

University of Nevada, Reno

**Effects of climate and water use on the ecology of mountain lakes
and rivers in the Western United States**

**A dissertation submitted in partial fulfillment of the
requirements for the degree of Doctor of Philosophy in
Ecology, Evolution and Conservation Biology**

by

Timothy J. Caldwell

Dr. Sudeep Chandra – Dissertation Advisor

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THE GRADUATE SCHOOL

We recommend that the dissertation
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TIMOTHY J CALDWELL

Entitled

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DOCTOR OF PHILOSOPHY

Sudeep Chandra, Ph.D., Advisor

Zeb Hogan, Ph.D., Committee Member

Christopher Jerde, Ph.D., Committee Member

Rene Henery, Ph.D., Committee Member

Thomas Albright, Ph.D., Graduate School Representative

David W. Zeh, Ph. D., Dean, Graduate School

December 2018

ABSTRACT

Climate change and over-use of natural resources impacts ecosystems worldwide.

Understanding physical impacts from climate and natural resource use on biological processes at multiple scales of spatial and ecological organization is needed to make useful predictions under global change scenarios. Mountain aquatic ecosystems are of particular concern because they are sensitive to climate change, represent hot spots of biodiversity, and they integrate atmospheric, terrestrial and aquatic processes into biological responses. The objective of this dissertation is to quantify physical impacts and biological responses of climate and water use on mountain aquatic ecosystems in the Western United States. In Chapter 1, I developed a data set of ice break-up dates using remote sensing techniques for mountain lakes across the Sierra and Cascade Mountain Ranges coupled with downscaled climate data to quantify drivers of lake ice phenology. I developed a predictive linear mixed effects model and used an ensemble of 15 global climate models to project changes in lake ice break-up dates through the 21st century. The results suggest that low snowpack and increased energy fluxes associated with elevated air temperatures drive earlier ice break-up dates. Projections of ice break-up show that ice break-up will be 61 ± 5 days if greenhouse gas emissions are not reduced. In Chapter 2, I analyzed specific ecological responses to earlier ice break-up dates in Castle Lake, California (a natural, sub-alpine lake). I predicted that consumer (Brook Trout; *Salvelinus fontinalis*) energetics and habitat use would be regulated by either climate driven water temperature or variation in food availability. The data suggest that earlier ice break-up results in a longer duration of surface water temperatures > 15 °C, coupled with decreased and increased food production in the pelagic and littoral zones, respectively. Isotopic and telemetry data showed that consumer resources and habitat use were driven by water temperature and were independent of food availability. In early ice break-up years, consumers grew less because they were thermally excluded from productive

littoral zones when water temperatures were warmer for longer periods of time relative to late ice break-up years. In Chapter 3, I demonstrate that decreased streamflow in mountain rivers can reduce abundance and size structure of food supply to drift foraging Rainbow Trout (*Onchorhynchus mykiss*). In response to changes in streamflow and food availability, trout abandoned their energetically profitable drift foraging strategy and actively searched for prey. The shift in foraging behavior resulted in negative bioenergetic efficiencies in flow impaired sites. Taken collectively this research demonstrates that both predictable and unpredictable consequences of physical change drive biological responses across spatial gradients, ecosystem types, and levels of ecological organization.

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CHAPTER 3

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1

2 **GENERAL INTRODUCTION**

3 A fundamental process in science and the goal of numerous research efforts is to
4 understand the effects of change on natural systems. Earth is currently undergoing
5 unprecedented, rapid change through anthropogenic climate change and exploitation of natural
6 resources (Corlett 2015). Increased warming coupled with changes to the frequency and duration
7 of drought (Dai 2013) and large storms (Dettinger 2011) have influenced all levels of ecological
8 organization from individual behavior (Root et al. 2003) to ecosystem process (Walther et al.
9 2002, Grimm et al. 2013). For example, in terrestrial landscapes changing temperatures are
10 anticipated to change habitat ranges for mobile organisms, while some species may expand their
11 range, others may perish due to shrinking habitat (Bentz et al. 2010). Non-mobile species, such
12 those observed in coral reefs, are unable to evade human pressures and their abundance is
13 reduced globally due to increased water temperatures and acidity in oceans (Hoegh-Guldberg et
14 al. 2007). As climate and exploitation pressures are intensifying, there is a need to understand
15 how these perturbations will cause multivariate responses across physical, thermal, chemical and
16 biological gradients at numerous spatial scales.

17 Freshwater ecosystem response to anthropogenic influence are of significant concern
18 because they provide valuable ecosystem services (Wilson et al. 1999). They sources for
19 drinking and irrigation waters, biodiversity hotspots (Dudgeon et al. 2006), and scarce,
20 representing only about 0.01% of all water on earth (Shiklomanov 1993), with increasing
21 anthropogenic demands (Vörösmarty et al. 2000). The use of freshwater ecosystems as
22 “sentinels” of change over long term and inter-annual basis has become widely recognized
23 because of their integration of multiple ecological processes across landscapes (Williamson et al.
24 2008, 2009, Adrian et al. 2009). For example, long term decreases to the duration of ice cover on

25 lakes and rivers has been documented (Magnuson 2000, Benson et al. 2012), which has been
26 shown to alter nutrient levels and biological activity (Park et al. 2004, Preston et al. 2016). In
27 rivers, drought and dam construction have homogenized flow regimes and caused reductions to
28 biodiversity (Poff and Zimmerman 2010). Future conservation efforts of freshwaters in a time of
29 global change requires a thorough understanding of the biological changes caused by climate and
30 water use drivers.

31 Aquatic systems in mountains are specifically not well understood due to their general
32 inaccessibility. However, higher elevations are projected to have enhanced warming (Pepin et al.
33 2015) and mountain lakes are highly sensitive to change (Thompson et al. 2005). Currently, our
34 understanding of climate and water use change on freshwaters is focused on lowland systems,
35 yet mountain systems may act as the best integrators of environmental change (Catalan et al.
36 2006, Williamson et al. 2008).

37 The goal of this research is to quantify biological response to physical and thermal
38 drivers induced by climate and water use. Specifically, I ask the question “how mountain lakes
39 and rivers respond biologically across multiple trophic and ecological levels of organization?”.
40 In lakes, I use variation in ice phenology as an indicator of climate change and quantify what
41 drives ice break-up in mountain lakes and how earlier ice-break up dates impact ecological
42 responses (Figure 1). In rivers, I use decreased flow regimes to understand how increased water
43 use and drought may influence food availability and bioenergetics for fish consumers (Figure 1).
44 The specific research questions in each chapter are:

45 *Chapter 1: What are drivers of mountain lake ice break-up and how will ice break up*
46 *dates change under future climate?*

47 *Chapter 2: Does ice-break up in mountain lakes influence secondary production,*
48 *consumer energetics and behavior?*

49 *Chapter 3: How does low streamflow impact food availability and fish energetics in a*
50 *mountain stream?*

51 In Chapter 1, I examined the role of winter climate on the ice phenology of mountain
52 lakes, across the Sierra Nevada and Cascade mountain ranges. While a number of models exist to
53 predict ice processes on lakes, the majority of them are focused on lowland systems (Shuter et al.
54 2013). I extracted ice formation and ice break-up dates from 41 mountain lakes across the region
55 using remotely sensed data and coupled that with downscaled climate data to quantify drivers of
56 ice break-up and build a predictive model that was applied to projected climate scenarios from
57 global climate models. The results suggest that less snow and warmer water temperatures drive
58 earlier ice break-up dates and influence smaller lakes stronger than larger lakes. Predictions
59 under future climate projections from the model show that under future greenhouse gas
60 emissions pathways ice break-up dates will be approximately 60 days earlier by the year 2099
61 under “business as usual” emissions scenarios.

