity in the aboveground and the soil seed bank of the 10 *Ivesia webberi* sampled populations (adapted from Borokini et al., 2021)

Unit*	Site name	Code	Aboveground community		Soil seed bank	
			Species richness	Species diversity	Species richness	Species diversity
2	Constantia	CST	18	3.4109	18	1.3749
3	Evans Canyon, East of Hallelujah junction wildlife area (HJWA)	EJH	20	3.5312	14	1.7979
5	Dog Valley meadow	DVA	34	12.3489	14	8.7159
6	White Lake overlook	WLO	26	10.3199	16	1.7157
7	Mules Ear Flat	MER	26	4.0713	16	2.3309
8	Ivesia flat	IVF	22	6.2757	16	3.0484
11	Hungry valley	HGV	24	1.8893	21	3.0377
12	Black springs	BSP	25	3.6016	20	1.3293
13	Raleigh heights	RAH	20	6.2627	18	1.7913
16	Dante Mine Road	DMR	21	8.8097	16	2.6453

*Unit follows the unit number assigned by US Fish and Wildlife Service (USFWS); Species diversity of the exponent conversion of Shannon-Weiner H' index into natural numbers (effective number of species; Jost 2006)

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Appendix VI. Number of individuals genotyped (N), number of alleles observed (A), allelic richness (R_S), expected (H_E) and observed (H_O) heterozygosities, and the inbreeding coefficient (F_{IS}) for each locus across the 16 *Ivesia webberi* populations. F_{IS} values with asterisk are statistically significant (adjusted $P = 0.00052$, based on 1920 randomizations). NA means no analysis.

Locus		SVE	CST	EHJ	HJA	DVA	WLO	MER	IVF	STL	STN	HGV	BSP	RAH	DLF	PPL	DMR
PMS 1080	N	20	12	24	13	20	13	11	16	8	9	22	18	23	14	13	20
	A	2	1	2	2	2	3	3	2	2	2	2	2	2	1	1	2
	R_S	1.35	1.00	2.00	1.92	1.97	2.34	2.64	1.44	2.00	2.00	1.54	1.63	1.52	1.00	1.00	1.74
	H_E	0.05	0	0.454	0.212	0.296	0.218	0.481	0.063	0.5	0.294	0.089	0.108	0.085	0	0	0.142
	H_O	0.05	0	0.667	0.231	0.15	0.231	0.636	0.063	0.75	0.333	0.091	0.111	0.087	0	0	0.15
	F_{IS}	0	NA	-0.48	-0.09	0.5	-0.06	-0.35	0	-0.56	-0.14	-0.02	-0.03	-0.02	NA	NA	-0.06
PMS 1180	N	21	19	24	18	22	22	19	20	9	12	24	18	23	17	17	22
	A	4	3	3	4	4	4	4	5	4	4	5	4	4	6	3	2
	R_S	2.60	1.98	2.47	3.56	3.28	3.23	3.14	3.90	4.00	3.52	3.45	3.27	2.82	3.71	2.31	2.00
	H_E	0.331	0.2	0.377	0.6	0.659	0.593	0.44	0.64	0.791	0.659	0.592	0.602	0.503	0.414	0.266	0.46
	H_O	0.286	0.2	0.375	0.5	0.591	0.545	0.211	0.8	0.889	0.333	0.542	0.5	0.522	0.471	0.235	0.409
	F_{IS}	0.14	-0	0.005	0.171	0.105	0.082	0.528	-0.26	-0.13	0.506	0.087	0.173	-0.04	-0.14	0.117	0.113
PMS 1438	N	21	18	24	15	22	22	20	20	9	13	24	18	23	19	17	23

	A	2	3	2	3	2	2	2	2	3	2	2	2	2	2	2	
	R_S	2	2.4	2	2.724	2	2	2	2	2.778	2	2	2	2	2	2	
	H_E	0.512	0.5	0.507	0.559	0.511	0.512	0.513	0.513	0.582	0.517	0.511	0.513	0.507	0.491	0.515	0.511
	H_O	1	0.9	0.917	0.867	0.955	1	1	1	1	0.923	1	0.944	0.913	0.789	1	1
	F_{IS}	-1	-0.7	-0.84	-0.58	-0.91	-1.0	-1.0	-1.0	-0.8	-0.85	-1	-0.89	-0.83	-0.64	-1.0	-1.0
PMS 1665	N	20	20	24	18	22	21	19	19	9	13	24	18	21	19	16	23
	A	3	1	1	1	3	4	3	3	1	1	1	1	4	2	2	1
	R_S	2.94	1.00	1.00	1.00	1.64	3.19	2.61	2.60	1.00	1.00	1.00	1.00	2.23	1.37	1.44	1.00
	H_E	0.586	0	0	0	0.09	0.517	0.494	0.448	0	0	0	0	0.182	0.053	0.063	0
	H_O	0.5	0	0	0	0.091	0.476	0.632	0.368	0	0	0	0	0.143	0.053	0.063	0
	F_{IS}	0.15	NA	NA	NA	-0.01	0.08	-0.29	0.182	NA	NA	NA	NA	0.221	0	0	NA
PMS 1694	N	21	20	24	18	22	19	19	20	9	13	24	18	23	18	18	23
	A	3	3	2	3	3	4	3	3	4	4	3	3	4	4	3	2
	R_S	2.84	2.58	1.95	2.71	2.23	2.69	2.46	2.35	3.52	3.34	2.29	2.32	2.83	2.74	2.35	1.30
	H_E	0.459	0.5	0.284	0.379	0.212	0.36	0.284	0.512	0.399	0.606	0.529	0.294	0.544	0.376	0.332	0.043
	H_O	0.429	0.1	0.333	0.333	0.227	0.421	0.316	0.8	0.333	0.308	0.5	0.222	0.522	0.111	0.167	0.043
	F_{IS}	0.067	0.89	-0.18	0.124	-0.07	-0.18	-0.11	-0.59	0.172	0.503	0.056	0.249	0.042	0.711	0.505	0

PMS 2190	N	15	19	18	17	21	20	16	15	7	10	22	18	20	16	16	23
	A	2	2	3	3	2	3	3	2	1	1	2	2	3	2	2	2
	R_S	1.72	1.37	2.17	2.65	1.72	2.19	2.43	2.00	1.00	1.00	2.00	1.63	2.48	1.69	1.69	1.30
	H_E	0.129	0.1	0.208	0.426	0.136	0.229	0.433	0.434	0	0	0.495	0.108	0.309	0.121	0.121	0.043
	H_O	0.133	0.1	0.222	0.529	0.143	0.25	0.563	0.6	0	0	0.818	0.111	0.35	0	0.125	0.043
	F_{IS}	-0.04	0	-0.07	-0.25	-0.05	-0.09	-0.31	-0.4	NA	NA	-0.68	-0.03	-0.14	1	-0.03	0

CHAPTER 4 Potentially adaptive significance of genome size diversity across ecological gradients and seed size in *Ivesia* (Rosaceae)*

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ABSTRACT

Background and Aims: Variation in genome-size across taxa have been explained using neutral and non-adaptive theories, however genome size variation among taxonomic groups can also be shaped by natural selection and have adaptive value if it correlates with other plant functional traits. Currently, little work has been published on the genome size of *Ivesia* and its evolutionary history in the desert ecosystems in western North America. Here, we estimated the genome sizes of 33 taxa, including 31 *Ivesia* taxa and additional two taxa from *Potentilla* and one from *Horkelia*, and determined if the genome sizes have an adaptive value.

Methods: We collected leaf samples from 31 of *Ivesia*'s 38 taxa, in addition to two taxa from the closely related *Potentilla* and one from *Horkelia* genera. Using flow cytometry, we obtained genome size estimates from every accession. We investigated intraspecific variation of genome size within 11 *I. webberi* populations. We also tested the predictions of the nucleotype theory, which states that genome size has adaptive significance.

Key Results: All *Ivesia* taxa were diploid ($2n=2x=28$ chromosomes), despite an 8.1-fold variance in genome size, ranging from 0.73 pg/2C in *I. baileyi* var. *beneolens* to 5.91 pg/2C in *I. lycopodioides* ssp. *megalopetala*. Only six taxa, with a genome size >1.5 pg/2C, significantly differed from the remaining taxa. We also observed a longitudinal gradient in genome size in *Ivesia*; genome size was significantly correlated with seed size and actual evapotranspiration both within *I. webberi* and among *Ivesia* taxa. *I. webberi* populations near the species range centre have the largest genomes, which reduces towards the marginal populations.

Conclusions: Seed size and actual evapotranspiration correlate with smaller genomes found among desert-dwelling *Ivesia*, which supports the predictions of the nucleotype theory and suggests an adaptive significance of genome size in the genus. Furthermore, intraspecific genome size variation in *I. webberi* could be attributed to gene flow and other evolutionary processes. However, low phylogenetic resolution limits inferences on evolutionary events in the genus.

Keywords: nucleotype theory, genome size, flow cytometry, adaptive radiation, ploidy, genome size constancy hypothesis, genome size evolution, central-marginal hypothesis, functional traits, actual evapotranspiration, *Ivesia*, Rosaceae, North America.

INTRODUCTION

Genome size (GS) is the total amount of DNA in an organism's unreplicated haploid nucleus (Greilhuber *et al.*, 2005). Up to 2,400-fold variation in genome size has been reported among angiosperms (Pellicer *et al.*, 2018), while variation among intraspecific accessions is generally much lower (Huang *et al.*, 2013; Pascual-Díaz *et al.*, 2020). GS data have been used in genotyping studies (Garner, 2002), and can also be integrated into a phylogenetic tree to investigate the relationship between GS and speciation (e.g., Leitch *et al.*, 2010; Burleigh *et al.*, 2012). Previous studies have demonstrated a significant and positive relationship between the rates of genome size evolution and species diversification at the family and subfamily levels in angiosperms (e.g., Jiao *et al.*, 2011; Puttick *et al.*, 2015; Soltis and Soltis, 2021; but see Bromham *et al.*, 2015). Furthermore, closely related taxa have been shown to have relatively small differences in genome sizes (Loureiro *et al.*, 2010; Lanfear *et al.*, 2014), while ancestral taxa were reported to have smaller genomes in some plant families (Leitch *et al.*, 2005; Du *et al.*, 2017). Conversely, genome size reduction following speciation has been observed in other plant groups indicating that both ancestral and young taxa can have smaller genomes (Baniaga *et al.*, 2016). Overall, studies suggest a multi-directional role of GS on species diversification among plant clades, which is driven by the rates and mechanisms of GS evolution, not changes in the absolute GS (Kraaijeveld, 2010; Puttick *et al.*, 2015; Pellicer *et al.* 2018).

The mechanisms of GS evolution have been explained using different hypotheses that describe GS evolution as either neutral, maladaptive, or adaptive (Whitney *et al.*, 2010). The mutational equilibrium hypothesis (MEH), a neutral model of GS evolution,

posits that GS variations are a result of the balance between insertions and deletions into the genome (Petrov, 2002), while the mutational hazard hypothesis (MHH), a maladaptive and nearly neutral model, states that excess DNA is slightly deleterious and will be removed by selection in populations and species with a larger effective population size (N_e) but are fixed by genetic drift in taxonomic units with smaller N_e , thus resulting in a larger GS (Lynch and Conery, 2003). Adaptive models such as the nucleotype theory (Bennett, 1976) and nucleoskeletal hypothesis (Cavalier-Smith, 2005) posits that GS evolution can influence functional phenotypic traits that support species life history strategy, physiology, and geographical distribution. The nucleoskeletal hypothesis suggests that plants with larger GS are selected against in environments with low nitrogen and phosphorus (Bales and Hersch-Green, 2019) because these elements are important building blocks of nucleotides. Empirical evidence of a correlation between GS and plant functional traits such as nucleus weight, RNA and protein content, cell size, flower and fruit size, and minimum generation time (Bennett, 1987), seed size (Beaulieu *et al.*, 2007), guard cell and epidermal cell sizes (Beaulieu *et al.*, 2008; Hoang *et al.*, 2019), stomata size (Jordan *et al.*, 2015), photosynthetic rates (Roddy *et al.*, 2020), and mitotic cell cycle duration (Hodgson *et al.*, 2010) support the predictions of the nucleotype theory. Conversely, selection against larger genomes has been reported in plants in harsh environments, higher altitudes, and latitudes (Knight *et al.*, 2005; Guignard *et al.*, 2016). Empirical studies testing the predictions of these hypotheses are equivocal (Oliver *et al.*, 2007; Whitney *et al.*, 2010; Schridder *et al.*, 2013; Leushkin *et al.*, 2013; Bilinski *et al.*, 2018). These GS evolution models are best tested in species with

intraspecific GS variation (Stelzer *et al.*, 2019; Blommaert, 2020), and congenics with shared evolutionary history such as those that originated from adaptive radiations.

Ivesia Torrey and A. Gray (Rosoideae, Rosaceae) is a genus distributed in the western United States and northern Mexico and contains 30 described perennial forb species, which are further divided into eight subspecific taxonomic groupings (Ertter, 1989). The ancestral species of this genus is thought to have originated from the eastern Great Basin and the western slopes of the Rocky Mountains. From there, it spread westward across the prehistorically wet Basin and Range region of western United States. The collapse of the Nevadaplano caused valleys to drop in the Great Basin Desert. The reduced elevation of the valleys relative to the Sierra Nevada Mountains created a rain shadow effect, leading to arid conditions across the Great Basin Desert (Cassel *et al.*, 2009). Climatic changes and topographic, geologic and edaphic heterogeneity (resulting from these geological transformations) may have led to the extinction of some ancestral *Ivesia* taxa. Many of the remaining taxa are now restricted to mountain, hydrologic, and edaphic habitat islands (Ertter, 1989), which may have facilitated *in situ* speciation and adaptive radiations of new *Ivesia* taxa (Töpel *et al.*, 2012). Therefore, this genus is composed of both surviving ancient taxa and relatively young radiations, many of which exhibit substrate specialization (Kraft *et al.*, 2010; Ertter and Reveal, 2014).

The evolutionary history of the taxa in *Ivesia* and their geographical distribution in arid environments make them an excellent choice for testing the adaptive or maladaptive effects of GS evolution. This study investigates variation in genome size within and among *Ivesia* taxa. Here, we considered minimum-rank taxa (MRT), which

are the smallest taxonomic units (including subspecies and varieties), as individual taxa. Using the genome sizes obtained from all sampled *Ivesia* taxa (in addition to two *Potentilla* spp., and one *Horkelia* sp., which were included to serve as reference taxa from closely related genera [Table 1]), we asked the following questions: (1) is there a relationship between genome size and environmental variables and seed size according to the predictions of the nucleotype theory? (2) Did genome size increase in *Ivesia* as species radiated out in a westward direction from the hypothesized origin of the ancestral *Ivesia* taxa? (3) Does intra-specific genome size variation follow the genome size constancy hypothesis (Greilhuber *et al.*, 2005)? We also built a phylogenetic tree with chloroplast and nuclear DNA sequences available from GenBank (<https://www.ncbi.nlm.nih.gov/genbank/>) to assess our ability to detect evolutionary signals in the GS variations among *Ivesia*. Furthermore, we made an inference on the ploidy of the sampled *Ivesia* taxa using flow cytometry and karyotypic data. Based on previous studies that showed that ancestral taxa have relatively small genome sizes (e.g., Du *et al.*, 2017), we predict that ancestral *Ivesia* taxa would have smaller genomes and thus expect a westward increase in genome sizes of the sampled *Ivesia* taxa.

MATERIALS AND METHODS

Study species and field sampling

Thirty-one out of 38 taxa (Table 1), representing 82% of all *Ivesia* taxa across the western United States (Table 1, Figure 1, see also Supplemental Table S1), were sampled for flow cytometric analysis. These taxa grow primarily on the soil, but 12 of them are

chasmophytes, growing on rocky outcrops (Table 1). The substrates on which these taxa grow have either volcanic or limestone origin, while two grow on alkali flats (Table 1). Based on previous studies documented in the Flora of North America, 15 *Ivesia* taxa were reported to have $2n=28$ chromosomes (Ertter and Reveal, 2014). Many of the species are found in disjunct populations growing on specific substrates, restricted to the mountainous sky islands, and are suspected to be relics of the ancestral *Ivesia*.