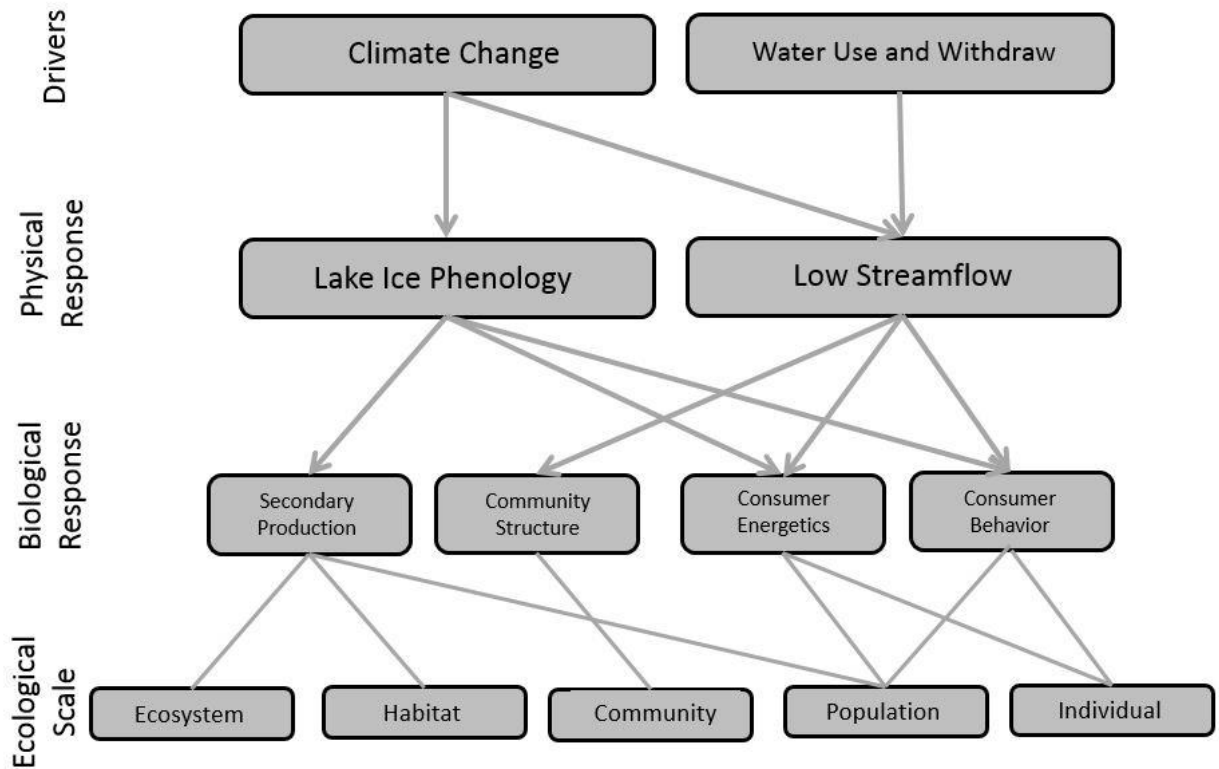
62 In Chapter 2, I developed a conceptual model to predict how earlier ice break-up driven
63 by climate would affect ecological process in a mountain lake and tested it using empirically
64 collected data. Earlier ice break-up dates are related to primary productivity (Park et al. 2004),
65 but little attention has been given to how climate processes cascade across ecological levels of
66 organization (Woodward et al. 2010, Grimm et al. 2013). I estimated habitat specific invertebrate
67 production rates to test the prediction that earlier ice break-up would drive productivity. I then
68 quantified consumer (Brook Trout; *Salvelinus fontinalis*) food resources and habitat use through
69 stable isotopes and acoustic telemetry, respectively, to examine what resources and habitats were

70 utilized. Results demonstrate that littoral zone secondary production increased under early ice
71 break-up while pelagic zone secondary production decreased. Consumer resource and habitat
72 usage was driven not by prey availability but by water temperature. Specifically, Brook Trout
73 were excluded from food rich littoral zones during early ice break-up years by warmer water
74 temperatures and foraged in food depleted pelagic zones. In early ice break-up years Brook Trout
75 grew to smaller sizes when compared to late ice break-up years, indicating that climate change
76 has complex and negative impacts on fish in mountain lakes.

77 Streamflow is considered the “master” variable in lotic systems effecting thermal,
78 chemical and biological process (Power et al. 1995). In Chapter 3, I examined the influence of
79 decreased streamflow on the food availability, foraging behavior and energetics of Rainbow
80 Trout (*Onchorhynchus mykiss*) in a mountain river. I collected invertebrate drift and monitored
81 fish behavior in flow impaired and flow un-impaired sites. The data suggest that the size
82 structure and abundance of invertebrate drift decreased with streamflow and resulted in increased
83 foraging behavior as fish were required to shift to “search” based foraging. Using the empirical
84 data, I adapted a fish net rate of energetic intake model (Hughes and Dill 1990) and updated it
85 using measured fish movement data. The model showed that in impaired flows, energetic
86 efficiencies are negative during late summer, low food availability conditions.

87 Collectively, the results of this dissertation show that anthropogenic activity influences
88 physical (earlier ice break-up and decreased streamflow; Chapters 1 and 3), thermal (longer
89 duration of warm water temperatures; Chapter 2), and ecological processes (altered productivity,
90 habitat selection, foraging behavior, and growth; Chapters 2 and 3) in mountain aquatic
91 ecosystems. I demonstrate that changes to physical characteristics of ecosystems can cascade

92 through to complex and unforeseen biological responses that can have impacts at multiple levels
 93 of ecological organization (ecosystem, habitat, community, population and individual).



94

95 **Figure 1.** Conceptual model describing anthropogenic driver on physical and biological
 96 responses and at what ecological scale the response is at. The model describes the general links
 97 and processes tested in this dissertation.

98

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180 **CHAPTER 1. Drivers and predictions of ice phenology in mountain lakes in the Western**
181 **United States derived from remote sensing.**
182

183 Timothy J. Caldwell¹; Sudeep Chandra^{1,2}; Thomas P. Albright^{1,3}; Adrian A. Harpold^{2,4}; Thomas
184 Dilts⁴. Jonathan A. Greenberg⁴; Steve Sadro⁵; Michael D. Dettinger⁶

185 ¹Ecology, Evolution and Conservation Biology Graduate Program, University of Nevada, Reno

186 ²Global Water Center, University of Nevada, Reno

187 ³Department of Geography, University of Nevada, Reno

188 ⁴Department of Natural Resources and Environmental Science, University of Nevada, Reno

189 ⁵Department of Environmental Science and Policy, University of California, Davis

190 ⁶United States Geological Survey, Water Cycle Branch

191 **ABSTRACT**

192 In mountain watersheds, the rate of change for air temperatures caused by climate change
193 is greater than at lower elevations, while precipitation in form, frequency and magnitude is
194 highly variable. Mountain lake physical, chemical and biological processes serve as sentinels
195 because they integrate large scale environmental change from atmospheric and terrestrial habitats
196 into freshwaters. Ice break-up dates in mountain lakes can regulate thermal, chemical and
197 biological processes within lakes, resulting in unforeseen ecological consequences while also
198 altering carbon flux to the atmosphere. Forecasting changes to mountain lake ecology as a
199 function of ice phenology requires an understanding of climate-based processes that cause ice
200 break-up that can be used for prediction. We synthesized and analyzed a data set of mountain
201 lake ice break-up dates from remote sensing tools, downscaled climate data, and global climate
202 model projections to determine drivers, construct a predictive model, and project future ice
203 break-up dates. Our analysis used a random forest analysis to suggest that snowpack and factors
204 associated with air temperature (sensible energy flux and radiation) are the important climatic
205 drivers of ice break up, while larger lakes typically iced out earlier than smaller lakes.
206 Interactions between climate variables and lake surface area suggest that smaller lakes (< 2 km²)

207 will be more affected than larger ($> 2 \text{ km}^2$) lakes by shifts in air temperature and precipitation.
208 Random forest results were used to inform the development of a linear mixed effects model
209 (RMSE of 18 days). Using an ensemble of 15 global climate models we predict that end-of-
210 century ice break-up in mountain lakes will be earlier by a mean (\pm standard error among
211 models) of 25 ± 4 and 61 ± 5 days, depending on which representative concentrating pathway
212 (RCP 4.5 or 8.5, respectively) society's greenhouse-gas emissions follow. We project that shifts
213 in ice-break up dates will be earlier than projections for lowland systems and suggest that
214 ecological function will be altered.