Fresh leaf samples were collected from six individuals from one population for each of the sampled *Ivesia* taxa. In a few taxa such as *I. webberi* (Figure 2), *I. lycopodioides* var. *megalopetala* and *Horkelia fusca* var. *parviflora*, samples were collected from multiple populations. Leaves from each plant were stored between moist tissue paper in a labelled plastic bag and shipped to the Benaroya Research Institute (Seattle, Washington State, United States) for analysis. In all cases, the samples arrived at the laboratory within 48 hours and were prepared for flow cytometry. Flow cytometric analysis was conducted for only fresh, intact samples; degraded samples were excluded (See Table 1). Field collections were made between June 2018 and November 2019.

Flow cytometry

Flow cytometry is a high throughput and non-destructive method for determining the nuclear genome size with applications in systematics, ploidy level estimation, and the base composition (proportion of AT-GC base pairs) of the genome (Kron *et al.*, 2007). Flow cytometric analysis was conducted using the following protocol (Arumuganathan and Earle, 1991). Each 50 mg of leaf tissue samples were separately ground in $MgSO_4$ buffer, mixed with DNA of an internal reference standard, and stained with propidium

iodide (PI) in a solution containing DNase-free-RNase to remove any RNA from the sample. Nuclei from chicken red blood cells (2.5 pg./2C; Biosure Inc., Grass Valley CA; CEN singlets catalogue #1013) were used as internal reference standards. The amount of nuclear DNA was estimated by comparing fluorescence intensities of the stained nuclei of *Ivesia* leaf samples with those of the reference standard using a FACScalibur flow cytometer (Becton-Dickinson, San Jose, CA). For each taxon, 10,000 counts of PI-stained nuclei from three samples were recorded and analysed using the CellQuest software (Becton-Dickinson, San Jose, CA). The mean position of the G0/G1 nuclei peak of the sample and the internal standard was also determined using CellQuest software. Only histograms with coefficients of variation (CVs) of the G0/G1 peak of the analysed sample below 5% were considered (Dušková *et al.*, 2010). Samples that did not produce histograms with double peaks for both internal standard and the *Ivesia* taxa were excluded (Greilhuber *et al.*, 2005).

Karyotyping

Chromosome analysis was conducted using a 4',6-diamidino-2-phenylindole (DAPI) stain, as described in Findley *et al.* (2010). Root tips were obtained from freshly germinated *I. webberi* seeds and digested in nitrous oxide to stop cell division at metaphase. Meristematic tissues from seven root tips were fixed in 100% acetic acid, mounted on a slide, and viewed under the microscope. Images were taken at 100× with an Olympus BX61 using FISHView EXPO 4.5 software (Applied Spectral Imaging) and a Cool-1300QS CCD camera.

Phylogenetic analysis

We acquired all available DNA sequences from chloroplast, mitochondria and nuclear loci of *Ivesia* and *Horkelia fusca* ssp. *parviflora* from GenBank. We used both nuclear (ETS and ITS) and chloroplast (matK and trnL) sequences for the phylogenetic analysis (Supplemental Table S2). To limit noise within our phylogenetic estimate, only one individual per taxon that had at least two sequences from the same individual were analysed. *Potentilla chinensis* was chosen as an outgroup because it has been shown to have a close phylogenetic relationship with the ivesoid clade (Eriksson *et al.*, 2003; Potter *et al.*, 2007; Sun *et al.*, 2016). We aligned and edited fragments using E-INS-i algorithm in MAFFT (Kato *et al.*, 2009) in Geneious Pro v. 9.1.8 (<https://www.geneious.com>). We concatenated and partitioned our alignment by the genetic sequence for phylogenetic analysis. We took a maximum likelihood approach to generate our phylogenetic estimate using RAxML v 8.2.12 (Stamatakis, 2006). We set our evolutionary model to GTR-I and executed 2×10^4 fast-bootstrapping runs. A bootstrap threshold of ≥ 50 was interpreted as relationships having significant support (Hillis and Bull, 1993).

Data analysis

We fitted a fixed-effects model to determine statistical differences in the nuclear genome size of all sampled *Ivesia* taxa and the representative samples from *Potentilla* and *Horkelia* genera. Geographical patterns in genome size variation in *Ivesia* were investigated using the Kendall r correlation of the longitudinal degrees and the genome size, and with spatial autocorrelation in the genome size of the 31 sampled *Ivesia* taxa

using Mantel r Kendall correlation. The range of each taxon was estimated in ArcGIS version 10.7.1 using the convex hull function. Furthermore, to assess a relationship between genome size in *Ivesia* and the selected environmental factors, which included elevation, actual and potential evapotranspiration (AET and PET respectively), climatic water deficit (CWD), substrate type (soil or rock), and substrate geology, we fitted a generalized linear mixed model, accounting for species as a fixed effect. We also investigated the relationship between genome and mean seed sizes of taxa for which seeds were available, using linear regression.

Similar analyses were conducted on samples collected from 11 *Ivesia webberi* populations. A fixed-effects model was used to investigate the predictions of genome size constancy within a species. Genome sizes of sampled *I. webberi* individuals were modelled as a function of the representative populations. We also checked for spatial autocorrelation (Mantel's r) and fitted generalized linear mixed-effects models to test for the effect of elevation, AET, PET, CWD, and seed size on nuclear DNA content of *I. webberi* samples, using populations as a fixed effect. To test if genome size in *I. webberi* populations is structured along the central-marginal hypothesis (CMH), we tested for correlation between population genome size and distance from the range centre of *I. webberi*. Distance from the species' range centre was computed as the latitudinal range central index (Enquist *et al.*, 1995; Fenberg and Rivadeneira, 2011).

RESULTS

Genome size variation among *Ivesia* taxa

Genome size varied widely among the sampled *Ivesia* taxa, ranging between 0.73 pg/2C in *I. baileyi* var. *beneolens* to 5.91 pg/2C in *I. lycopodioides* var. *megalopetala* (Table 1). Genome size varied across the sampled taxa ($F=510.2$, $P<0.01$), but Tukey post-hoc pairwise comparisons showed that genome sizes of six *Ivesia* taxa including *I. lycopodioides* ssp. *megalopetala*, *I. lycopodioides* var. *scandularis*, *I. shockleyi* var. *shockleyi*, *I. aperta* var. *aperta*, *I. aperta* var. *canina*, and *I. sericoleuca*, with ≥ 1.8 pg/2C were significantly different (adjusted $P<0.01$) from the remaining taxa. Additionally, we observed overlap in genome size (0.8 pg/2C-1.3 pg/2C) for multiple *Ivesia* taxa (Table 1).

When compared to the reference samples from *Potentilla* and *Horkelia* genera, the second logistic regression model ($F=506.6$, $P<0.01$) and post-hoc test showed that *P. gracilis* var. *fastigiata* was different from all sampled *Ivesia* taxa (adjusted $P<0.01$), but *Potentilla* sp. and *H. fusca* ssp. *parviflora* were different from only 13 and 12 *Ivesia* taxa (adjusted $P<0.01$), respectively.

Geographical gradients in *Ivesia* genome size variations

We observed an inverse but nonsignificant relationship between genome size in *Ivesia* and longitude (Kendall $r=-0.08$, $P=0.53$), which indicates a westward increase in genome size. The six taxa with the largest genome sizes were restricted to the western edge of the range of the genera in or near the Sierra Nevada Range but a few taxa, such as *I. callida* endemic to the Sierra Nevada also had smaller genomes (Table 1). There was no spatial autocorrelation (Mantel's $r=-0.15$, $P>0.99$) in genome size variation among *Ivesia* taxa.

Genome size and the environment

Ivesia taxa growing on the soil have larger genome sizes in general than chasmophytic *Ivesia* (Fig. 3a) however, this difference was not significant ($P>0.05$). Similarly, the geology of the substrates on which the sampled *Ivesia* taxa grow has no significant effect on their genome size (Fig. 3b). *Ivesia* with larger genomes produced relatively large seeds (Fig. 3c) and are found in higher elevation habitats (Fig. 3d). Furthermore, *Ivesia* taxa with larger nuclear genomes were located in areas with lower AET (Fig. 3e). Also, potential evapotranspiration (Fig. 3f) and climatic water deficit (Fig. 3g) have no significant relationship with genome size in *Ivesia*. However, regression models show a significant relationship between genome size in *Ivesia* taxa and seed size (GLM; $t=2.66$, $P<0.05$), elevation (GLMM; $z=4.74$, $P<0.001$) and actual evapotranspiration (GLMM; $z=-2.06$, $P<0.05$).

Intraspecific genome size variation and chromosome count of *Ivesia webberi*

To measure intraspecific genome size variation, we analysed 11 *I. webberi* populations (See Figure 2). Genome size variation was significantly and positively correlated with the distance from the species' range centre (Pearson's $r=0.65$, $P=0.03$). Thus, genome size was the lowest in population unit 16, an isolated population, and highest in unit 8, a centrally located population (Figure 4). However, there was positive but weak and nonsignificant spatial autocorrelation (Mantel's $r=0.2$, $P=0.07$) in genome size variations across sampled *I. webberi* populations. A post-hoc pairwise test on the fixed-effect model showed that the genome size of samples from *I. webberi* populations in unit 16 was different from six other populations (units 2, 3, 5, 6, 7 and 8; adjusted

$P < 0.01$). Unit 8 was also different from three populations (units 11, 12 and 15; adjusted $P < 0.01$), while unit 15 was different from units 6 and 7 (adjusted $P < 0.01$).

Sampled *I. webberi* populations with larger genome sizes were located in higher elevation sites (Fig. 5a), lower AET (Fig. 5b), lower PET (Fig. 5c), but slightly higher climatic water deficit (Fig. 5d), and they produced larger seeds (Fig. 5e). However, genome size variation across these 11 *I. webberi* populations had a significant negative relationship with AET (GLMM; $z = -2.181$, $P < 0.05$), and a significant linear relationship with seed size (GLM; $t = 2.43$, $P < 0.05$).

Karyotyping showed that *I. webberi* had 28 chromosomes, which is similar to karyotyping results of other *Ivesia* taxa as reported in the Flora of North America. Furthermore, since the ploidy level of some of the taxa is already known (Table 1), it could be inferred from the genome size that all of the 31 sampled taxa are diploid with $2n = 2x = 28$ chromosomes.

Phylogenetic analysis

Nuclear and chloroplast sequences were used to estimate a phylogeny for comparison with the genome size variation. The edited and concatenated alignment length was 4,670 bp, of which only 55 were parsimony-informative. Phylogenetic relationships among *Ivesia* were not well supported (bootstrap values ≤ 50), and the topology of the phylogenetic estimate recovered many polytomies (Supplemental Figure S1). Due to this lack of resolution and confidence in our estimate, we could not use the tree for further analysis with the genome size results.

DISCUSSION

This study is the first to report on the genome size variation in *Ivesia*. An 8.1-fold variation in genome size was observed among the sampled *Ivesia* taxa, ranging from 0.73 pg/2C to 5.91 pg/2C; however, based on Leitch *et al.* (1998) GS classifications (small: 1C < 1.40 pg, large: 1C > 14 pg, and very large: 1C > 35 pg genomes), all of the sampled *Ivesia* taxa have relatively small genomes. Despite the GS variation, all sampled *Ivesia* taxa are believed to be diploid with 28 chromosomes. Relatively small genome sizes ranging from 0.2 pg/2C to 3.09 pg/2C have been reported for other taxa in Rosaceae, but a genome size of 5.91 pg/2C for *I. lycopodioides* var. *megalopetala* is the largest for any taxa in the *Potentilleae* Tribe, and among the largest reported genome size for diploid taxa in Rosaceae (Zonneveld *et al.*, 2005). Smaller genomes prevalent among the desert-dwelling *Ivesia* taxa support adaptive models of GS evolution that predicts selection against larger genomes in marginal ecosystems. Previous but few studies also demonstrated smaller genomes among C₃ xerophytes and associated this with faster metabolic rates (Sliwinska *et al.*, 2009; Roddy *et al.*, 2020). Further support for this was found in the significant relationship of GS with actual evapotranspiration (AET) for both the sampled *I. webberi* populations and the 31 *Ivesia* taxa. *Ivesia lycopodioides* varieties, which have the largest genomes, were found in areas with the lowest AET. *I. lycopodioides* ssp. *megalopetala* is particularly found in wet alpine meadows, which have higher water availability and lower temperatures, and consequently, lower AET. In comparison, *I. pickeringii* and *I. longibracteata* for example, were sampled in locations

with the highest AET, both of which also have relatively small genome sizes. The significant association of larger genome sizes in sampled *Ivesia* taxa located in habitats with lower AET suggests that genome size variation in *Ivesia* may have adaptive significance, thus supporting the predictions of the nucleotype theory (Bennett, 1987). Moreover, previous studies have reported a positive relationship between GS and traits associated with transpiration (Jordan *et al.*, 2015) and other ecological gradients (Kang *et al.* 2014; Du *et al.*, 2017).

Generally, speciation events are associated with genome size evolution resulting from whole genome duplications, changes in non-coding repetitive DNA, transposable elements, introns, and gene duplications (Du *et al.*, 2017; Vitales *et al.*, 2019). Even though we observed a westward but nonsignificant increase in genome size in *Ivesia*, phylogenetic analysis would be needed to identify geographic gradients in speciation events and to test the hypothesis that ancestral *Ivesia* taxa originated from the eastern edge of the range of the genera in the western slopes of the Rocky Mountains and eastern Great Basin Desert (Ertter and Reveal, 2014). All of the sampled *Ivesia* with significantly larger GS were distributed near or in the Sierra Nevada Range, which is the western edge of the genus geographic range. In plant lineages where speciation events correlate with genome size increases, ancestral taxa were observed to have smaller genomes (Soltis *et al.*, 2003; Garnatje *et al.*, 2007; Šmarda *et al.*, 2008; Du *et al.*, 2017). Our attempt to further corroborate this hypothesis with phylogenetic analysis was not successful. The molecular markers used in this study lack the phylogenetic signal to resolve relationships among *Ivesia* (Eriksson *et al.*, 1998, 2003; Töpel *et al.*, 2011, 2012). Consequently, our estimates and previous phylogenetic estimates reported for *Ivesia*, *Potentilleae* Tribe and

Rosaceae (Eriksson *et al.*, 2003; Potter *et al.*, 2002, 2007; Dobeš and Paule, 2010; Töpel *et al.*, 2011, 2012; Feng *et al.*, 2017) resulted in uninformative polytomies and low bootstrap node support. However, GS variations have been successfully used with a phylogenetic analysis of several taxonomic groups, including monocotyledonous plants (Leitch *et al.*, 2010), *Lilium* (Du *et al.*, 2017), Asteraceae (Vitales *et al.*, 2019), and bryophytes (Bainard *et al.*, 2020). The limitations on genus-level phylogeny for *Ivesia* may be due to added noise in taxon sampling and fewer variable regions in the currently available sequences (Sanmartín and Meseguer, 2016; Hallas *et al.*, 2017). Therefore, next-generation sequencing methodologies that access more of the genome are needed to resolve phylogenetic relationships within this genus.

Nine of the 11 *I. webberi* populations showed nonsignificant genome size variations, which may support the genome size constancy hypothesis (Greilhuber *et al.*, 2005). The genome size constancy hypothesis predicts that GS is conserved within a species but varies significantly among congenetics (Greilhuber *et al.*, 2005). Populations close to the latitudinal range centre of the species (units 6, 7, and 8) have relatively large GS, while the most geographically isolated population (unit 16) has the smallest genome. Intraspecific *I. webberi* GS variation is congruent with previous studies, which show that small and isolated populations should have smaller GS because they have no way of receiving novel transposable elements proliferating within the genome (Wright and Schoen, 1999; Morgan, 2001). Additionally, geographical patterns of intraspecific GS variations in *I. webberi* support the central marginal hypothesis, which predicts that genetic diversity may be the highest in the populations of a species near the geographical centre of its global distribution and decreases towards the marginal population (Eckert *et*

al., 2008). Geographical patterns in intraspecific genome size variation have been reported earlier in other plant species (Bottini *et al.*, 2000; Mráz *et al.*, 2009). Regardless, the relationship between marginality and intraspecific variation of genome size in plants has not been well studied. A reduction in transposable elements in isolated populations of *Aegilops speltoides* (Hosid *et al.*, 2012) and *Veronica* (Albach and Greilhuber, 2004) have been reported, while populations with high gene flow levels were reported to show small genome size variations (Díez *et al.*, 2013). This suggests that gene flow may play an important role in intraspecific GS variations. However, studies also show that GS variations within a species could be attributed to the nucleotype effect due to variations in prevailing environmental conditions across the populations of a species (Šmarda and Bureš, 2010) and varying selective pressures (Bilinski *et al.*, 2018).