215 **INTRODUCTION**

216 Mountain ecosystems are at an increased risk of climate change due to the enhanced
217 warming projected at high elevations (Pepin et al. 2015). Increasing air temperatures (IPCC
218 2014) and drought frequency (Dai 2013, Mann and Gleick 2015) coupled with higher magnitude,
219 more common and warmer extreme precipitation events (Dettinger 2011, Dettinger et al. 2015)
220 have resulted from climate change and will continue in Western United States mountain
221 watersheds. Snow droughts (Harpold et al. 2017) and earlier snowmelt-caused runoff is forecast
222 to increase in mountain ecosystems (Hidalgo et al. 2009, Clow 2009, Harpold et al. 2012, Sadro
223 et al. 2018b, Harpold and Brooks 2018). Mountain lakes are highly sensitive to climate change
224 (Thompson et al. 2005) and integrate change from atmospheric, terrestrial and aquatic
225 environments serving as indicators of climate change at the ecosystem level (Williamson et al.
226 2008, Adrian et al. 2009).

227 Timing of ice break-up in mountain lakes impacts fundamental ecological process during
228 the ice-free season (Goldman et al. 1989, Park et al. 2004, Parker et al. 2008, Preston et al. 2016,
229 Sadro et al. 2018b). Lake heat budgets, nutrient concentrations, primary production, zooplankton

230 biomass (Park et al. 2004, Parker et al. 2008, Preston et al. 2016, Sadro et al. 2018b, 2018a), and
231 reduction to consumer reproduction and energetic efficiencies (Farmer et al. 2015, Caldwell et al.
232 *in review*) are related to ice break-up date. Understanding drivers and making predictions of ice
233 break-up dates for mountain lakes serves as an ecological indicator of climate change (Sánchez-
234 López et al. 2015, Preston et al. 2016).

235 Snow and ice melt is the fundamental process that drives lake ice break-up. Air
236 temperature is a state variable which serves as a proxy for energy fluxes such as longwave
237 radiation and sensible energy that cause lake ice melt (Ohmura 2001). Mountain lake ice is
238 covered by snow and the snowpack insulates lake ice from energy fluxes that drive melt and
239 increases albedo which reflects incoming radiation, slowing melt processes (Ohmura 2001,
240 Duguay et al. 2003). Thus, the energy flux required for ice break-up must melt the combined
241 snow and ice. Water in liquid phase also represents a mechanism of heat and energy loss from
242 lake ice. Models by Vavrus et al. (1996) include a basal heat flux from lake water to the ice
243 bottom, while runoff and tributary inflows also have been correlated to ice break-up (Brown and
244 Duguay 2010, Arp et al. 2013).

245 Previous models built for lowland and arctic lakes have used air temperature coupled with
246 lake geographical variables (e.g. latitude, elevation, and size) to predict ice break-up and
247 formation (Gao and Stefan 1999, Shuter et al. 2013), while runoff (Brown and Duguay 2010,
248 Arp et al. 2013), snowpack and extreme climate events were secondary (Vavrus et al. 1996,
249 Duguay et al. 2003, Benson et al. 2012). Shuter et al. (2013) used empirical regression models to
250 forecast ice phenology across Canada and found that their models, which relied on air
251 temperature and lake mean depth, had low accuracy in mountain regions compared to lowland
252 lakes. Studies which specifically describe mountain lake ice phenology are limited to single lakes

253 or small groups of lakes within a small geographic region, but suggest a higher reliance on
254 snowpack than studies in lowland systems (Park et al. 2004, Parker et al. 2008, Sánchez-López et
255 al. 2015, Preston et al. 2016). Previous research is useful for understanding drivers within
256 specific regions, but a predictive model that can be used across latitude and elevation gradients
257 of lakes and watersheds of varying sizes is required for predicting broad scale ecological change
258 in mountain lakes

259 The enhanced warming (Pepin et al. 2015) and reduced snowpack (Harpold et al. 2017)
260 from climate change will result in higher energy fluxes acting on less frozen material that will
261 theoretically cause earlier ice break-up dates in mountain lakes. Given that lower latitudes in the
262 Western United States have higher variation in inter-annual precipitation (Cayan et al. 1998,
263 2016) the impacts of climate change on lake ice break-up date may vary with latitude.
264 Specifically, we predict that; 1) warm air temperatures and low snowpack will drive earlier ice
265 break-up dates; 2) larger watersheds with higher amounts of runoff will have earlier ice break up
266 dates; and 3) climate change will drive earlier ice break up dates, that varies latitudinally. To test
267 these predictions, we used a remote sensing based approach (Wynne et al. 1996, Reed et al.
268 2009, Arp et al. 2013) to determine ice break-up dates of mountain lakes ($> 1 \text{ km}^2$) in Western
269 United States. We acquired downscaled climate data to determine meteorological drivers, build a
270 predictive model, and project ice break-up dates in response to climate projections through the
271 21st century from multiple global climate models and emissions scenarios.

272 **METHODS**

273 *Study area and lakes:*

274 The study included mountainous areas of California, Oregon, Washington and Idaho in
275 the Western United States (1300 km). The area includes the Sierra, Cascade, and Northern

276 Rocky Mountain ranges and was selected because of its gradient of inter-annual variation in
277 precipitation increases from north to south (Cayan et al. 1998) and location in the Western
278 United States. The Sierra Nevada Mountains extend 640 km from southern to northern
279 California, with peak elevations of 4,421 m. The southern end of the Cascades begins at the
280 northern end of the Sierras, extend north and include several stratovolcanoes with a maximum
281 elevation of 4,392 m. Th portion of the Northern Rockies located in Northern Idaho was included
282 in our analysis, it has similar characteristics as the Cascades.

283 Forty-one lakes across the study area were identified as usable based on size
284 requirements of the satellite image pixel resolution, classification as a mountain lake, and
285 whether they freeze annually (Figure 1, Table B1). The National Hydrography Dataset (NHD)
286 Hydrological Unit-8 (sub-basin scale) was filtered to determine usable lakes for our analysis
287 using ArcGIS (ESRI 2011). First, all lakes less than 1 km² (size limited by remote sensing
288 resolution, see below) were removed from the data set and overlaid onto US Geological Survey
289 30 m digital elevation models (DEM) because they were below the detection limit of the satellite
290 imagery. Elevation, lake surface area, latitude, and watershed size were extracted from each
291 lake. Mountain lakes were classified by elevations greater than 1500 m and 500 m, in Sierra
292 Nevada and Cascade Mountains, respectively. The elevation-based filter was determined from
293 the general elevations in each range, and that Sierra Mountains lakes have higher elevations
294 required to freeze compared to those in the Cascade Mountains due to their latitude. Lake
295 elevations, latitude, surface area, and watershed size ranged, respectively, between 580 m to
296 2583 m, 37.24 °N to 48.78 °N, 1.02 km² to 7.97 km², and 6.74 km² to 449.04 km² (Table B1).

297 *Ice break-up date:*

298 Ice break-up dates were quantified using MOD10A1 (Hall and Riggs 2016), a daily snow
299 cover product from the Moderate Resolution Imaging Spectroradiometer (MODIS) satellite. The
300 product returns a daily value (0-100) of Normalized Difference Snow Index (NDSI) snow cover,
301 cloud cover masks, and a quality assurance value from each pixel on a daily time step. We
302 assumed that a NDSI snow cover value of > 50 indicated that the lake was completely ice
303 covered, and that < 50 indicated it was breaking up or partially ice-covered, and 0 indicated ice-
304 free. Because snow is a highly reflective surface, we felt that using > 50 NDSI was a
305 conservative approach to determine full ice-cover. MOD10A1 has a 0.25 km^2 pixel size, thus we
306 only used lakes which had a 1 km^2 surface area to ensure that multiple pixels fell within the lake
307 (Reed et al. 2009, Arp et al. 2013). In addition, we visually inspected each lake site by
308 overlaying the MOD10A1 image pixel grid with each lakes surface area and data extraction point
309 (geometric center of lake) and removed any lakes where the pixel associated with the extraction
310 point had any portion on land (Figure B1). We downloaded daily image values from the
311 geometric center pixel in each lake from winter 2002 (mission start of MODIS satellite) to winter
312 2017 using Google Earth Engine (GEE; Gorelick et al. 2017). In addition to dates collected by
313 remote sensing, ice break-up date was visually determined for Castle Lake, CA through a record
314 of daily images captured each day by a pre-programed digital camera (Figure 1). Castle Lake
315 was 0.20 km^2 (below minimum surface area for MODIS) and was not able to be used in
316 validation of remotely sensed lake ice break-up. Ice break-up date was determined as the day
317 which the lake was completely ice free. Ice break-up dates which were obscured by cloud cover
318 by more than 10 days were removed from the data set. The middle date between the last
319 observed ice on and most recent observed ice off was taken observations that were obscured by

320 less than 10 days of cloud cover. The average number of cloudy days that obscured ice off was 6
321 ± 1 (mean and standard error).