This study showed that seed size increases with increasing genome size, supporting the predictions of the nucleotype theory. This is congruent with previous studies that show a positive correlation between GS and seed size (Krahulcová *et al.*, 2017). The molecular divergence of seed size was found to be positively correlated with genome size diversification (Beaulieu *et al.*, 2007), both of which play a positive and significant role in speciation (Puttick *et al.*, 2015; Igea *et al.*, 2017). Moreover, GS influences physiological developments that determine seed size in many plant species (Beaulieu *et al.*, 2007). Seed size is correlated with the mode of dispersal, seed persistence in soil bank, seedling survival, plant growth form and specific leaf area, among others (Westoby *et al.*, 1996), and could be used as an indicator of habitat quality and environmental stress (Loureiro *et al.*, 2010). Seed size was also shown to affect plant fitness, population dynamics, and interactions with seed predators (Gómez, 2004; DeSoto

et al., 2016). The possible adaptive values of GS in *Ivesia* and its association with functional traits such as the seed size can elucidate eco-evolutionary dynamics in the genus (Vitales *et al.*, 2019).

We demonstrated in this study that genome size variation in *Ivesia* and within *I. webberi* is potentially adaptive, given their relatively small GS, and correlation with energy availability and seed size. Furthermore, GS variation in *Ivesia* taxa is structured along an elevational gradient which may also be correlated with bioclimatic variables. Similar GS trends within *Ivesia webberi* further strengthens a potential adaptive significance of genome size evolution. Moreover, larger GS of the sampled *I. webberi* populations close to the species known range suggest that evolutionary processes such as gene flow or natural selection may be influential on genome size variation within the species. It is believed that the ancestral *Ivesia* taxa originated in the Rocky Mountains and eastern Great Basin Desert; therefore, smaller GS for the sampled *Ivesia* taxa near these regions may suggest that GS evolution may be potentially associated with speciation events in the genus. However, a phylogenetic analysis may help identify ancestral taxa and verify a potential relationship between speciation events and GS evolution in *Ivesia*.

LITERATURE CITED

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Table 1. Nuclear genome size, reference ploidy, environmental attributes and the estimated geographical ranges of the 31 sampled *Ivesia* taxa across western United States

Taxa	Section ^a	Substrate type ^a	Substrate geology ^a	Ploidy ^a	Species range (km ²) ^b	Sample size	2C Genome size (mean±SD)	2C Genome size range	Mean seed weight (g) ^c
<i>Ivesia aperta</i> var. <i>aperta</i>	Unguiculatae	Soil	Volcanic	2n = 28	2189.508	2	2.47±0.02	2.42-2.51	0.0008/50
<i>Ivesia aperta</i> var. <i>canina</i>	Unguiculatae	Soil	Volcanic	2n = 28	62.281	2	2.11±0.03	2.09-2.13	0.0024/50
<i>Ivesia arizonica</i> var. <i>arizonica</i>	Setosae	Outcrop	Limestone	unknown	92270.580	3	0.97±0.02	0.95-0.99	n/a
<i>Ivesia arizonica</i> var. <i>saxosa</i>	Setosae	Outcrop	Volcanic	unknown	1812.572	2	1.33±0.02	1.29-1.36	n/a
<i>Ivesia baileyi</i> var. <i>baileyi</i>	Setosae	Outcrop	Volcanic	2n = 28	183703.313	2	1.2±0.03	1.17-1.22	0.00038/12
<i>Ivesia baileyi</i> var. <i>beneolens</i>	Setosae	Outcrop	Volcanic	2n = 28	150232.450	3	0.78±0.07	0.73-0.86	n/a
<i>Ivesia callida</i>	Setosae	Outcrop	Volcanic	unknown	0.114	3	0.94±0.01	0.93-0.95	n/a
<i>Ivesia gordonii</i> var. <i>alpicola</i>	Ivesia	Outcrop	Volcanic	2n = 28	209315.498	2	1.1±0.02	1.09-1.1	0.00071/10
<i>Ivesia gordonii</i> var. <i>ursinorum</i>	Ivesia	Soil	Volcanic	2n = 28	236297.467	3	0.94±0.00	0.94	0.00107/30
<i>Ivesia gordonii</i> var. <i>wasatchensis</i>	Ivesia	Soil	Limestone	2n = 28	15078.437	3	1.0±0.00	1.0	n/a
<i>Ivesia jaegeri</i>	Setosae	Outcrop	Limestone	unknown	2050.163	3	1.04±0.01	1.04-1.05	n/a
<i>Ivesia kingii</i> var. <i>eremica</i>	Unguiculatae	Soil	Alkali	unknown	0.001	2	1.33±0.03	1.32-1.34	n/a
<i>Ivesia kingii</i> var. <i>kingii</i>	Unguiculatae	Soil	Alkali	unknown	236134.174	2	1.4±0.03	1.35-1.45	0.00095/50
<i>Ivesia longibracteata</i>	Setosae	Outcrop	Volcanic	unknown	0.417	3	0.86±0.03	0.83-0.88	n/a
<i>Ivesia lycopodioides</i> var. <i>megalopetala</i> unit 1	Ivesia	Soil	Limestone	2n = 28	3419.988	3	5.31±0.2	5.02-5.73	0.0003/90
<i>Ivesia lycopodioides</i> var.	Ivesia	Soil	Limestone	2n = 28		3	5.82±0.3	5.70-5.91	0.0005/50

<i>megalopetala</i> unit 2									
<i>Ivesia lycopodioides</i> var. <i>scandularis</i>	Ivesia	Soil	Volcanic	2n = 28	9312.761	3	3.3±0.05	3.25-3.35	n/a
<i>Ivesia multifoliolata</i>	Comarella	Soil	Volcanic	2n = 28	5249.121	2	1.15±0.01	1.15	n/a
<i>Ivesia paniculata</i>	Setosae	Soil	Volcanic	unknown	67.169	2	1.04±0.02	1.00-1.08	0.00039/50
<i>Ivesia pickeringii</i>	Unguiculatae	Soil	Volcanic	unknown	553.319	3	1.23±0.04	1.09-1.37	n/a
<i>Ivesia pityocharis</i>	Unguiculatae	Soil	Volcanic	unknown	3.768	3	1.02±0.03	0.99-1.04	0.00046/50
<i>Ivesia rhypara</i> var. <i>rhypara</i>	Setosae	Soil	Volcanic	2n = 28	32194.802	3	0.85±0.02	0.86-0.91	0.00078/40
<i>Ivesia rhypara</i> var. <i>shellyi</i>	Setosae	Outcrop	Volcanic	2n = 28	38.510	3	0.9±0.01	0.89-0.9	0.00032/20
<i>Ivesia sabulosa</i>	Comarella	Soil	Limestone	unknown	115784.095	4	0.84±0.04	0.83-0.85	n/a
<i>Ivesia santolinoides</i>	Stellariopsis	Soil	Volcanic	2n = 28	68465.661	3	0.98±0.01	0.98-0.99	n/a
<i>Ivesia saxosa</i>	Setosae	Outcrop	Volcanic	unknown	154796.221	6	0.9±0.02	0.80-0.92	0.00034/50
<i>Ivesia sericoleuca</i>	Unguiculatae	Soil	Volcanic	2n = 28	1298.464	2	2.32±0.02	2.31-2.32	0.00123/7
<i>Ivesia setosa</i>	Setosae	Outcrop	Limestone	unknown	106880.547	6	0.99±0.02	0.96-1.01	n/a
<i>Ivesia shockleyi</i> var. <i>shockleyi</i>	Setosae	Outcrop	Volcanic	unknown	8161139.392	3	1.88±0.07	1.8-1.93	n/a
<i>Ivesia tweedyi</i>	Ivesia	Soil	Volcanic	unknown	25250.732	3	1.0±0.05	0.96-1.05	0.00102/50
<i>Ivesia utahensis</i>	Ivesia	Soil	Limestone	unknown	1022.194	3	1.03±0.05	0.97-1.07	n/a
<i>Ivesia webberi</i> unit 2	Ivesia	Soil	Volcanic	unknown	3214.223	3	0.85	0.85-0.86	0.00151/50
<i>Ivesia webberi</i> unit 3	Ivesia	Soil	Volcanic	unknown		3	0.84	0.83-0.85	0.00127/50
<i>Ivesia webberi</i> unit 5	Ivesia	Soil	Volcanic	unknown		3	0.85	0.85	0.00328/50
<i>Ivesia webberi</i> unit 6	Ivesia	Soil	Volcanic	unknown		3	0.87	0.85-0.89	0.0023/50
<i>Ivesia webberi</i> unit 7	Ivesia	Soil	Volcanic	unknown		3	0.88	0.87-0.9	n/a
<i>Ivesia webberi</i> unit 8	Ivesia	Soil	Volcanic	unknown		3	0.9	0.89-0.9	0.00222/50
<i>Ivesia webberi</i> unit 11	Ivesia	Soil	Volcanic	unknown		3	0.82	0.78-0.85	0.00145/10

<i>Ivesia webberi</i> unit 12	Ivesia	Soil	Volcanic	unknown	3	0.83	0.81-0.84	0.00218/50
<i>Ivesia webberi</i> unit 13	Ivesia	Soil	Volcanic	unknown	3	0.84	0.82-0.85	0.00177/50
<i>Ivesia webberi</i> unit 15	Ivesia	Soil	Volcanic	unknown	3	0.79	0.77-0.81	n/a
<i>Ivesia webberi</i> unit 16	Ivesia	Soil	Volcanic	unknown	3	0.77	0.76-0.78	0.00133/30
<i>Potentilla gracilis</i> var. <i>fastigiata</i>	-	Soil	Volcanic	2n = 52- 109	3	2.71	2.69-2.74	n/a
<i>Potentilla</i> sp. ^d	-	Soil	Volcanic	unknown	2	1.25±0.01	1.24-1.26	n/a
<i>Horkelia fusca</i> ssp. <i>parviflora</i> unit 1		Soil	Volcanic	2n = 28	2	1.26±0.02	1.26-1.26	
<i>Horkelia fusca</i> ssp. <i>parviflora</i> unit 2	-	Soil	Volcanic	2n = 28	3	1.12±0.06	1.06-1.16	n/a

^aInformation is based on the description of *Ivesia* in the *Flora of North America* (Ertter and Reveal 2014).

^bRanges of the sampled taxa was estimated from the convex hull boundary of their known occurrences

^cThe first value represents the mean seed weight, and the second value represents the total number of seeds weighed. n/a means seeds were not collected

^dThis taxon is suspected to be either *P. morefieldii* or *P. pseudosericea*

FIGURE LEGENDS

Figure 1. Locations of representative samples of *Ivesia*, *Potentilla*, and *Horkelia* taxa collected for this study.

Figure 2. Locations of representative samples of 11 *Ivesia webberi* populations collected for this study.

Figure 3. Plots of the relationship between genome size of *Ivesia* taxa and the selected environmental predictors, including (A) substrate type, (B) substrate geology, (C) average seed size for the sampled *Ivesia* taxa, (D) the elevation of each collection, (E) mean actual evapotranspiration between 1981 and 2015, (F) mean potential evapotranspiration between 1981 and 2015, and (G) mean climatic water deficit between 1981 and 2015. In Figures 3c-g, the blue regions represent confidence intervals around the line of best fit

Figure 4. Genome size of plant samples collected from 11 *Ivesia webberi* populations.

Figure 5. Relationship between genome size of 11 *Ivesia webberi* populations and the selected environmental predictors, including (A) elevation of each collection, (B) mean actual evapotranspiration between 1981 and 2015, (C) mean potential evapotranspiration between 1981 and 2015, (D) mean climatic water deficit between 1981 and 2015, and (E) mean seed size from each population.

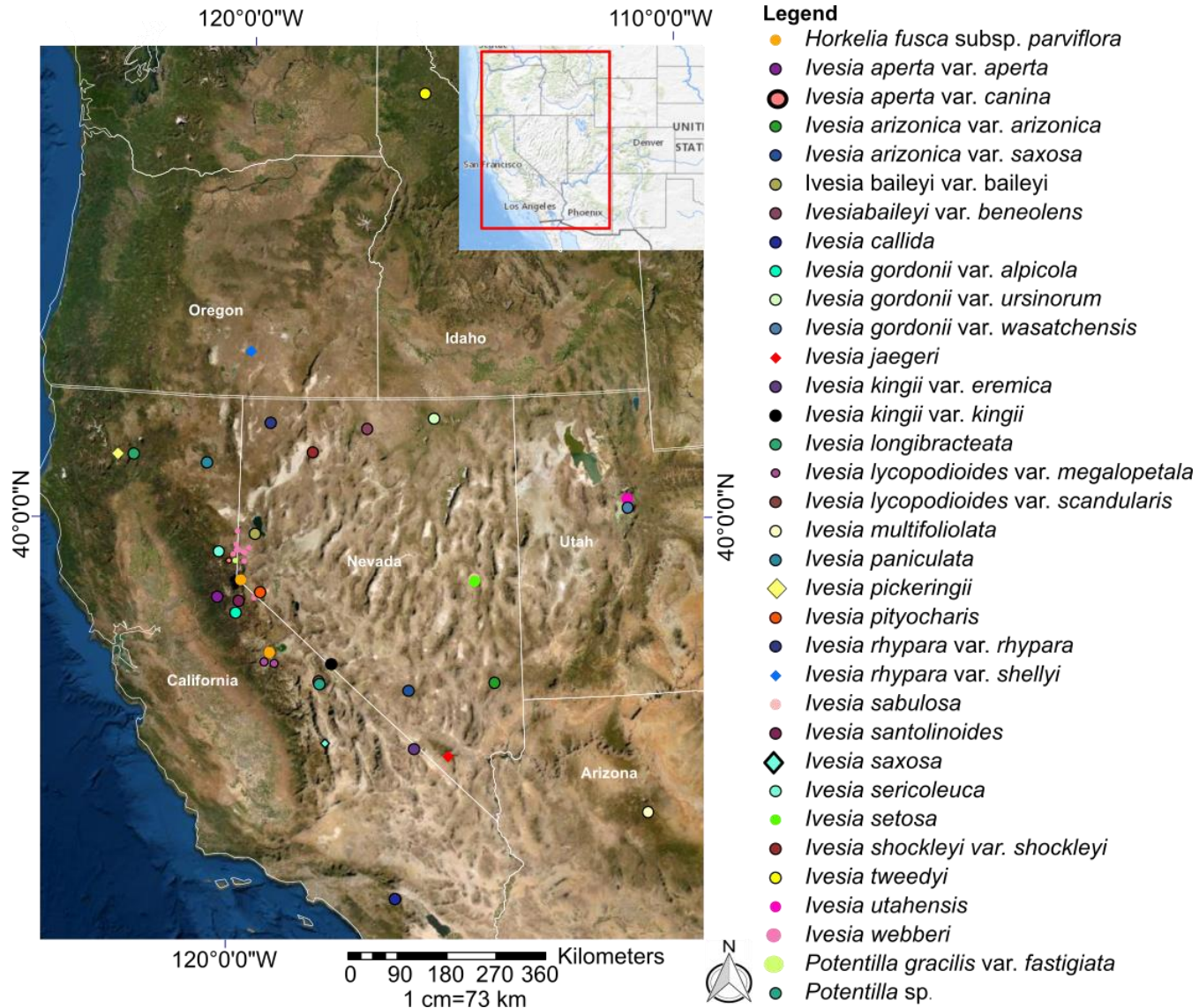


Figure 1.



Figure 2.

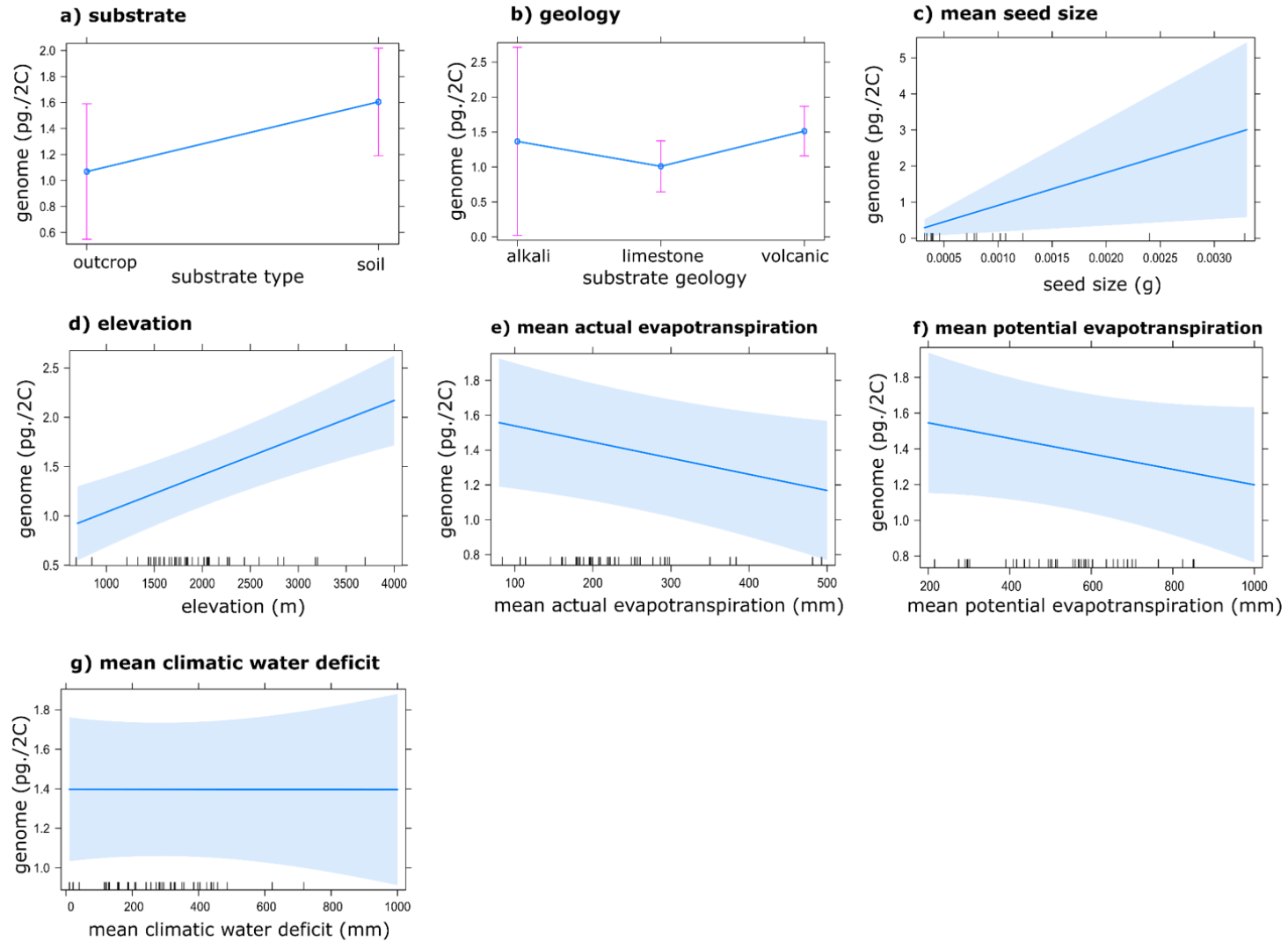


Figure 3.

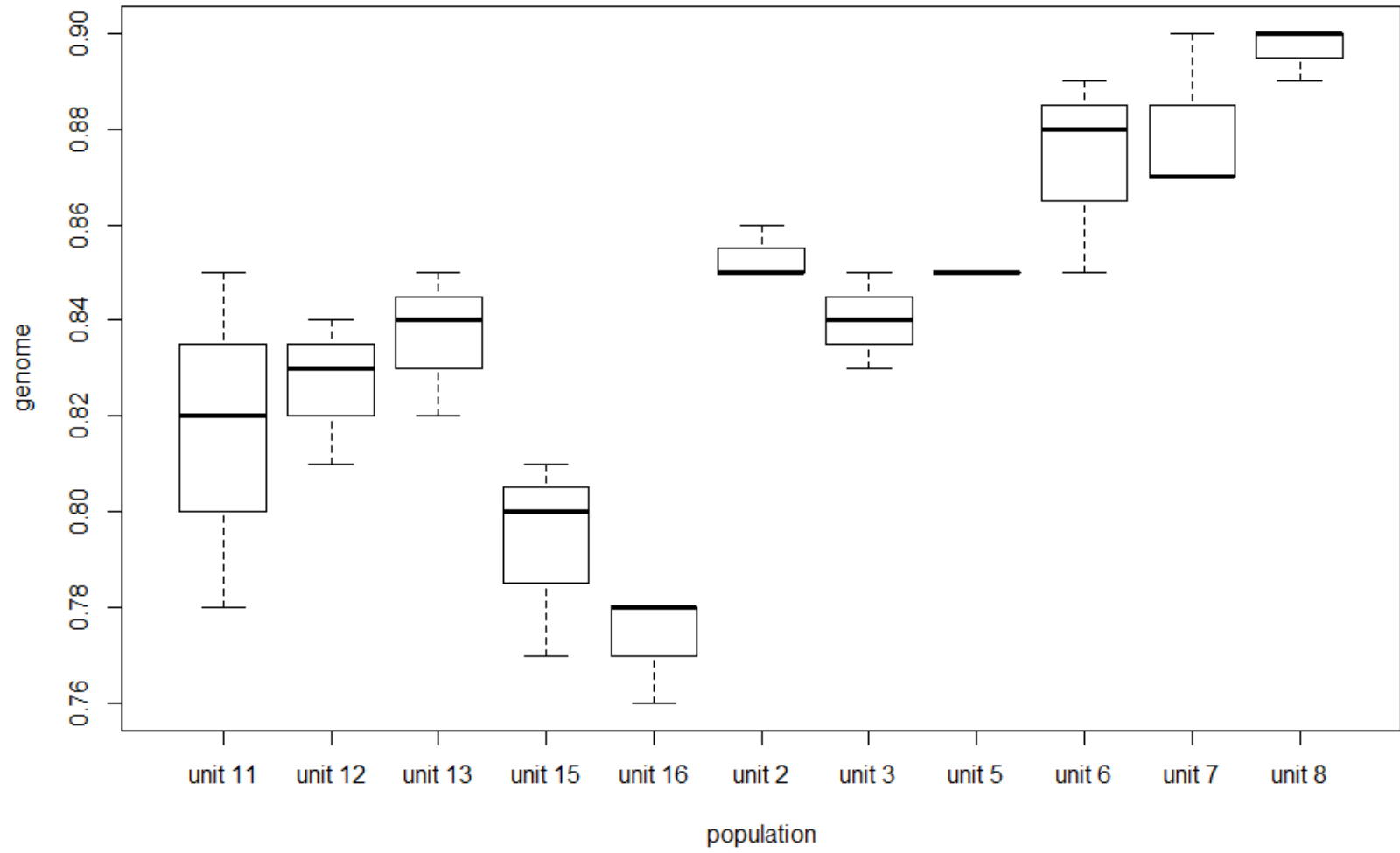


Figure 4.

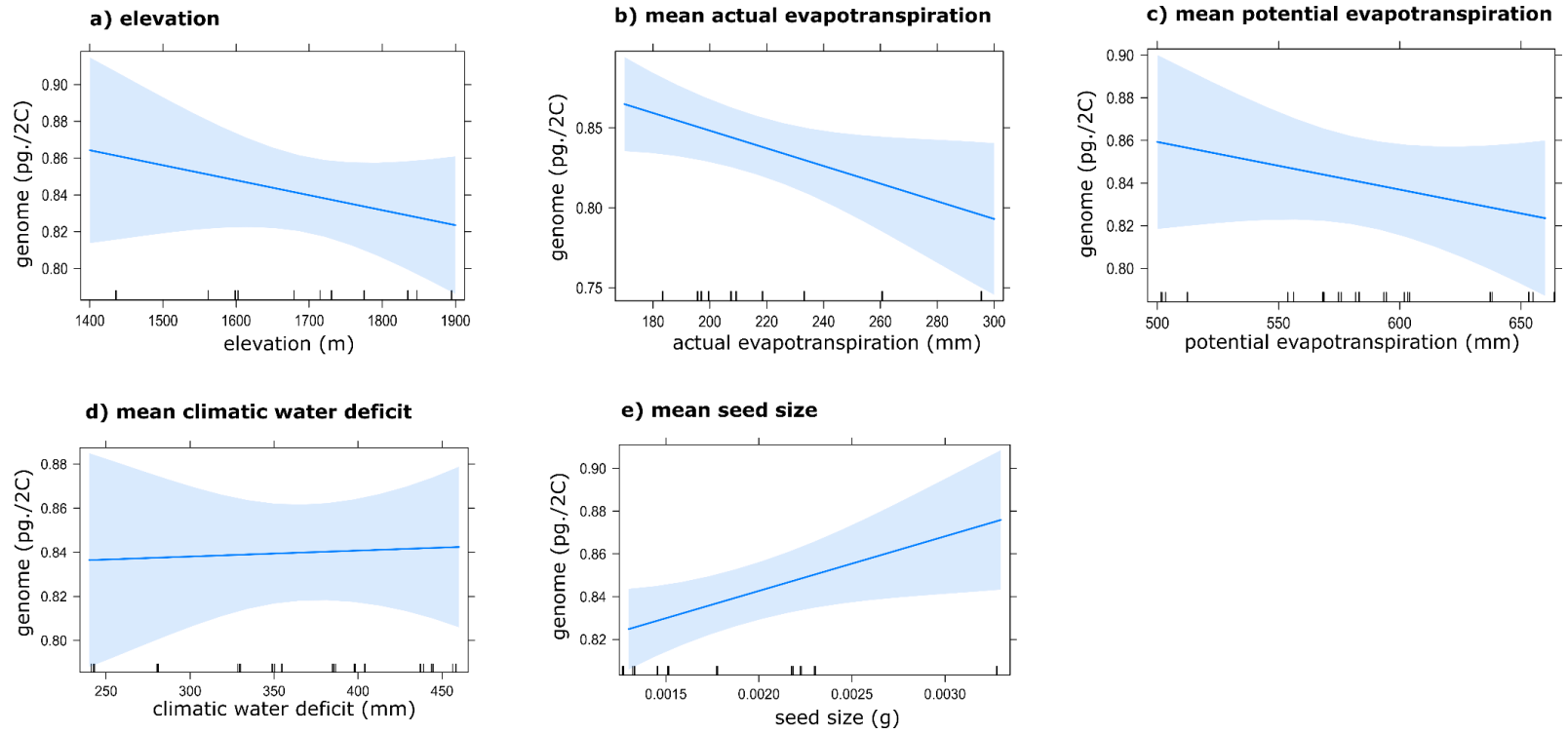


Figure 5.

ACKNOWLEDGEMENTS

We thank the following people for their support during the field collections: Arnold Tiehm (Museum of Natural History, University of Nevada, Reno), Michael Hagebusch, Mr. John Dittes, Dr Margaret Widdowson, Dr Derek Antonelli, Prof Tina Ayers (Northern Arizona University), Greg Gust, Maura Olivos, Dr Duncan Bell and Dr Naomi Fraga (Rancho Santa Ana Botanical Garden), Dr Jennifer Wilkening (USFWS Southern Nevada Office), Dr Jeanette Perry and Dr Patricia Hardesty (Nevada National Security Site), Kathryn Birgy (University of Nevada Las Vegas Herbarium), Gary Reese, and Cayenne Engel (Nevada Department of Forestry), Jordan Brown (Oregon Native Plant Conservation Program, and Department of Botany, Oregon State University), Lindsey Wise (Oregon Biodiversity Information Centre).

DATA ACCESSIBILITY

All data used for this study (except the geographical coordinates) are publicly accessible at the Knowledge Network for Biocomplexity doi:10.5063/TH8K3G. The raw genome size will be submitted to the Plant DNA C-values database managed by the Royal Botanic Garden, Kew, U.K., following publication.

SUPPLEMENTARY INFORMATION

Supplemental Table 1. Description of collection sites for the 31 *Ivesia* taxa across western United States

Taxa	Code	Location	Elevation (m)	County	State	Herbarium repository
<i>Ivesia aperta</i> var. <i>aperta</i>	IVAPA	Near Feather River, Sierra valley	1491.9	Sierra	California	University of Nevada Herbarium (RENO:V): 103929
<i>Ivesia aperta</i> var. <i>canina</i>	IVAPC	Dog valley meadows	1758.1	Sierra	California	University of Nevada Herbarium (RENO:V): 103994
<i>Ivesia arizonica</i> var. <i>arizonica</i>	IVARA	Rainbow canyon, tertiary canyon, just north of Chokeycherry canyon, growing on protected west facing rock wall	1329	Lincoln	Nevada	University of Nevada Herbarium (RENO:V): 100365
<i>Ivesia arizonica</i> var. <i>saxosa</i>	IVARS	Pahute Mesa, South Silent Canyon, near Pahute Mesa Road	1958	Nye	Nevada	University of Nevada Herbarium (RENO:V): 59321
<i>Ivesia baileyi</i> var. <i>baileyi</i>	IVBABA	Needle Rock, Virginia Range, along Pyramid Way, near Paiute tribe reservation	1463	Washoe	Nevada	University of Nevada Herbarium (RENO:V): 35739
<i>Ivesia baileyi</i> var. <i>beneolens</i>	IVBABE	Santa Rosa Range, base of vertical cliffs just east of Hinkey Summit, 20 km (12 mi) air distance north (358°) of Paradise Valley (town)	1719.3	Humboldt	Nevada	University of Nevada Herbarium (RENO:V): 37473
<i>Ivesia callida</i>	IVCA	Taquitiz Peak, San Jacinto Mountains	2439	Riverside	California	Rancho Santa Ana Botanic Garden Herbarium (RSABG POM): 005612
<i>Ivesia gordonii</i> var. <i>alpicola</i>	IVGOA	Rock clefts, near Winnemucca Lake and Round Top Mountain	2787.8	Alpine	California	University of Nevada Herbarium (RENO:V): 103931
<i>Ivesia gordonii</i> var. <i>ursinorum</i>	IVGOU	The Mahoganies, hills east-southeast of Entight Hills	2019.9	Elko	Nevada	University of Nevada Herbarium (RENO:V): 38394
<i>Ivesia gordonii</i> var. <i>wasatchensis</i>	IVGOW	Big Cottonwood Canyon, Mt. Baldy, near Lupine Trail	2848.5	Salt Lake	Utah	University of Nevada Herbarium (RENO:V): 103900