322 *Historical downscaled climate data:*

323 Downscaled climate data from each lake and all years was acquired using GEE from the
324 GRIDMET climate data set (Abatzoglou 2013). GRIDMET is a gridded surface meteorological
325 dataset which covers the continental United States on a 4 km X 4 km grid size. The data set
326 includes minimum and maximum temperatures, precipitation accumulation, downward surface
327 shortwave radiation, wind velocity, and relative and specific humidity on a daily time step.
328 GRIDMET has been utilized previously on the effects of snowpack for Western US mountains
329 and is suitable for this application (Harpold et al. 2017b).

330 *Projected downscaled climate data:*

331 Projections of climate conditions from global climate models were used to project ice
332 break-up dates through year 2099. We acquired historical (1950-2006) and projected (2007-
333 2099) climate data from 15 of 31 Global Climate Models (GCM) presented in the Fifth
334 Assessment Report of the Intergovernmental Panel on Climate Change (IPCC 2014). The subset
335 of models we used were identified to be the best for Western United States by California's
336 Climate Change Technical Advisory Committee (Lynn et al. 2015). We elected to use the
337 ensemble approach because of the variability among projections for the individual GCMs; given
338 these model-to-model differences, the ensemble approach gives general and robust results. The
339 projections used here are daily maximum and minimum air temperatures and precipitation,
340 downscaled onto 4 km X 4 km grid cells. Future projections were acquired for representative
341 concentrating pathways (RCP) 4.5 and RCP 8.5 simulations. RCPs are atmospheric greenhouse-

342 gas (GHG) emissions scenarios reflecting –in this case—two different assumptions about future
343 land uses, economic activities, lifestyles, energy uses, population growth rates, technology
344 advancements, and climate policies (IPCC 2014, Lynn et al. 2015). RCP 4.5 is an optimistic
345 emissions scenario with GHG concentrations in the atmosphere leveling off by midcentury,
346 whereas RCP 8.5 represents a pessimistic scenario with high GHG emissions and rising GHG
347 concentrations throughout the century (IPCC 2014). Historical values are simulations of climate
348 under historical emissions and concentrations. The set of models used here are historical and
349 RCP 4.5 and RCP 8.5 simulations from the ACCESS-01, BCC-CSM1-1, CanESM2, CCSM4,
350 CESM1-BGC, CESM1-CAM5, CMCC-CM, CMCC-CMS, CNRM-CM5, GFDL-CM3, GFDL-
351 ESM2M, HadGEM2-CC, HadGEM-ES, MIROC5 and MPI-ESM-LR GCMs (IPCC 2014, Lynn
352 et al. 2015).

353 *Drivers of ice break-up:*

354 Mean temperature, snow and rain fraction, downward surface radiation, and windspeed
355 were converted to an index value by summing them over winter (October 1– May 31) and spring
356 only (March 1 to May 31) periods . The cumulative sum of variables was used instead of other
357 metrics because it sums the total variation over the course of winter and spring (Preston et al.
358 2016). Variables were not standardized to variation from the mean because energy required to
359 melt ice and snow will be dependent on absolute values and will not change relative to site
360 specific means. Mean daily temperature was determined as the mean between minimum and
361 maximum temperatures. Snow fraction (portion of precipitation that falls as snow) was
362 calculated from a simple temperature based regression model based on equations from Dingman
363 (2002), where precipitation falls as snow when temperature is $< 0\text{ }^{\circ}\text{C}$ and rain $> 6\text{ }^{\circ}\text{C}$, between 0

364 °C and 6 °C is estimated as a function of the melt factor (0.167) multiplied by mean daily
365 temperature. All other variables were utilized in their raw form.

366 Random forest (RF) regression algorithm (Breiman 2001, Liaw and Wiener 2002) was
367 used to identify climatic and physical characteristics of lakes which are drivers of ice break-up.
368 Random forest is a tree-based regression tool where each split is based on a subset of predictors
369 chosen at random, this procedure is done 1000's of times and results are averaged. The method is
370 non-parametric, has no required assumptions on data distribution, robust to outliers, handles
371 many continuous predictors, and is efficient at identifying effects of bi-variate interactions on the
372 response variable. Variable importance was ranked based on the % increase in mean square error
373 (MSE) if the variable was removed from the model and visually assessed using partial
374 dependency plots. Partial dependency plots perform model iterations on a single variable, when
375 all others are held at their median value (Milborrow 2018). The dependent variable was Julian
376 day of ice break-up, and independent variables were cumulative sums of rain fraction, snow
377 fraction, mean daily temperature, downward surface radiation and static lake variables of surface
378 area, elevation, latitude, delivery ration (surface area to watershed size) and watershed area. The
379 model was run for winter and spring periods and compared using the % variance explained. Final
380 models were run with 10,000 trees, models were constructed with the R statistical software (R
381 Core Team 2015) with package randomForest (Liaw and Wiener 2002), partial dependence
382 analysis was done with the package plotmo (Milborrow 2018) .

383 *Model development and forecasting:*

384 We used linear mixed effects models (LMEM) to build a predictive model of ice break-
385 up Julian date. The data was first subset into a training and test set. The test data set included
386 Castle Lake (because it had visual estimates of lake ice break-up dates, and testing it was

387 independent of remotely sensed data) and 8 randomly selected lakes from the data set that used
388 remote sensing to determine ice break-up date. The remainder of the data was used for modeling
389 training. We started our model building processing with the top three predictors identified in the
390 random forest algorithm and added individual lower ranked variables stepwise to determine if
391 they improved model performance. Fixed effects included climate and lake characteristic
392 variables, while the random intercept term was used for lake identity. Model fit was evaluated by
393 comparing AIC values, log likelihoods and R^2 . Model performance was evaluated by calculating
394 the root mean square error (RMSE) and absolute mean error (AME) between predicted and
395 observed data with the test data.

396 The LMEM for ice break-up prediction was used with future and historical climate
397 projections to project ice-break-up dates over all lakes from 1950-2099 using historical
398 conditions, RCP 4.5, and RCP 8.5. Projected temperature and precipitation climate data were
399 summarized in the same way as GRIDMET climate data and used for predictions. The predict
400 function in R (R Core Team 2015) was used to project ice-break-up dates. The number of days
401 different between projected ice break-up date and observed current (2001-2017) and modeled
402 historical (1950 – 1980) mean ice break-up dates were determined for each lake and GCM for
403 each year under RCP 4.5 and RCP 8.5 scenarios. Current mean ice break-up date for each lake
404 was estimated using remotely sensed observed data from 2001-2017. The difference between
405 each year and each lake from each GCM projected ice break-up date and mean observed current
406 ice break-up date was calculated and meaned across lakes, and then across models. Historical
407 mean ice break-up date was estimated using the mean break-up date for each lake under each
408 historical GCM output from 1950-1980. The difference between projected and historical ice
409 break-up date was calculated for each GCM and lake, meaned across lakes and then GCM's.

410 Mean difference among lakes for each GCM was plotted individually and as the mean of all
411 models. For each lake, a regression of predicted ice break-up day and year was done; the slope
412 of that line was used to estimate the trend of ice break-up for each lake and related to elevation,
413 latitude, and surface area to explore geographic variation in mountain lake sensitivity to climate
414 change.

415 **RESULTS**

416 *Drivers of ice break-up:*

417 Random forest models that used the winter period was a better fit model than (77.2% of
418 variance explained) spring period (69.4% of variance explained). For the remainder of the paper
419 we use results from the winter period. Random forest identified snow fraction, air temperature
420 and lake surface area as the top three drivers of ice break-up (Figure **Error! Reference source**
421 **not found.** 2). Random forest partial dependence plots identified that increasing snow fraction
422 resulted in later ice break-up dates, while increasing air temperature and surface area resulted in
423 earlier break-up dates (Figure 2) other variables had much smaller effects and were ranked as
424 less important (Figure B2). Important interactions identified by the random forest analysis were
425 between snow fraction, air temperature, and surface area (Figure 3). Interactions suggest that
426 smaller lakes are more affected by increasing snow fraction and cooler air temperatures. While
427 air temperature is used to derive snow fraction, it was not correlated and did not differentially
428 impact the model.

429 *Model development and forecasting:*

430 The LMEM (linear mixed effects models) using the top 3 predictors identified in the
431 random forest included snow fraction, air temperature and lake surface area. These variables had
432 significant effects for univariate predictors (snow fraction: $\beta = 0.03$, $SE = 0.01$, $p < 0.01$;

433 temperature $\beta = -0.07$, SE = 0.01, $p < 0.01$; surface area: $\beta = -8.73$, SE = 2.71, $p < 0.01$), and bi-
434 variate and the tri-variate interactions (all values $p < 0.001$). We explored additional co-variables
435 by introducing them stepwise to the base model; several of these models had lower AIC values
436 than the base model (Table 1). Further explorations show that those variables which improved
437 model fit were confounding in our study (e.g. latitude is correlated to elevation); thus, we elected
438 to use the base model for projections. RMSE from model predictions (Figure 4) using the test
439 data set was 18 days, absolute mean error (AME) was 14 ± 2 days (mean \pm standard error) and
440 median absolute error was 10 days. The model accuracy increased if Castle Lake, which is
441 smaller (0.20 km^2) than lakes ($> 1 \text{ km}^2$) used for model training, is omitted from the test data set
442 to 15 days (RMSE) and 13 ± 1 days for AME.

443 The mean (\pm standard error) of all GCM projections under the RCP 4.5 and RCP 8.5
444 scenarios predicted ice break-up to be 25 ± 4 and 61 ± 5 days earlier for all lakes than
445 historically modeled (1950-1980) ice break-up dates in 2099, respectively (Figure 5). The mean
446 (\pm standard error) difference between projected (2099) and current (2002-2017) ice break-up
447 dates were mean (\pm standard error) 18 ± 3 and 54 ± 2 days for RCP 4.5 and RCP 8.5,
448 respectively.

449 Trends of ice break-up were not significantly related to elevation or latitude for RCP 4.5
450 (elevation: $\beta < 0.01$, SE < 0.01, $p = 0.08$; latitude: $\beta < 0.01$, SE < 0.01, $p = 0.80$) or RCP 8.5
451 (elevation: $\beta < 0.01$, SE < 0.01, $p = 0.95$; latitude: $\beta < 0.01$, SE < 0.01, $p = 0.26$; Figure 6).
452 Surface area was related to the slope of ice break-up for RCP 4.5 ($\beta = 0.02$, SE = 0.01, $p = 0.05$)
453 and RCP 8.5 ($\beta < 0.06$, SE < 0.01, $p < 0.01$); larger lakes had a weaker trend in ice break-up date
454 than smaller lakes (Figure 6).

DISCUSSION

456 The compilation and analysis of the data suggest that larger snowpack and lower energy
457 fluxes associated with colder air temperatures drive later ice break-up dates. The mechanisms
458 which drive lake ice break-up affect smaller lakes stronger than larger lakes. The analysis also
459 identified that inclusion of downwelling solar radiation, elevation and latitude improve model
460 performance but are secondary controls. These results are consistent with other reports for
461 mountain lakes where break-up date was influenced by snow fraction and temperature (Sánchez-
462 López et al. 2015, Preston et al. 2016). Projections from our models suggest that lake ice break-
463 up dates will be earlier under both RCP 4.5 and RCP 8.5 climate scenarios and are independent
464 of lake latitude or elevation, but smaller lakes will be more affected than larger lakes.

465 In our analysis, meteorological variables (i.e. snow fraction and air temperature) were the
466 strongest predictors of ice break-up in mountain lakes, while lake characteristics (e.g. surface
467 area) add improvement to model performance. Snow fraction is an estimate of the amount of
468 snowfall and larger snowfall generally equates to larger snowpack. Thicker ice and larger
469 snowpack require more energy to melt and therefore, are consistent with later lake ice break-up
470 and less sensitive to climate change. Air temperature is a state variable that is the outcome of the
471 energy budget (e.g. more incoming than outgoing energy results in warming temperature).
472 Warmer air temperatures are associated with sensible energy fluxes to snow and ice, but
473 temperature is generally thought to be an effective predictor of melt due to its correlation with
474 longwave radiation (Ohmura 2001).

475 We predicted that larger watersheds with higher delivery ratios (watershed to surface
476 area) would experience earlier ice break-up, but a strong relationship was not detected in our
477 analysis. Brown and Duguay (2011) determined that runoff from the surrounding watershed

478 decays lake ice and Shuter et al. (2013) found the highest error of ice break-up predictions in
479 mountain systems and attributed it to inflows from the watershed. Their results suggest that
480 runoff and inflows act as a loss of energy from lake ice. In mountain systems, we suggest that
481 either snowpack over-rides watershed influences or discharge from the watershed was
482 inadequate to cause ice break-up in the size of watersheds that were included in our data set.

483 Our results suggest that direct use of air temperature and snow fraction was more
484 accurate than geographic descriptors. Elevation and latitude were used as predictors of lake ice
485 break-up in the Pyrenees, Tetras, and Rocky Mountains (Šporka et al. 2006, Sánchez-López et
486 al. 2015, Preston et al. 2016). While our study included elevation and latitude in the random
487 forest and LMEM analyses, they carried less weight than climate variables. We attribute this
488 disagreement in their studies and ours to variation in air temperature and precipitation observed
489 over small scales in mountain systems (Elder et al. 1991, Anderton et al. 2004), coupled with
490 elevation independent factors like humidity and cloudiness that can influence snow and ice melt
491 (Harpold and Brooks 2018, Sumargo and Cayan 2018). In our study area, lower latitude lakes
492 which freeze were at relatively high elevations and higher latitude lakes were lower in elevation
493 due to the lower general elevation of the Cascade Range. The correlation of latitude and
494 elevation that was a function of our study area made these terms less useful and were not
495 included in our final model.

496 Interactions of smaller lake surface area with colder air temperature and higher snow
497 fraction resulted in later ice break-up dates as identified in the random forest. Previous evidence
498 has suggested that lake depth rather than surface area drives earlier ice break-up (Brown and
499 Duguay 2010, Arp et al. 2013, Magee and Wu 2017). Lakes with large volume have large
500 thermal inertia (Wetzel 2001), and typically freeze later and require greater energy loss to form

501 ice, yielding thinner ice over the winter that thaws more rapidly than in lakes with smaller
502 volumes (Vavrus et al. 1996). We were unable to obtain lake depth for our study lakes but
503 suggest that lake volume, a function of lake depth and surface area, is the lake characteristic
504 influencing ice break-up. We did not have lake bathymetry nor lake depth for our study sites and
505 were unable to calculate lake volume, but if we assume that mountain lakes with larger surface
506 area generally have a larger volume, then this mechanism is likely causing earlier ice-break
507 updates for larger lakes in our study. As a result, smaller lakes were more affected under climate
508 change scenarios than larger lakes in our model projections.