<i>Ivesia jaegeri</i>	IVJA	Limestone cliffs behind Spring Mountain visitor gateway, Mount Charleston	2045	Clark	Nevada	University of Nevada Herbarium (RENO:V): 15908
<i>Ivesia kingii</i> var. <i>eremica</i>	IVKIE	Ash Meadows National wildlife refuge	685	Nye	Nevada	University of Nevada Herbarium (RENO:V): 43885
<i>Ivesia kingii</i> var. <i>kingii</i>	IVKIK	Alkaline salt flat near Fish Lake Valley hot well, Silver Peak range, near Dyer NV	1442	Esmeralda	Nevada	University of Nevada, Las Vegas; Wesley E. Niles Herbarium (UNLV): 33393
<i>Ivesia longibracteata</i>	IVLO	On the rock clefts of Castle crags, Shasta-Trinity National Forest	1214.6	Shasta	California	University of Nevada Herbarium (RENO:V): 41907
<i>Ivesia lycopodioides</i> var. <i>megalopetala</i>	IVLYM	Tuolumne Meadows, Yosemite National Park	2592.1	Tuolumne	California	University of Nevada Herbarium (RENO:V): 103946
<i>Ivesia lycopodioides</i> var. <i>megalopetala</i>	IVLYM	In the meadows along trail to Gardisky Lake, Inyo National Forest, off Saddlebag Lake Road, off CA 120 highway towards Yosemite National Park	3179.6	Mono	California	University of California, Riverside Plant Herbarium(UCR): UCR-255565 (registered as var. <i>lycopodioides</i>)
<i>Ivesia lycopodioides</i> var. <i>scandularis</i>	IVLYS	Along the road to University of California Barcroft field station, White Mountains, Inyo National Forest	3696.8	Mono	California	University of Nevada Herbarium (RENO:V): 59318
<i>Ivesia multifoliolata</i>	IVMU	Walnut Canyon, Sandy's canyon, Coconino	2018	Coconino	Arizona	Deaver Herbarium (Northern Arizona University) (ASC): ASC00118859
<i>Ivesia paniculata</i>	IVPA	Ash creek valley, Modoc Plateau	1547.2	Modoc	California	University of Nevada Herbarium (RENO:V): 103933
<i>Ivesia pickeringii</i>	IVPI	Meadow 0.3 miles after Eagle creek site, near Trinity river, Shasta Mountain area	849.2	Trinity	California	University of Nevada Herbarium (RENO:V): 40953
<i>Ivesia pityocharis</i>	IVPIT	Pine Nut Mts., 1.2 air miles SE of Slaters Mine on the NE side of Mt. Siegel	2282	Douglas	Nevada	University of Nevada Herbarium (RENO:V): 9588

<i>Ivesia rhypara</i> var. <i>rhypara</i>	IVRHR	Sheldon National Wildlife Refuge: East side of Fish creek table, 0.3 road miles NW of Fish Creek campground	1820.6	Washoe	Nevada	University of Nevada Herbarium (RENO:V): 11230
<i>Ivesia rhypara</i> var. <i>shellyi</i>	IVRHS	First canyon south of Venator Canyon, ca. 7.3 air miles NNE of the town of Alkali Lake	1516.9	Lake	Oregon	University of Nevada Herbarium (RENO:V): 103901
<i>Ivesia sabulosa</i>	IVSAB	Egan range, ~0.6 miles by air south of Highway 50 intersection, just east of Ruth road	2073	White Pine	Nevada	New York Botanical Garden Steere Herbarium (NY): 834037
<i>Ivesia santolinoides</i>	IVSAN		2171.2	Alpine	California	University of Nevada Herbarium (RENO:V): 103997
		Near Hope Valley, CA 88 roadside				
<i>Ivesia saxosa</i>	IVSAX	Along switchbacks of Horseshoe Meadow road, 11.2 miles south-southwest of Jct. with Whitney Portal road, Inyo National Forest, off Lone Pine	2261.4	Inyo	California	University of Nevada Herbarium (RENO:V): 57877
<i>Ivesia sericoleuca</i>	IVSER	California road A23, opposite Calpine junction; California A49 roadside between Sierraville and Sattley	1502.9	Sierra	California	University of Nevada Herbarium (RENO:V): 103930
<i>Ivesia setosa</i>	IVSET	Egan range, ~0.5 miles by air south of Highway 50 intersection, just east of Ruth road	2067	White Pine	Nevada	New York Botanical Garden Steere Herbarium (NY): 834072
<i>Ivesia shockleyi</i> var. <i>shockleyi</i>	IVSHS	Jackson Mountains, 2.1 road miles northeast of the Jackson and Trout Creek road junction on the road to Iron King Mine	2055	Humboldt	Nevada	University of Nevada Herbarium (RENO:V): 44622
<i>Ivesia tweedyi</i>	IVTW	About 0.5 air miles south of Moon Peak, along the divide between Horseshoe creek and Moon creek, side of the divide	1653.9	Shoshone	Idaho	University of Nevada Herbarium (RENO:V): 103903
<i>Ivesia utahensis</i>	IVUT		3201.2	Salt Lake	Utah	Brigham Young University, S. L. Welsh Herbarium (BRY:V): BRYV0114721
		Saddle below and west of Sugarloaf Mountain, Alta ski resort				

<i>Ivesia webberi</i>	IVWE	population 2: near Doyle	1436	Lassen	California	Collection of voucher specimen not permitted
<i>Ivesia webberi</i>	IVWE	population 3: East of Hallelujah Junction	1561.7	Lassen	California	Collection of voucher specimen not permitted
<i>Ivesia webberi</i>	IVWE	population 5: Dog Valley meadows	1834.7	Sierra	California	Collection of voucher specimen not permitted
<i>Ivesia webberi</i>	IVWE	population 6: White Lake Overlook	1715.2	Sierra	California	Collection of voucher specimen not permitted
<i>Ivesia webberi</i>	IVWE	population 7: Mules Ear flat	1680	Sierra	California	Collection of voucher specimen not permitted
<i>Ivesia webberi</i>	IVWE	population 8: Ivesia flat	1775.6	Washoe	Nevada	Collection of voucher specimen not permitted
<i>Ivesia webberi</i>	IVWE	population 11: Hungry valley	1599	Washoe	Nevada	Collection of voucher specimen not permitted
<i>Ivesia webberi</i>	IVWE	population 12: Black Springs	1730.2	Washoe	Nevada	Collection of voucher specimen not permitted
<i>Ivesia webberi</i>	IVWE	population 13: Raleigh Heights	1602.6	Washoe	Nevada	Collection of voucher specimen not permitted
<i>Ivesia webberi</i>	IVWE	population 15: Pines Powerlines	1847.6	Washoe	Nevada	Collection of voucher specimen not permitted
<i>Ivesia webberi</i>	IVWE	population 16: Dante Mine Road	1894.8	Douglas	Nevada	Collection of voucher specimen not permitted
<i>Potentilla gracilis</i> var. <i>fastigiata</i>	POGRF	Dog valley meadows	1758.1	Sierra	California	University of Nevada Herbarium (RENO:V): 103995
<i>Potentilla</i> sp. [either <i>P. morefieldii</i> or <i>P. pseudosericea</i>]	POSP	White Mountains, White Mountain road, 6.8 km (4.2 mi) north of Patriarch Grove turnoff, east side of road, 26.5 km (16.5 mi) air distance northeast (35°) of downtown Bishop.	3587	Mono	California	University of Nevada Herbarium (RENO:V): 61149
<i>Horkelia fusca</i> ssp. <i>parviflora</i>	HOFUP	Sawmill walk-in campground, off Tioga pass road to Yosemite National Park, near Lee Vining CA	2930.5	Mono	California	University of Nevada Herbarium (RENO:V): 103902
<i>Horkelia fusca</i> ssp. <i>parviflora</i>	HOFUP	Spooner Lake: SW corner, dry meadow edges	2127.7	Douglas	Nevada	University of Nevada Herbarium (RENO:V): 103904

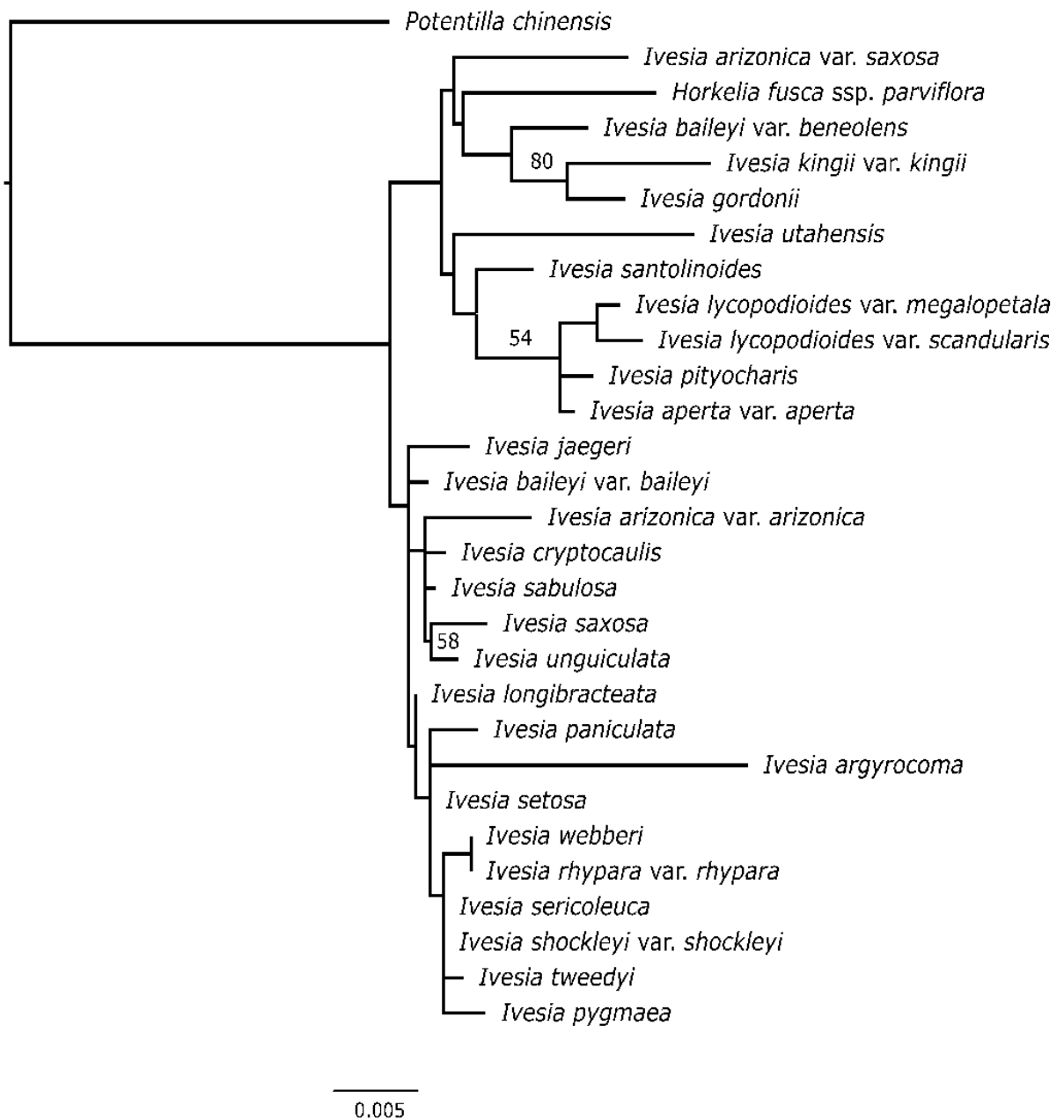
Supplemental Table 2. List of sequences for *Ivesia*, *Horkelia* and *Potentilla* taxa used for the phylogenetic tree reconstruction

Taxa	Taxa unique ID	ETS sequence NCBI accession	ITS sequence NCBI accession	trnL sequence NCBI accession	matK sequence NCBI accession	Reference
<i>Horkelia fusca</i> ssp. <i>parviflora</i>	CM322771	KT985777.1	KT985648.1	KT991758.1		Koski & Ashman 2016
<i>Ivesia aperta</i> var. <i>aperta</i>	UC1559690	KT985781.1	KT985652.1			Koski & Ashman 2016
<i>Ivesia argyrocoma</i>	GB260			FR873003.1		Töpel et al. 2012
<i>Ivesia arizonica</i> var. <i>arizonica</i>	RSA508466	KT985782.1	KT985653.1	KT991759.1		Koski & Ashman 2016
<i>Ivesia arizonica</i> var. <i>saxosa</i>	UC1559755	KT985783.1	KT985654.1	KT991760.1		Koski & Ashman 2016
<i>Ivesia baileyi</i> var. <i>baileyi</i>	GB293			FR872947.1		Töpel et al. 2012
<i>Ivesia baileyi</i> var. <i>beneolens</i>	RSA508468	KT985784.1	KT985655.1	KT991761.1		Koski & Ashman 2016
<i>Ivesia cryptocaulis</i>	JEPS104			FR872968.1		Töpel et al. 2012
<i>Ivesia gordonii</i>	CM473284	KT985785.1	KT985656.1			Koski & Ashman 2016
<i>Ivesia jaegeri</i>	GB269			FR872983.1		Töpel et al. 2012
<i>Ivesia kingii</i> var. <i>kingii</i>	GB4782	FN421377.1	FN430787.1	FN561735.1		Töpel et al. 2011
<i>Ivesia longibracteata</i>	JEPS101			FR872937.1		Töpel et al. 2012
<i>Ivesia lycopodioides</i> var. <i>megalopetala</i>	RSA131949	KT985786.1	KT985657.1			Koski & Ashman 2016
<i>Ivesia lycopodioides</i> var. <i>scandularis</i>	RSA663921	KT985787.1	KT985658.1	KT991762.1		Koski & Ashman 2016
<i>Ivesia paniculata</i>	UC-Ptl6031			GQ384741.1		Dobes & Paule 2010
<i>Ivesia pygmaea</i>	GB341			FR872963.1	FR851335.1	Töpel et al. 2012
<i>Ivesia pityocharis</i>	UC1728514	KT985788.1	KT985659.1	KT991763.1		Koski & Ashman 2016
<i>Ivesia rhypara</i> var. <i>rhypara</i>	GB372			FR872953.1	FR851332.1	Töpel et al. 2012
<i>Ivesia sabulosa</i>	GB366			FR872956.1	FR851334.1	Töpel et al. 2012
<i>Ivesia santolinoides</i>	CM265071	KT985789.1	KT985660.1			Koski & Ashman 2016
<i>Ivesia saxosa</i>	UC1559752	KT985790.1	KT985661.1		FR851336.1	Koski & Ashman 2016

<i>Ivesia sericoleuca</i>	GB291			FR872989.1	Töpel et al. 2012
<i>Ivesia setosa</i>	CM480028	KT985791.1	KT985662.1	KT991764.1	Koski & Ashman 2016
<i>Ivesia shockleyi</i> var. <i>shockleyi</i>	GB228			FR872998.1	Töpel et al. 2012
<i>Ivesia tweedyi</i>	JEPS19303			FR872944.1	Töpel et al. 2012
<i>Ivesia utahensis</i>	CM361607	KT985792.1	KT985663.1		Koski & Ashman 2016
<i>Ivesia unguiculata</i>	JEPS19215			FR872952.1	FR851331.1 Töpel et al. 2012
<i>Ivesia webberi</i>	GB290			FR872988.1	Töpel et al. 2012
<i>Potentilla chinensis</i>	Feng110	KP875266.1	KP875298.1	KP875319.1	Feng et al. 2017

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Supplemental Figure 1. Supplemental Figure 1. *Ivesia* and *Horkelia fusca* ssp. *parviflora* maximum likelihood phylogenetic estimate from available nuclear (ETS and ITS) and chloroplast (matK and trnL) molecular markers. Non-parametric bootstraps greater than 50 were represented on the corresponding branches

CHAPTER 5 Geographical and inter-annual patterns of seed viability in the threatened cold desert perennial *Ivesia webberi*, and the prospect of non-destructive seed testing methods*

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ABSTRACT

An estimated one-third of the globally threatened plant species have recalcitrant storage behavior, for which seed banking is relatively ineffective. Therefore, to understand seed storage behavior in *Ivesia webberi*, a threatened Great Basin Desert forb in the United States, we examined the effect of seed size and storage time on seed viability, evaluated inter-annual and inter-population variation in seed viability, and investigated the predictive accuracy of non-destructive seed viability testing methods (seed x-ray and multispectral imaging). The results showed a significant reduction in seed viability from three months to two years, suggesting that *I. webberi* seeds have recalcitrant storage behavior. Seed viability exhibited significant inter-annual, but not inter-population, variation across 11 *I. webberi* populations; seed size has no significant effect on seed viability. The x-ray and multispectral imaging methods had high classification accuracy (>80%) and could replace the widely used tetrazolium test, which destroys the seed germplasm, resulting in the cumulative reduction of stored seeds from periodic monitoring. This study demonstrates the utility of non-destructive methods for long-term seed viability monitoring, and shows that seed viability is not affected by population density which varies widely among the sampled *I. webberi* populations.