509 Climate variables from the winter period provided clearer identification of drivers and
510 better predictions of ice break-up in our geographic region than the spring period used by others
511 (Lei et al. 2012, Arp et al. 2013, Preston et al. 2016). Utilizing only spring weather implies that
512 spring supersedes the overall winter conditions as a driver of lake ice break-up, the presumed
513 mechanism identified is that a warm and dry spring will override any previous snow
514 accumulation to drive rapid melt. We disagree, and suggest that the winter period snowpack
515 results in later ice break-up dates through two mechanisms that depend on timing of snowfall.
516 First, early season snow at the snow-ice interface can convert to “gray ice” and effectively
517 thickens ice; second, late season snow creates a thicker and more reflective surface that requires
518 more energy to melt (Vavrus et al. 1996). In addition, lakes in our study had variation in latitude
519 and elevation that resulted in mean ice break-up dates from Julian date 60 to 160. Thus, the
520 timing of the spring period which would influence ice break-up would vary with mean break up
521 date. For instance, a larger, low latitude lake with an early mean ice break-up date (e.g. Julian
522 date 74, March 15), would have a spring time weather importance window of February to early
523 March, where a higher alpine lake would be May to June. We simplified our model and used

524 standardized time windows for climate variable summation. Had we created a shifting time
525 window for each lake its plausible that model performance would increase, but our goal was to
526 create a parsimonious and simple model that could be applied to lakes with little previous
527 information to project changes in ice break-up dates. Inclusion of a shifting time window would
528 require prior knowledge about the mean time of lake ice break-up dates and would change over
529 time as a function of climate, making predictions difficult.

530 Predictions from our LMEM model were within 14 days (absolute mean error) of
531 observed dates of ice off. In years when ice break-up was significantly earlier than the lake
532 specific mean break-up date, the model typically predicted later than what was observed. The
533 training data had fewer observations in this area of parameter space which resulted in less
534 certainty about predictions with extremely low snowfall. Others have experienced similar
535 uncertainty for lowland lakes, where less climate variation and simpler hydrological processes
536 are observed (Brown and Duguay 2011, Shuter et al. 2013).

537 We observed no clear relationships between projected rates of shift to earlier ice break-up
538 dates with latitude or elevation. The Sierra Nevada Mountains in California have the highest
539 variation in interannual precipitation in the continuous United States (Cayan et al. 2016), are
540 highly influenced by extreme precipitation events (Ralph and Dettinger 2011), and are likely to
541 receive more rain than snow under future climate scenarios (Hayhoe et al. 2004, Dettinger et al.
542 2015). Given the importance of snow fraction in our model, we expected lakes with lower
543 latitudes located in the Sierra Nevada range, which are exposed to higher variation in snowfall,
544 to respond to future climate with a faster rate of change to ice out dates than lakes higher in
545 latitude, but that was not projected. Our model had the same coefficients for lakes of all latitudes
546 and results are dependent on GCM projections and scenarios. Warming projections by GCM's

547 are typically enhanced at higher latitudes (Ficklin et al. 2015) which may counter any relatively
548 stable projections of precipitation and drive more rain than snow in that area, resulting in similar
549 rates of change across latitudes. Alternatively, other results show that sensitivity of ice
550 phenology to air temperature is increased at latitudes below 61°N where effects of solar radiation
551 were decreased (Weyhenmeyer et al. 2011). Our highest latitude is at 48 °N and all lakes in our
552 study were equally sensitive to temperature changes and offers another explanation for the
553 ambiguity in trends of earlier ice break-up times across latitude.

554 Our data suggested stronger shifts to ice break-up date for mountain lakes in the Western
555 United States than what has been observed in other regions. Historical observations show ice
556 cover in aquatic systems has decreased globally (Magnuson 2000, Sharma et al. 2016), while ice-
557 break up in lowland lakes is projected to occur a maximum 20 days earlier than the historical
558 (1961-1990) mean by the year 2070 under A2 emissions scenarios, which is comparable to our
559 RCP 8.5 scenario (Shuter et al. 2013). When controlling for the same time frame (2070)
560 projected mountain lake ice break-up dates under RCP 8.5 were double (40 ± 2 days) lowland
561 lake predictions. Several explanations for discrepancies include; 1) GCMs project stronger shifts
562 in temperature and more variable precipitation in mountain systems relative to lower elevations
563 and especially in the Western United States (Dettinger et al. 2015, Pepin et al. 2015); 2) after
564 variable importance analysis, final models from Shuter et al. (2013) did not include a
565 precipitation term, the strongest predictor of ice break-up for mountain lakes; or 3) their models
566 only utilized one GCM while ours utilized 15 models. We argue that the sensitivity to snow of
567 mountain lake ice combined with the lower projected snowfall in higher elevations is driving the
568 higher rate of shifts predicted to ice break-up timing relative to lower elevation lakes.

569 Ice covered lakes in Scandinavia and in the northern hemisphere are increasing their
570 frequency and probability of having ice-free winters (Weyhenmeyer et al. 2008, 2011). We were
571 unable to accurately model ice formation using climate drivers (Appendix A). Ice formation is
572 dependent on lake intrinsic factors such as volume that regulate its thermal energy and wind
573 which can break up newly formed ice (Duguay et al. 2003). Thus, we were unable to determine if
574 any of our lakes would be ice-free under climate change. However, it remains a strong
575 probability as Castle Lake had visual observations of ice durations that were approximately 15%
576 (or 120 days less) of average during extreme drought years.

577 The earlier ice break-up dates projected in our model suggest that mountain lakes are at
578 risk of altered ecological processes. Early ice break-up dates will increase water temperatures
579 and primary productivity rates, which may have unpredictable consequences for lake water
580 chemistry, zooplankton, benthic macroinvertebrate and fish consumers (Park et al. 2004, Parker
581 et al. 2008, Preston et al. 2016, Sadro et al. 2018b). For example, increased water temperatures
582 may exclude consumers from utilizing energetically efficient habitats, resulting in decreased
583 growth (Guzzo et al. 2017). While longer ice-free periods will increase the duration which lakes
584 omit carbon into the atmosphere (Catalan and Pla 2009). We suggest that future research efforts
585 focus on expanding the geographic range of ice break-up modeling to provide further insight into
586 changes to ecological function in mountain lakes.

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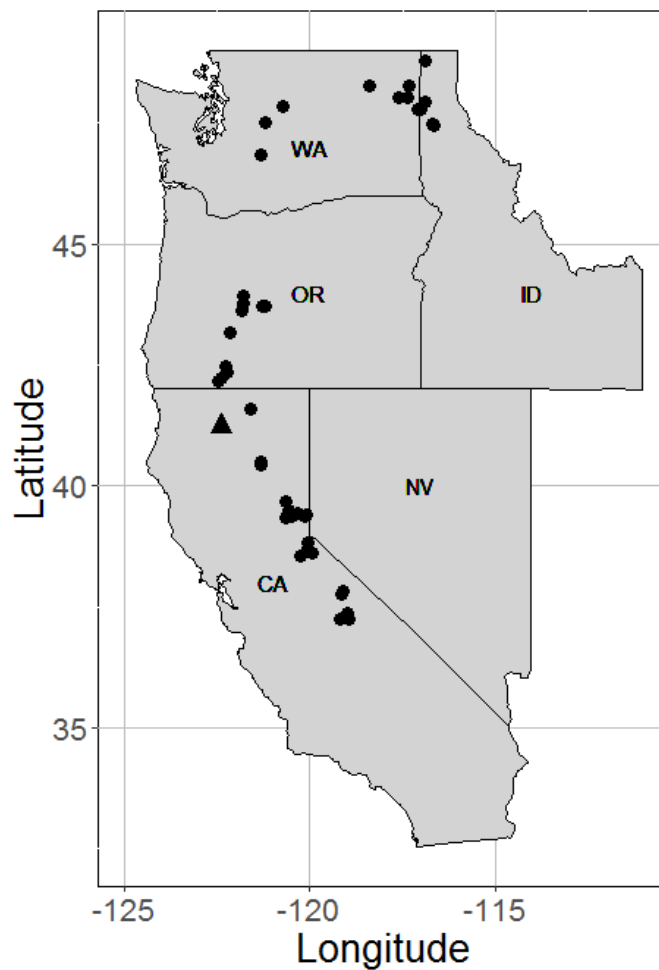
759 **TABLES**

760 **Table 1.** Performance of linear mixed modeling results, models are organized by AIC score.
 761 Fixed effects are listed in the Model column, all models included a random intercept term for
 762 lake identity. 480 observations were used for each model (only those data used in training data
 763 set). The bolded model was selected for projection. See text for rationale on model selection.