Keywords: *Ivesia webberi*, seed banking, seed viability, multispectral imaging, seed x-ray imagery

INTRODUCTION

Target 8 of the United Nations' Convention on Biological Diversity (CBD) Global strategy for plant conservation (GSPC) recommends *ex situ* conservation of at least 75% of plant species categorized as threatened nationally or globally, by 2020 (CBD 2019), as an insurance against extirpation and extinction of plant species in their natural habitats due to anthropogenic environmental changes (Meyer et al. 2014). Collecting and banking seeds is the oldest and most common *ex situ* conservation strategy for species management and global food security (Díez et al. 2018; Potter et al. 2017; Liu et al. 2018). There are thousands of local, national and international seed banks (Food and Agriculture Organization [FAO] 2010; Hay and Probert 2013).

However, the success of seed banking conservation programs depends upon the stored seeds staying viable over the long term (Gairola et al. 2019). The majority of crop species can tolerate seed desiccation without losing their viability (Roberts 1973), and as a result, these seeds can be stored for longer periods (Tweddle et al. 2003; Hay and Probert 2013), in contrast to seeds with recalcitrant behavior that lose their viability with time and desiccation. However, most of the threatened plants, the focus of the GSPC target 8, are wild plant species for which knowledge of their seed storage behavior is limited (Probert et al. 2009; Hay and Probert 2013; Wyse and Dickie 2017). An estimated 36% of threatened species on the IUCN Red List may have recalcitrant seed behavior, in which case seed banking will not be effective for their conservation (Wyse et al. 2018; Wyse and Dickie 2018). Therefore, an understanding of the seed storage behavior of threatened species is crucial for effective seed banking (Meyer et al. 2014).

Long-term study and monitoring of seed storage behavior requires repeated use of seed viability tests, but these are most commonly destructive methods, especially seedling emergence and tetrazolium tests. Using such methods that reduce the number of stored seeds over time is clearly prohibitive for rare species of conservation concern. As a result, there is an increased interest in and use of non-destructive methods for assessing seed viability in both seed research and industry (Baek et al. 2019). Non-destructive seed testing methods, such as the seed x-ray and multispectral imaging, reveal seed properties that are used as proxies for seed viability. For example, seed x-rays can be used to visualize seed development, morphology, anatomy and potential pest and pathogenic damage from which inferences are drawn about seed viability and behavior (Gagliardi and Marcos-Filho 2011; Costa et al. 2014). More recently, multispectral imaging has been used to assess seed health, moisture level (Baek et al. 2019), seed purity (Vrešak et al. 2016), fruit maturity, and detect pest damage (Boelt et al. 2018). The spectral regions covered in the multispectral imaging included the visible (380-780 nm) and near-infrared (780-2500 nm) regions (Huang et al. 2015; Boelt et al. 2018), producing a huge volume of data for several variables describing the testa chemical and spectral properties. However, large portions of the electromagnetic spectrum are likely to be redundant with respect to seed viability indicators, resulting in needlessly large computer storage demands and processing times (Baek et al. 2019). It would therefore be useful to employ variable selection methods to identify those spectral variables that contain the most important information for improving performance of seed viability detection models (Chen et al. 2014).

Ivesia webberi A. Gray is a federally threatened perennial forb, with a narrow distribution along the eastern foothills of the northern Sierra Nevada and northwestern margin of the Great Basin Desert (Figure 1). The species regenerates annually from a dormant root caudex in late winter or early spring but seed recruitment has also been observed (Witham 2000; Bergstrom 2009).

This study investigates whether (a) viability of *I. webberi* seeds remains stable or decreases with storage time, (b) there is significant inter-annual and inter-population variability in seed viability across multiple populations of varying abundance, (c) seed size is correlated with seed viability, and (d) multispectral imaging and x-ray imagery, both non-destructive methods, accurately predict viability of *I. webberi* seeds. Knowledge of seed behavior in *I. webberi* is unknown and therefore results of this study can be used to support management and conservation of this rare species.

MATERIALS AND METHODS

Experiment 1: The effect of storage time on the viability of *I. webberi* seeds

Seed collection and processing

Seeds were collected from the United States Fish and Wildlife service (USFWS) designated unit 5 *I. webberi* population (Figure 1), in August of 2017, 2018 and 2019 when matured seeds were ready for abscission. The collections were processed to remove empty seeds, and the remaining healthy seeds were stored under cool, dry conditions, in coin envelopes.

Seed viability test

A tetrazolium test (hereafter, TZ test) was used to evaluate seed viability following protocols described in Nurse and DiTommaso (2005), Gosling et al. (2009) and França-Neto and Krzyzanowski (2019), and was conducted at the Idaho State seed laboratory, Boise Idaho.

Data collection and analysis

We fitted a logistic regression model to test the effect of storage duration on viability of *I. webberi* seeds. Viability of individual seeds collected between 2017 and 2019 (0 = non-viable, and 1 = viable) was modeled as a function of storage time, treated as a categorical variable with three levels: 0, 1 and 2 years in storage. A Tukey's HSD test was used to perform post-hoc pairwise comparisons (Abdi and Williams 2010). Data analysis for this and all subsequent analyses were conducted using R (R Core Team 2019).

Experiment 2: Population-level difference in the viability of *I. webberi* seeds

Seed collection, processing, and viability test

Seeds were collected from 11 *I. webberi* populations of varying population sizes and geographical distances to each other (Figure 1, Table 1), in August of 2017 and 2018. Depending on the population size, between 50 and 100 seeds were collected from each population, cleaned and sorted as described in experiment 1. The remaining healthy seeds were stored under cool, dry conditions, for eight months in coin envelopes, followed by seed viability testing to investigate inter-annual variability in seed viability across the 11

populations. Seed viability was assessed using a TZ test following the protocol described in experiment 1.

Data collection and analysis

Seed sample size for the 2017 collection ranged from 25 to 33, and from the 2018 collection, ranged from 26 to 45 seeds, due to the limitation on the numbers of seeds that could be collected from a threatened plant species, and large number of empty seeds (Table 1). We fitted separate logistic regression models and Tukey's HSD post-hoc multiple comparisons for seeds collected in 2017 and 2018 to determine if seed viability was different among the 11 sampled populations. We also fitted a generalized linear mixed model for both years combined to investigate inter-annual variability in seed viability, using years and populations as fixed and random effects respectively.

Experiment 3: Is there a relationship between seed size and seed viability?

Seed collection and processing

A total of 441 healthy seeds collected in 2018, and used in part for experiment 2, were also used for this experiment (see Table 1).

Seed size estimation

Seed size was estimated as the product of seed length and width. Seed length and width were estimated from vertical and horizontal dimensions respectively for images of each seed. The dimensions of each seed were measured using the videometerLab 3 instrument (Videometer A/S, Hørsholm, Denmark) at Skyway Analytics LLC,

Longmont, Colorado [<https://getskywayanalytics.com/>], as part of the multispectral imaging.

Data collection and analysis

To investigate a statistical relationship between seed size and viability, we conducted a logistic regression with 70% of the data and used the remaining 30% for model evaluation.

Experiment 4: Can the non-destructive x-ray and multispectral imaging data accurately discriminate between viable and non-viable seeds?

Seed collection and processing

Seed lots used for experiment 3 were also used for this experiment. In total, we used 441 seeds for this experiment (Table 1).

Non-destructive seed imaging

The x-ray imaging was conducted at the United States Forestry Service (USFS) seed extractory, Bend, Oregon, following methods described in Gomes et al. (2016). The x-ray images were captured using a digital Kubtec medical imaging Xpert 40 specimen radiography system, for each individual seed, exposed to a radiation intensity of 26 kV for 1.2 seconds.

Multispectral imaging was conducted at Skyway Analytics LLC, Longmont, Colorado [<https://getskywayanalytics.com/>]. Digital images were captured with a VideometerLab 3 instrument (Videometer A/S, Hørsholm, Denmark) for each seed in a petri dish (90 mm) with dish cover removed to avoid reflection during image capturing

(Halkjaer Olesen et al. 2011; Su and Sun 2018). The multispectral images of 1280 x 960 pixels were captured at 26 different spectral bands from ultraviolet to near-infrared wavelengths ranging from 375 nm for multicolorMean0 to 970 nm for multicolorMean18. In addition, standard features related to seed size, shape, orientation and color were also collected. In total, 42 variables were obtained from the multispectral imaging describing the seed dimensions and testa reflectance properties.

Seed viability test

We used the TZ test results for seed viability to represent true seed viability in our statistical analyses. TZ test is recognized by the Association of Official Seed Analysts and the International Seed Testing Association as a high precision and accuracy test of seed vigor (França-Neto and Krzyzanowski 2019).

Data collection and analysis

The seed x-ray images were scored based on whether the seeds were “filled” or not. Filled seeds were assumed to have matured embryos, hence viable and scored 1, while unfilled seeds were considered non-viable, and scored 0. The 42 variables from the multispectral imaging and x-ray imagery scores were used as predictors, while seed viability from the TZ test was used as the binary response variable. The 43 variables were reduced to 21 following a feature selection run that uses a wrapper algorithm designed for Random Forest, implemented in the *Boruta* R package (Kursa and Rudnicki 2010). The 21 predictor variables were further reduced to three variables using the backward stepwise recursive feature elimination algorithm in the *caret* R package (Kuhn 2019). These three uncorrelated selected variables – seed x-ray imagery, seed width and seed

spectral reflectance at 690 nm (multicolor mean 13) - were used to build a final predictive model of seed viability.

We fitted a random forest classification model ($n\text{tree} = 500$, $m\text{try} = 2$) to the three selected variables using the *party* package (Hothorn et al. 2006) with supporting utility functions written by KTS. Variable importance was assessed as the loss of predictive accuracy (Gini statistic) when random permutations of each predictor variable were performed for randomly drawn samples (Cutler et al. 2007). Partial dependence plots were used to illustrate the relationship between each of the three predictors and seed viability (Friedman 2001). We used a 10-fold cross validation to assess overall predictive performance (Cutler et al. 2007), using the area under the receiver operating characteristic curve (AUC; *ROCR* package in R; Sing et al. 2005) as the primary performance metric (Fielding and Bell 1997).

RESULTS

The effect of storage time on the viability of *I. webberi* seeds

The viability of *I. webberi* seeds decreased with storage time. Seeds collected and stored for three months had 86% viability, while seeds stored for one and two years had 53% and 34% viability, respectively. A post-hoc pairwise test showed significant pairwise differences in seed viability between samples stored for three months and those stored for one and two years ($z = -3.33$, $p < 0.01$, $z = -4.91$, $p < 0.01$).

Inter-annual and population-level differences in the viability of *I. webberi* seeds

The results demonstrate significant inter-annual variability ($p < 0.01$) in the viability of *I. webberi* seeds between the two years. Viability was lower in seeds collected in 2017 than those collected in 2018. For example, only three populations had $\geq 50\%$ seed viability in 2017 collections, in contrast to nine populations in 2018 (Table 1). In most of the populations, seed viability was inconsistent from 2017 to 2018 (Table 1).

Seed viability varied across the 11 sampled populations for both 2017 and 2018 collections, but this variation was significantly different for only a few of the populations. For the 2017 collections, the Tukey HSD post-hoc tests resulted in only three significant pairwise differences between units 3 and 11, 3 and 14, and 5 and 14 (adjusted $p < 0.005$). For the 2018 collections, post-hoc tests support a significant pairwise difference only between units 7 and 8 (adjusted $p < 0.005$).

Is there a relationship between seed size and seed viability?

A large number of the viable *I. webberi* seeds were relatively small (Figure 2, Supplemental Table 1), but the logistic regression model showed that seed size had no significant effect on the viability of the seeds ($z = -1.57, p > 0.12$).

Could the seed testa spectral properties and x-ray imagery reliably predict viability of *I. webberi* seeds?

Of the 441 individual seeds used for the TZ test, 260 were categorized as viable, while the remaining 181 were non-viable. The combination of x-ray imagery, seed width, and spectral properties reliably predicted seed viability (AUC > 0.8). Simple t-tests for

viable and nonviable seeds conducted between mean values for seed x-ray, seed area and MCM 13 were significantly different at $p < 0.01$ (Supplemental Table 1). The Random Forest model produced high predictive performance (accuracy: 0.8163, specificity: 0.9308, sensitivity: 0.6519, kappa: 0.6056); seed x-ray imagery had the highest variable importance, followed by seed width and MCM 13, with 16% and 13% of the predictive power of the x-ray imagery respectively. Univariate partial dependence plots showed that the probability of *I. webberi* seed viability increases with decreasing seed testa spectral reflectance at 690 nm (Figure 3a), filled seeds in the x-ray imagery (Figure 3b) and smaller seed width (Figure 3c). The AUC values for the training and cross-validated data were 0.91 and 0.81 respectively.

DISCUSSION

Our data showed that viability of *I. webberi* seeds is reduced as storage time increases. This suggests that *I. webberi* seeds are non-dormant at abscission, and that this species has a potentially recalcitrant storage behavior, which is common in many perennial plant species (Baldos et al. 2014; Duncan et al. 2019), including Great Basin Desert perennial species (Allen and Nowak 2008). Furthermore, seeds that have recalcitrant storage behavior are likely to form a transient seed bank *in situ* (Guo et al. 1998; Tweddle et al. 2003; Tonetti et al. 2015), an ecological feature that has been observed for *I. webberi*. In this study, viability loss could be attributed to seed aging from light exposure (Ellis et al. 2008; Schwember and Bradford 2011), which mimics what could be expected in natural habitats. However, further studies are needed to investigate

the tolerance of *I. webberi* seeds to desiccation which are required for long-term storage. Furthermore, long-term seed viability monitoring under low temperature storage is needed to reliably assess *I. webberi* seed storage behavior.

This study showed significant temporal variability in the viability of *I. webberi* seeds, which suggest the impacts of climatic and environmental conditions and genetic factors not accounted for in this study on seed viability. This agrees with previous studies that have reported significant interannual variability in seed viability for many species across different ecosystems, which was attributed to differing reproduction strategy, pollinator abundance, climatic factors (Morgan 2001; Giménez-Benavides et al. 2005; Stuble et al. 2017; Daskalakou et al. 2017; Barga et al. 2017).

Despite the wide-ranging differences in population size, seed viability was not significantly different across the sampled *I. webberi* populations. Therefore, seed viability does not appear to be negatively affected by small population size in *I. webberi*. The EHJ population with the lowest density consistently had higher seed viability in the two years of sampling. This is contrary to previous studies that have reported significantly higher seed viability for larger population sizes (e.g., Morgan 2001), but studies also show that environmental conditions can impact seed viability regardless of population size (Morgan 2001). Moreover, some studies have shown that small populations of species that exhibit a mixed breeding strategy could still produce a high number of viable seeds (Mayer et al. 1996; Baldwin and Schoen 2019) by delaying selfing till end of the flowering season when chances of cross-pollination have become reduced (Kalisz and Vogler 2003; Hildesheim et al. 2019). Therefore, such species could

produce seeds from different breeding strategies possibly with varying recruitment potentials. Therefore, it may be necessary to investigate the viability of seeds produced from possible xenogamous, geitonogamous and autogamous pollination.

This study also showed that non-destructive seed viability tests can replace the more widely used tests that result in the loss of seed viability, an important finding especially for rare and endangered plant species. The seed x-ray imaging, which distinguishes between filled and empty seeds, contributed the most to the random forest model, despite previously reported limitations of its use for seeds with small size or round shape (Bruggink and van Duijn 2017). This suggests that filled, well-developed and undamaged *I. webberi* seeds could be used as a proxy for viability and consequently, higher germination potential (Costa et al. 2014). Many studies have also reported the accuracy of seed x-ray images for predicting seed viability (e.g., Costa et al. 2014; Alencar et al. 2016; Gomes et al. 2016; Kim et al. 2017). Riebkes et al. (2015) found no significant accuracy difference in estimating seed viability from seedling emergence, TZ test, and seed x-ray images for three studied species. Moreover, exposure to radiation from the seed x-ray test was reported to have minimal effect on seed health and germination (Bino et al. 1993; Young et al. 2007).