Model	<i>Parameters (k)</i>	AIC	Log Likelihood	R² (corrected)
Snow Fraction*Temperature*Surface Area*Radiation	4	3890	-1927	0.88
Snow Fraction*Temperature*Surface Area*Elevation	4	3937	-1950	0.81
Snow Fraction*Temperature*Surface Area*Latitude	4	3939	-1951	0.8
Snow Fraction*Temperature*Surface Area	3	3939	-1959	0.79

764

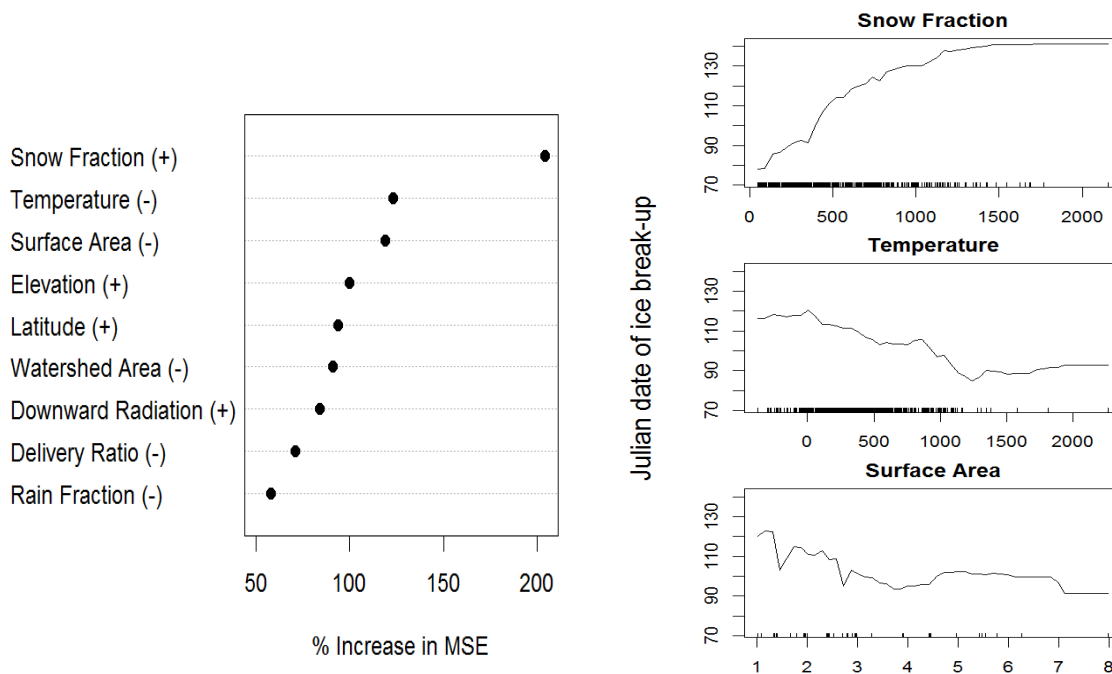
765



766 **FIGURES**

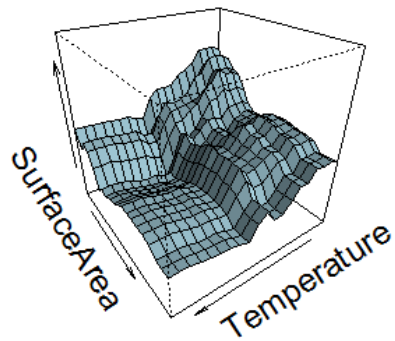
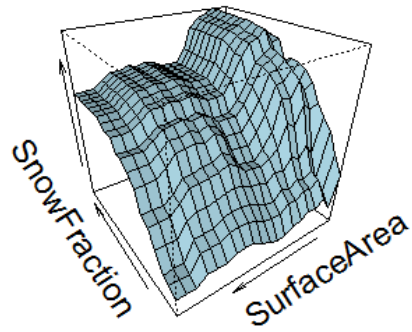
767

768 **Figure 1:** Map of lake locations used in analysis after filtering for size ($> 1 \text{ km}^2$), elevation ($>$
 769 1500 m for Sierra Nevada Mountains and > 500 m for Cascades), if they freeze annually, and
 770 determination of accuracy for MODIS use. Black circles are lakes where ice break-up was
 771 determined from MOD10A1, the larger black triangle (Castle Lake, CA) was determined
 772 visually.



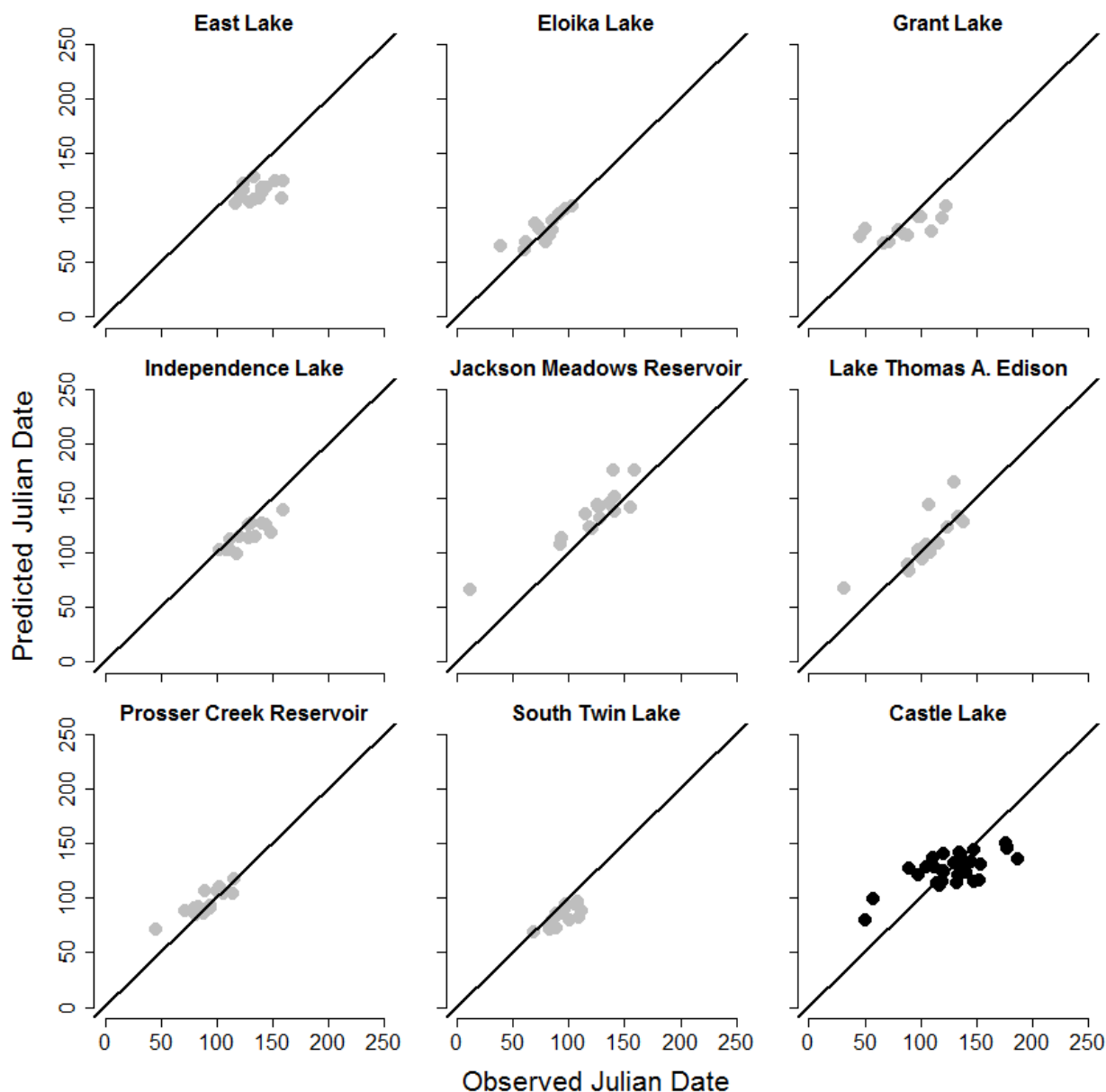
773

774 **Figure 2.** Left panel: variable importance plot from random forest analysis describing the drivers
 775 of ice break-up in mountain lakes of the Western United States. Variables are listed in
 776 descending order of importance from top to bottom. The x-axis describes the decrease in model
 777 performance when omitting a given variable, larger values indicate higher importance. Positive
 778 signs indicate that an increase in the variable results in later ice break-up date, while negatives
 779 indicate that an increase in the variable results in early ice break-up date. Right panel: partial
 780 dependence plots showing the relationships of the top 3 predictor variables (ordered in
 781 descending order of importance top to bottom) to the ice break-up Julian date. Partial
 782 dependence plots are the results of the model if all other variables are held at their median value.
 783 Partial dependence plots for all other variables is in Supplementary Material (Figure B2).