Of the 42 variables obtained from the multispectral imaging, MCM 13 was the only selected spectral variable from the variable selection runs. Viable seeds had significantly lower MCM 13 spectral values than the non-viable seeds (Supplemental Table 1, Figure 3a), suggesting the usefulness of this spectral feature for classification and separation of viable from non-viable seeds. At the 690 nm wavelength for MCM 13,

non-viable seeds have stronger fluorescent intensity which is associated with higher chlorophyll *a* content (Cerovic et al. 1999; Li et al. 2019) and oxidation, both of which have been linked to seed germination biochemical processes (El-Maarouf-Bouteau et al. 2013), reduced tolerance to abiotic stress, and reduced germination potential (Dell'Aquila 2009; Smolikova et al. 2011; Boelt et al. 2018; Li et al. 2019).

Non-destructive seed x-ray imaging and multispectral imaging at 690 nm wavelength compare well with the destructive seedling emergence and TZ tests, and therefore justify their use for seed vigor testing, which can reduce the cumulative long-term seed loss for threatened plant species that produce limited numbers of healthy seeds. Though not documented, we observed that the majority of *I. webberi* seed collections in the two years across the sampled populations were empty seeds, which underscores the need for long-term preservation of the few, viable seeds. Furthermore, the recalcitrant seed storage behavior highlights the challenges of seed banking for *I. webberi*, and recommends more focus on *in situ* management for this species. However, given the increase in disturbance and invasion by alien weeds across the Great Basin, seed banking has become an inevitable conservation strategy. This study showed little inter-population variability in seed viability. Therefore, collections for seed banking could be made from few selected populations with consistently higher viability, as guided by the population genetic structure of this species, to capture genetic diversity in the stored viable seeds. However, further studies are recommended to estimate the viability of seeds produced from different breeding strategies, and to evaluate storage behavior under long-term seed banking.

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Table 1. Location, properties and tetrazolium mean seed viability of the seed collections from 11 *Ivesia webberi* population sites in the western Great Basin Desert, United States

Unit ^a	Site location	County and State	Site area (m ²) ^b	Elevation (m) ^c	Abundance estimate ^d	Sample size (2017)	Mean ± SE viability (2017)	Sample size (2018)	Mean ± SE viability (2018)
2	Near Constantia	Lassen CA	7,700	1435.97	100-999	31	0.20 ± 0.07	40	0.00 ± 0.00
3	East of Hallelujah Junction	Lassen CA	1,400	1561.68	115-130	31	0.68 ± 0.09	39	0.62 ± 0.08
5	Dog Valley Meadows	Sierra CA	289,700	1834.70	100,000	25	0.64 ± 0.10	45	0.53 ± 0.08
6	White Lake Overlook	Sierra CA	54,900	1715.15	10,000	30	0.47 ± 0.09	45	0.64 ± 0.07
7	Mules Ear flat	Sierra CA	1,400	1680.01	<100	27	0.33 ± 0.09	35	0.83 ± 0.06

8	Ivesia flat	Washoe NV	3,000	1775.63	100,000	27	0.44 ± 0.10	26	0.46 ± 0.10
11	Hungry Valley	Washoe NV	600	1599.01	2,120	33	0.15 ± 0.06	38	0.63 ± 0.08
12	Black Springs	Washoe NV	25,500	1730.17	>500-1000	31	0.52 ± 0.09	45	0.69 ± 0.07
13	Raleigh Heights	Washoe NV	38,600	1602.60	<100,000- 4,000,000	30	0.23 ± 0.08	44	0.66 ± 0.07
14	Dutch Louie flat	Washoe NV	5,500	1922.55	600,000- 693,795	30	0.07 ± 0.05	41	0.68 ± 0.07
16	Dante Mine Road	Douglas NV	2,300	1894.81	3,179- 36,500	30	0.23 ± 0.08	43	0.70 ± 0.07

^aUSFWS unit assignment for the *I. webberi* populations (see USFWS 2014); ^bSite size was calculated from USFWS (2014);

^cElevation was extracted from 30 m digital elevation model of (USGS 2016) in ArcMap; ^dAbundance estimate for each population was sourced from USFWS (2014).

FIGURE CAPTIONS

Figure 1. Global distribution of *Ivesia webberi* populations. Unit numbers follow the USFWS designations, circles represent the geographic center of extant, mapped occurrences, and circles with same color indicate USFWS-designated subpopulations of the same population. Asterisk on unit 17 indicates it is a new proposed unit, as it was recently discovered.

Figure 2. Box plot showing the relationship between seed area and viability for *Ivesia webberi*. Viability was determined using the tetrazolium test.

Figure 3 (a-c). Univariate plots depicting seed viability for each of the three predictor variables computed from a random forest model for non-destructive *Ivesia webberi* seed viability classification

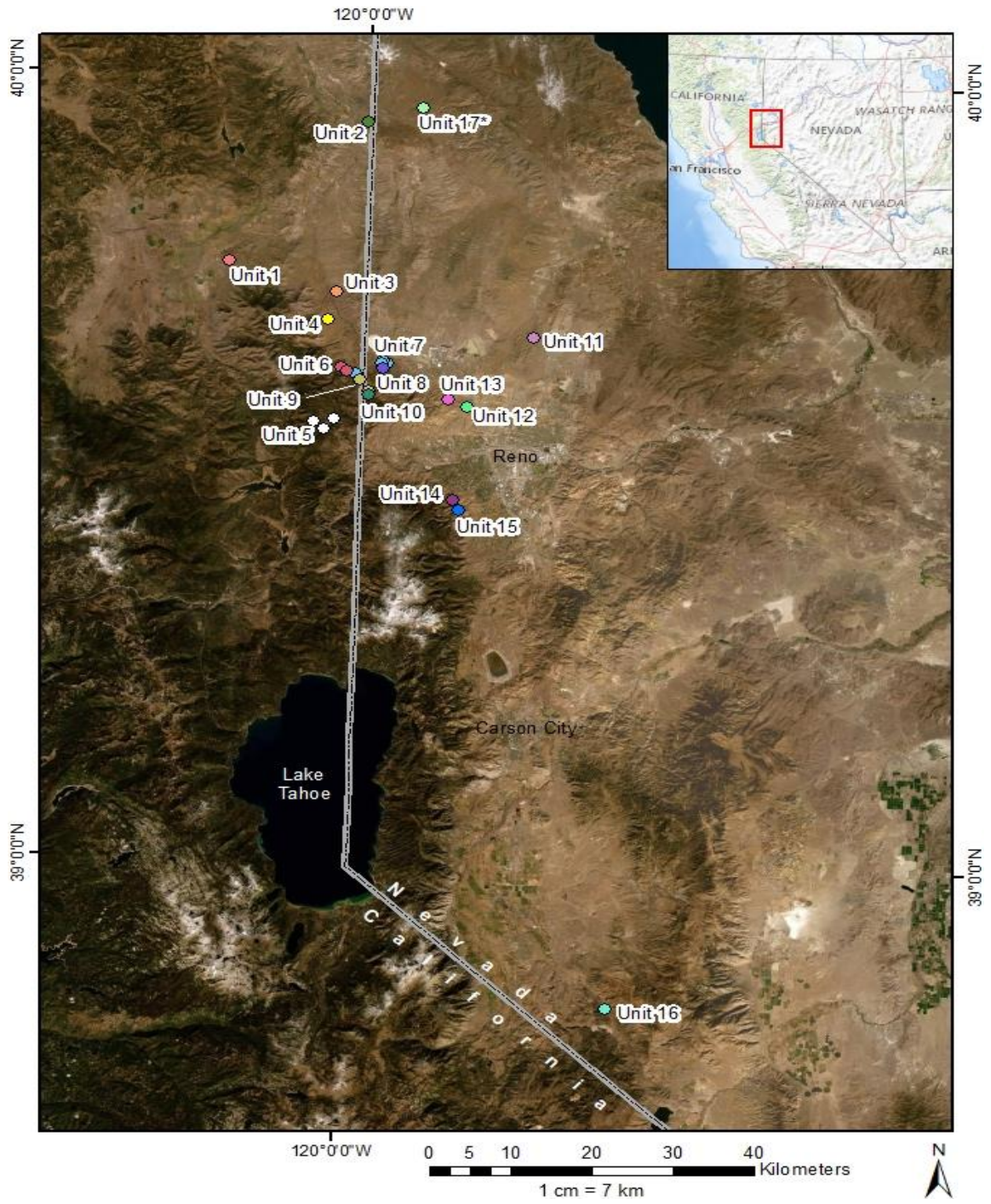


Figure 1.

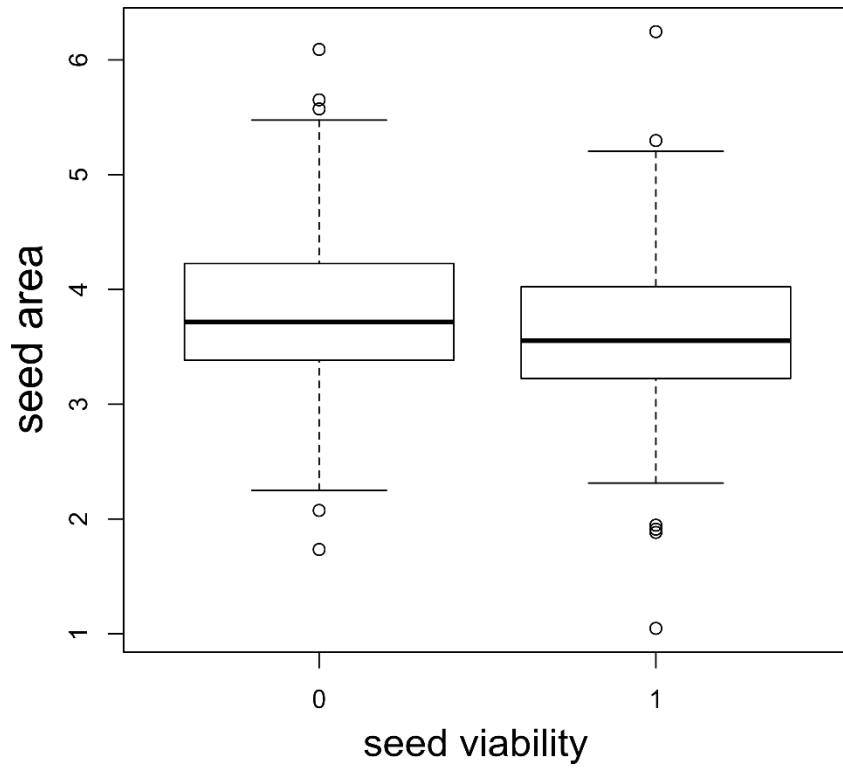


Figure 2.

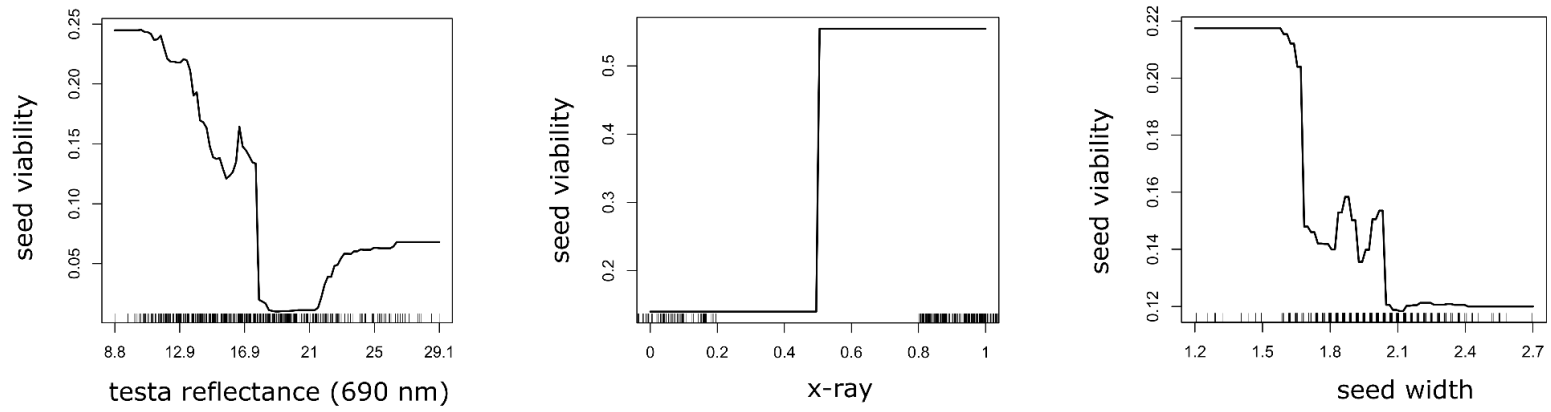


Figure 3 (a-c).

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SUPPLEMENTAL INFORMATION

Supplemental Table 1. Seed x-ray imagery and multispectral reflectance variables used to construct random forest tree model on *Ivesia webberi* seed viability

Predictors	Viable seeds		Nonviable seeds		T-test	Predictor description
	Mean±SD	Range	Mean±SD	Range		
Seed area	3.62±0.65	1.05 – 6.25	3.79±0.74	1.73 – 6.09	$p < 0.001$	Computed in mm ² from both vertical and horizontal dimensions of seed image
Seed x-ray	0.97±0.18	0.00 – 1.00	0.53±0.50	0.00 – 1.00	$p < 0.001$	Binary score of 0 and 1 for unfilled and filled seeds respectively, based on likelihood of presence of seed embryo
Multicolor mean 13	16.50±4.30	8.84 – 29.09	18.09±3.95	9.65 – 27.75	$p < 0.001$	Seed testa spectral reflectance value obtained using 690 nm wavelength

SYNTHESIS AND CONCLUSION

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This five-chapter dissertation has increased scientific knowledge on *Ivesia webberi*, a federally threatened perennial forb in the Great Basin Desert. These integrated studies have revealed (1) an ecological niche driven by climatic and topographic variables, (2) that the soil seed bank is dominated by invasive plant species, which reduced the floristic similarity with the aboveground vegetative communities in sites harboring *I. webberi*, (3) low genetic diversity, reduced gene flow, increased genetic differentiation among the sampled populations, as well as significant spatial genetic structure, (4) that *I. webberi*, like other *Ivesia* taxa, are diploid with 28 chromosomes and the genome size has potentially adaptive significance, and (5) that the viability of *I. webberi* seeds can exhibit significant temporal variation, and can be reliably monitored using nondestructive methods. Furthermore, this dissertation also resulted in the discovery of several new locations of the species and expanded the distribution range by 65 km. These studies also confirmed that *I. webberi* undergoes both vegetative and sexual reproduction, which underscores the importance of regeneration from its transient soil seed bank on maintaining genetic diversity.

Biodiversity is inherently multifaceted, covering taxonomic, functional, phylogenetic, genetic, landscape, and many other elements of variability of life on the Earth; therefore, it is best understood through integrated, multi-empirical, and interdisciplinary studies (Stevens and Gavilanez, 2015; Naeem et al., 2016). This multi-empirical dissertation covered the hierarchical and integrated nature of biodiversity to inform the conservation of a federally threatened perennial forb species and its habitat in the Great Basin Desert. For example, the geographical prediction map from the niche modeling of *I. webberi* was integrated into the landscape genetics study to test the effect

of isolation by landscape resistance on gene flow and genetic structure. The ecological predictor variables used for the niche modeling were also used to test the isolation by environment hypothesis on gene flow. Ground truthing of the geographical prediction maps and iterative niche modeling resulted in the discovery of several novel locations that may contain important genetic information that can improve the landscape genetics study. The floristic community diversity data obtained from Borokini et al. (2021) was used to test genetic-species diversity relationship. The use of microsatellite loci for the landscape genetic study was informed by the genome size study that confirmed that *I. webberi* is a diploid species. Findings from the intraspecific genome size variation and landscape genetics studies are complementary and show a latitudinal gradient in genome size and genetic diversity among *I. webberi* populations, since both molecular functional traits are influenced by the same evolutionary processes. Literature has shown that the extinction vortex for threatened species involves synergistic effects of ecological, demographic, and genetic processes (Blomqvist et al., 2010; Fagan and Holmes, 2012). Therefore, integrated studies can support a reliable assessment of the extinction risks for *I. webberi* and other imperiled species.

Conservation implications for *Ivesia webberi*

Lack of mobility in plants limits their response to environmental changes to either adaptation or extinction (Corlett, 2016; Panetta et al., 2018). The ability of plant species to adapt to environmental changes is strongly tied to their genetic diversity (Frankel and Soule, 1981; Barrett and Schluter, 2008; Hughes et al., 2008). I have shown in this dissertation that *I. webberi* has a relatively low genetic diversity and among-population

gene flow, which was attributed to isolation by distance and ecological dissimilarity among the patches. Low genetic diversity and gene flow among the populations may increase the frequency of mating with closely related individuals and result in fitness costs (Keller and Waller, 2002). Higher genetic differentiation among the populations may indicate local adaptations of these populations to the prevailing ecological conditions in their sites, but this can increase their extirpation risks when these ecological conditions change in the future. Furthermore, a significant genetic diversity relationship with ecological dissimilarity among the sites was also observed, which is indicative of an isolation by environment (Sexton et al., 2014). Field observations reveal varying levels and timing of regeneration and flowering in *I. webberi* populations. For example, populations in the lower elevations tend to regenerate and flower earlier than those in higher elevations. This can result in phenological mismatch that reduce the chances of pollen-based gene flow between populations in the higher and lower elevations (Wadgyamar and Weis, 2017; Slatyer et al. 2020).

Maintaining the overall genetic diversity in a plant species requires cross pollination, fertilization, seed production, and new recruitments from seed germination, as well as genetic admixture among populations (Hamrick et al., 1992; Kolbe et al., 2007; Rius and Darling, 2014). However, there are many stochastic risks associated with these fecundity stages, particularly seedling mortality due to physiological constraints and competition from invasive weeds that are prevalent in the sites harboring *I. webberi* (Chambers et al., 2007; Lander et al., 2019). In a pilot study, *I. webberi* seedlings did not survive beyond the two seed-leaf stage under greenhouse conditions (Daniel Harmon pers. comm.). The dominance of *Bromus tectorum* and other invasive plant species in the

soil seed bank of sites harboring *I. webberi*, as observed in this dissertation, suggest increased risk of seedling mortality due to competition (Porté et al., 2011; Dickson et al., 2012). Moreover, invasive plant species are linked with altered fire regimes in the Great Basin Desert. Frequent wildfires destroy the soil seed bank of native species and further reduce their potential to add new individuals into their populations (Pyšek et al., 2012; Morris and Rowe, 2014). Fewer recruitments into the populations can reduce *I. webberi* genetic diversity and hence their evolutionary potentials to adapt to current and future environmental changes. However, successful recruitments have been observed in many populations where *I. webberi* successfully colonized bare soil microsites and decommissioned trails and minor roads.

Findings from this dissertation suggest that *I. webberi* habitat protection and management, not seed banking, is a more effective conservation strategy. *I. webberi* seeds lose their viability with storage time, which suggests that they have a recalcitrant storage behavior. This finding also complements the results in Chapter 3 that indicate that *I. webberi* seeds have a transient seed bank. Moreover, *I. webberi* and many perennial native plant species in the Great Basin Desert invest more in vegetative regeneration and often produce empty seeds (Allen and Nowak, 2008). However, cryopreservation technology may be considered as an effective *ex situ* conservation strategy (Pence et al., 2020). An understanding of the seed biology of the Great Basin native plants is critical to seed banking and may inform conservation strategies used for the U.S. Bureau of Land Management (BLM) Seeds of Success (SOS) program (Haidet and Olwell, 2015). Where seed banking was used, this dissertation shows that non-destructive testing methods, like x-ray imagery and multispectral imaging, can be used to monitor seed viability, provide a

more reliable estimate of temporal trends in seed viability loss, and also prevent the cumulative reduction of the stored germplasm used for periodic testing. The viability of *I. webberi* seeds did not vary significantly across the sampled populations, indicating that seed collections for banking can be done in any of the populations which is necessary to capture local adaptations across the species range for optimal restoration projects (Baughman et al., 2019).

This dissertation has also revealed a significant positive relationship between genome size and seed size in *I. webberi* and 31 sampled *Ivesia* taxa. Furthermore, we also observed an inverse significant relationship between genome size and actual evapotranspiration. For the desert-dwelling *Ivesia*, these findings indicate that relatively small genome size may have adaptive significance. Furthermore, we determined that *I. webberi* is a diploid species with 28 chromosomes, a cytological condition that has been observed for many of the taxa in *Ivesia*. Diploids, unlike polyploids, have limited evolutionary mechanisms to reduce the fitness cost of inbreeding (Lowry and Lester 2006, Guggisberg et al. 2006), therefore the suspected disjunctions and lack of gene flow among the populations of the taxa in *Ivesia* due to their restriction to the edaphic and mountain sky islands in the desert ecosystems of the western North America may have significant implications for their persistence and survival.

The iterative niche modeling identified the role of topographic and climatic predictor variables in the ecological niche of *I. webberi*. Annual mean precipitation, representing water availability which is the most limiting factor for desert-dwelling species, and actual evapotranspiration (AET), describing water-energy balance, are

important climatic variables that influence the ecological niche of *I. webberi*. The model-based field sampling resulted in the discovery of new locations and extended the northern distribution range of the species by 65 km. The ecological properties in the new locations expanded the species' climatic niche breadth and increased scientific understanding of *I. webberi* species-environment relationships. The geographical projection of *I. webberi* niche also identified predicted suitable sites for further field surveys that could potentially result in the discovery of additional novel locations. Predicted suitable sites where *I. webberi* was not discovered could be reserved for potential future translocations and assisted migrations.

Recommendations for the conservation of *Ivesia webberi*

Habitat loss and fragmentation remain the greatest threats to global biodiversity (Figueiredo et al., 2019; Lughadha et al., 2020), therefore, the protection of *Ivesia webberi* habitats is critical to its persistence. For example, *I. webberi* maintains a transient seed bank which is replenished annually from seed abscission from the matured stands. Therefore, habitat protection is necessary to sustain the matured stands and protect their seed banks. This species is located in different sites with a wide range of land ownership and management. The sites located within the National Forests are relatively well protected with minimal human activities. However, *I. webberi* sites on private properties and public lands under the Bureau of Land Management and the State of California jurisdictions allow livestock grazing which may have facilitated the colonization of cheat grass in these sites (Knapp, 1996). We recommend monitoring the impacts of livestock grazing especially in the Hallelujah Junction Wildlife Area where

five *I. webberi* patches are located. Similar measures of monitoring the impacts of grazing should be carried out on the BLM land where the most recent northernmost location was recently discovered. The occurrence of seven *I. webberi* locations on private properties demonstrates the need for a stronger private-public partnership in the management of imperiled species. Additionally, predicted suitable sites where *I. webberi* is currently not detected should also be protected. Those predicted suitable habitats could act as connectivity corridors for gene flow among populations, potential sites for future translocation and human assisted translocations.

Habitat protection is not enough to ensure the persistence of an imperiled species. The ecological quality of these habitats is also important to support viable populations. For *I. webberi*, research and management efforts to reduce the abundance and impacts of invasive plant species should be intensified. Most of the known sites are heavily impacted by *B. tectorum* and *Taeniatherum caput-medusae* monocultures (USFWS, 2014). Field observations suggest that the invasive species may not outcompete established *I. webberi* stands. However, taller growth and dense stands of *B. tectorum* and other invasive alien weeds can shield pollinators away from *I. webberi* individuals (Goodell and Parker, 2017), reduce conspecific pollen transfer and deposition (Bjerknes et al., 2007), check localized seed dispersal (Vanier and Walker, 1999), and outcompete nascent seedlings (Humphrey and Schupp, 2004; DiVittorio et al., 2007). This dissertation showed that the soil seed bank of most of the *I. webberi* sites are dominated by invasive plant species. Furthermore, these invasive plants have facilitated an increase in wildfire frequency in the Great Basin Desert, which destroys the soil seed bank of many native plants (Humphrey and Schupp, 2001; Esque et al. 2010). However, historical records show that

the majority of the *I. webberi* sites have experienced wildfires in the last 40 years but the species persisted in those locations. Since cheatgrass fueled fires are mostly surface fires, vegetative structures buried deep in the argillic horizon may withstand surface fires and contribute significantly to post-fire vegetative recovery (Humphrey and Schupp, 2001; Klimesřová and Klimeř, 2007).

Ivesia webberi does not exist in isolation, but in complex ecological interactions with other species and abiotic environmental factors in the Great Basin Desert ecosystem. This includes native plant species such as *Balsamorhiza hookeri*, *Artemisia arbuscula*, and *Antennaria dimorpha* that have been observed in almost all known populations (USFWS, 2014), as well as floral visitors, which are potential pollinators. However, it remains unclear how these native plant species interact with *I. webberi*. Field observations show that *B. hookeri* may share similar a niche with *I. webberi*. Though *B. hookeri* is a taller herbaceous species belonging to the Asteraceae, it regenerates, flowers, and senesces at the same time as *I. webberi*. Also, both *I. webberi* and *B. hookeri* produce yellow flowers and an achene fruit type, however *B. hookeri* flowers are larger and produce scents that potentially attract pollinators. Therefore, *B. hookeri* could potentially be a “magnet species” by providing pollinators for *I. webberi*, which have smaller flowers (Molina-Montenegro et al., 2008). Investigations on a possible *I. webberi*-*B. hookeri* association provide an excellent opportunity to advance scientific knowledge on ecological interactions in the desert ecosystem, which can also inform the conservation of the vegetative communities.

Future studies

This dissertation has advanced scientific knowledge of *Ivesia webberi* and vegetative communities in which it is located, through studies on the landscape genetic studies, ecological niche modeling, soil seed bank characterization, and genome size variation in *Ivesia*. *I. webberi* offers a great opportunity to contribute to scientific understanding on species-environment relationships, adaptive genome evolution, species adaptation and persistence in arid environments, and the application of this information to design effective conservation programs. These studies can also elucidate the impact of the geological history of western United States on the evolution of its extant taxa. Specifically, these studies can be extrapolated to identify important functional traits that contribute to ecosystem functioning and vegetative community resilience to environmental change.

An ongoing independent study that focuses on species abundance estimation and modeling will provide a reliable estimate of *I. webberi* density in the known locations. Species reports show wide ranging patch sizes and abundance estimates ranging from less than a hundred to millions of *I. webberi* stands in the 16 originally known locations (USFWS, 2014). The varying abundance of *I. webberi* in these sites could be indicative of differing habitat quality in these sites, which may not be captured using binary response variables typical of ecological niche models, therefore a comparison of the niche models with abundance models should increase the understanding of species-environment relationships and habitat qualities for *I. webberi*. This study used hierarchical distance sampling to estimate the abundance and density of *I. webberi* in the

16 originally known locations. The resulting abundance estimates would be integrated into species abundance models using the same ecological predictor variables in the niche models; thus, the predictions of the ecological niche models can be compared with abundance models (Dallas and Hastings, 2017; Yu et al., 2020). Furthermore, the sampling strategies used in this study is replicable and can be used for long-term monitoring of the *I. webberi* populations and population viability analysis. Population viability analysis and models would be a great way to quantify new recruitment from seedlings, determine interannual variability in seedling and vegetative regeneration, and the associated environmental predictors. Reliable abundance estimates can also permit empirical investigation on the relationship among census size, genetic diversity, and effective population size in *I. webberi* (Knaepkens et al., 2004; Leimu et al., 2006; Frankham et al., 2010).

Microsatellite markers may be sufficient to answer questions related to population genetic diversity and structure, admixture, gene flow, kinship, parentage, mating system, taxonomic fingerprinting, genome mapping, and population history (Parker et al., 1998; Luikart et al., 2003). However, these neutral markers do not have adequate genetic signatures to identify loci that are associated with local adaptation or under selection hence understand the adaptive genetic potential of each population to persist under environmental pressures (Barbosa et al., 2018; Silva et al., 2020). As a result, genome-wide association studies (GWAS) are gradually replacing the use of microsatellite markers to assess genetic diversity (Benestan et al., 2016; Carreras et al., 2020). Also, studies show that findings from microsatellite-based population genetic studies do not correlate with genome-based analysis (Holderegger et al., 2006; Väli et al., 2008). As a

desert-dwelling species, neutral markers may not be enough to understand adaptive potentials of *I. webberi* to environmental selective pressures. Moreover, *I. webberi* samples from the recently-discovered locations from the model-guided field surveys were inevitably excluded from the population genetic studies. Therefore, genome-based analysis may provide additional information on the evolutionary dynamics in *I. webberi* and allows for the inclusion of samples from the new locations.

Current genetic analysis suggests that both seed dispersal and pollen-based gene flow are common among the sampled *I. webberi* populations and underscore the importance of pollinators and dispersal vectors. This is complementary to field observations that identified five *I. webberi* flower visitors, including Common blue butterfly (*Plebejus* sp.), Halictid bees (*Lasioglossum* sp.), Cuckoo wasp, Common ground ant (*Camponotus* sp.), and Horsefly (*Tabanus laticeps*). However, empirical studies may be required to determine if these flower visitors are effective pollinators for *I. webberi*, their foraging and movement patterns, landscape effects on their movement patterns, as well as an ecological network of their mutualism with *I. webberi*. Previous studies have stressed the importance of pollen-based gene flow in plants (Sork et al., 1999; Auffret et al., 2017). Therefore, effective conservation should not be limited to the management of *I. webberi*, but also include protection of known pollinators.

Ivesia webberi and the systems in which it is located offer excellent opportunities to investigate phenological shifts in response to climate change. Studies have shown a significant association between changes in spring temperature and phenology shifts among native plant species in the Northern hemisphere (Thompson and Gilbert, 2014).

An association between spring temperature and plant regeneration is stronger in the Great Basin Desert where the timing of snowmelt, influenced by spring temperatures, determines soil moisture content and regeneration of dormant vegetative structures of *I. webberi* and other native perennials (USFWS, 2014). Therefore, climatic fluctuations in winter precipitation and spring temperatures which are very frequent in the Great Basin Desert have been associated with phenological shifts in the regeneration of native plant species (Tang et al., 2015; Snyder et al., 2016). When winter precipitation is less severe, *I. webberi* regenerates earlier in mid-winter, while regeneration is delayed till early spring in heavy winter years. Furthermore, variation in regeneration up to four weeks has been observed among *I. webberi* populations; populations in the lower elevation regenerate earlier. Elevational gradients in phenological variation represent the effect of climatic difference among *I. webberi* sites. Additionally, delay in regeneration and flowering was observed for *I. webberi* stands under tree shades within a population, underscoring the effect of shade (McKinney and Goodell, 2011; Heberling et al., 2019; Baker et al., 2019). These field observations suggest that microhabitat conditions and ecological dissimilarity may influence phenological velocity among *I. webberi* populations which could be further exacerbated by climate change (Augspurger et al., 2005; Cornelius et al., 2013; Rafferty et al., 2020). Phenological mismatches could result in assortative mating and restrict gene flow to populations with similar habitat conditions, thus deepening the genetic divergence among *I. webberi* populations (Aitken et al., 2008; Frank and Weis, 2009; Wadgymar and Weis, 2017, but see Cortés et al., 2014). Phenological plasticity could also be related to the intraspecific genome size variation, which can further advance scientific knowledge on genome size evolution (Ren et al., 2020). Long-term

phenological monitoring may be beneficial in predicting *I. webberi* response to climate change, among population gene flow patterns, and evolutionary dynamics. In the context of ecological interactions, phenological synchrony between *I. webberi* and *Balsamorhiza hookeri* is worth investigating due to similarity in functional traits, regeneration, and flowering.

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