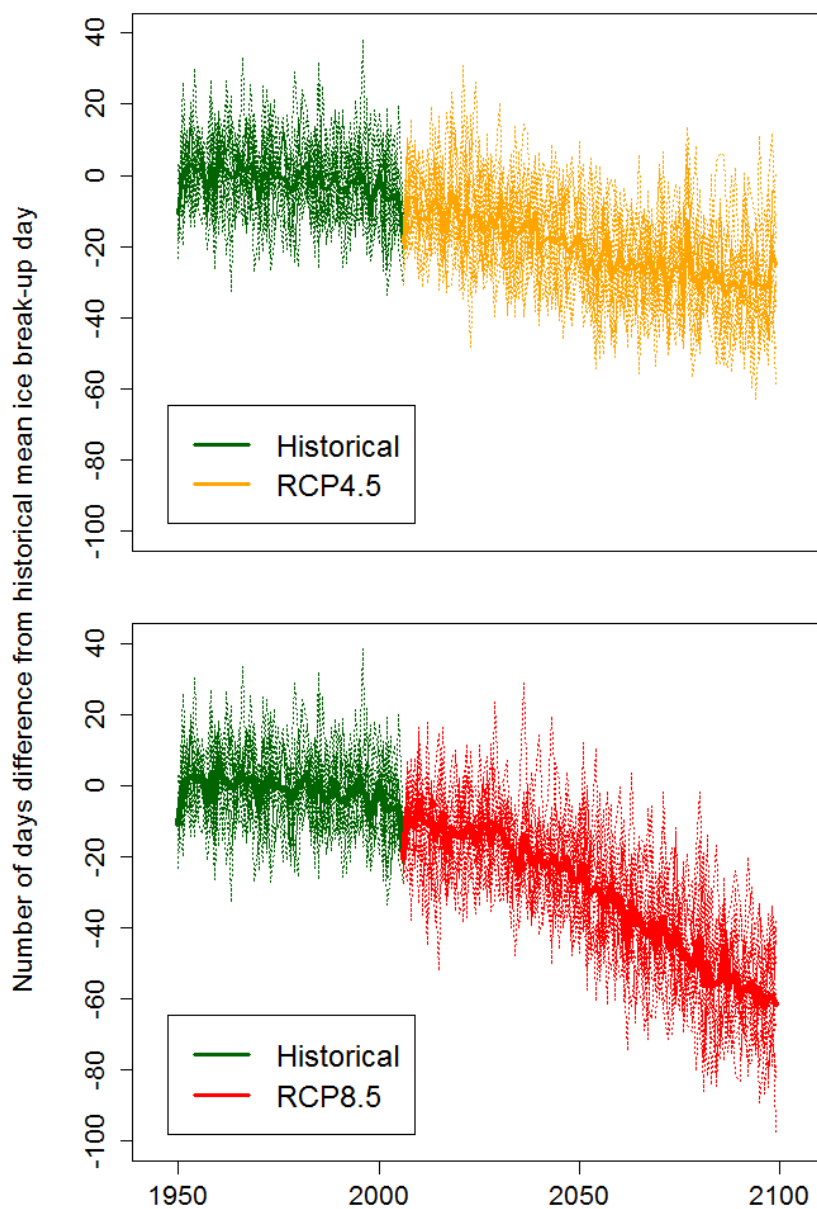
784

785 **Figure 3.** Random forest partial dependence plots for bi-variate interactions of surface area with
786 snow fraction (top) and temperature (bottom). The Z-axis represents relative change in the ice
787 break-up day in response to the interactions when all other variables are held at their median.
788 The interactions were significant ($p < 0.01$) within the LME model. The plot shows that smaller
789 lakes are more effected by higher snow fraction and cooler temperatures.



790

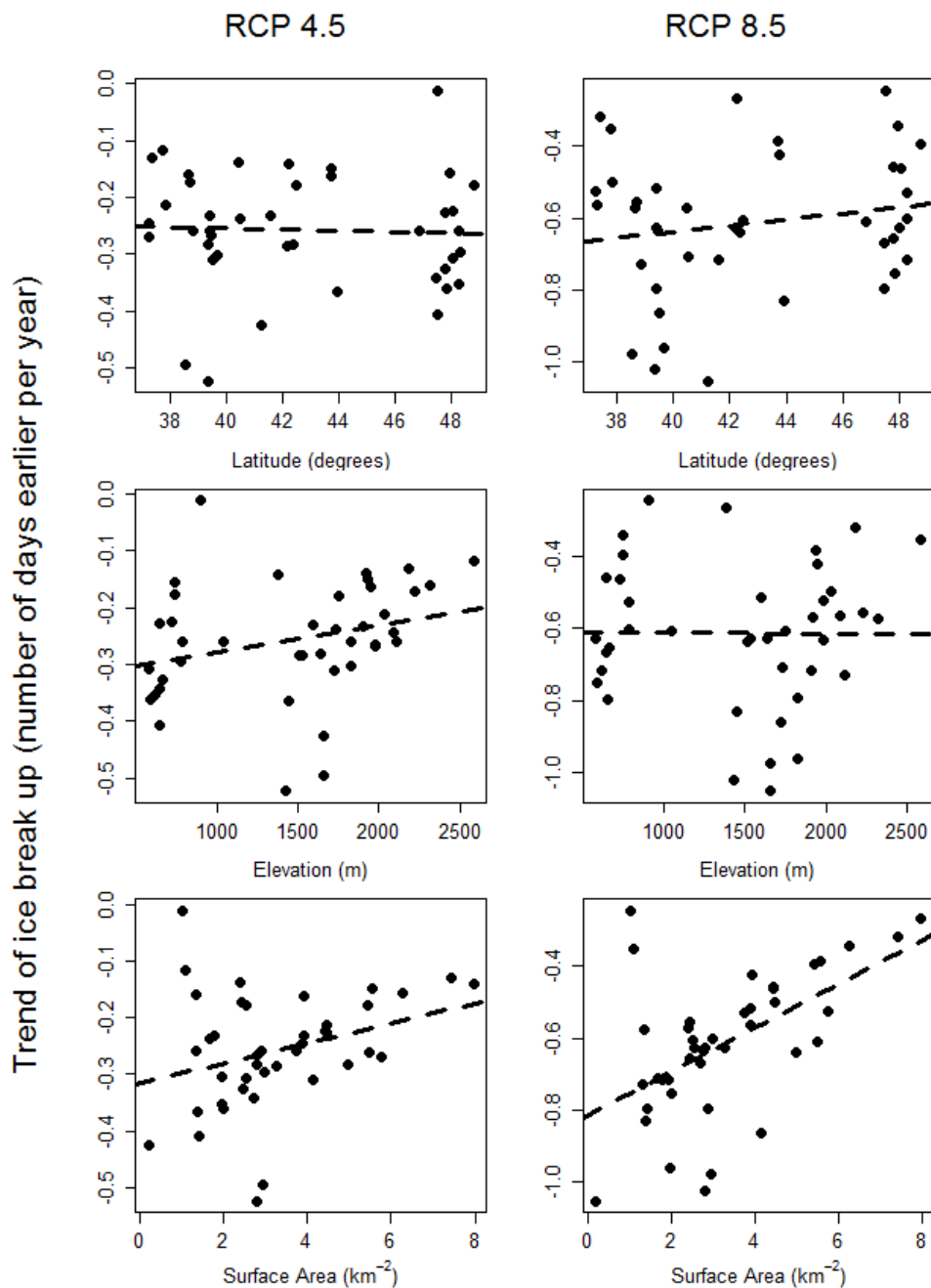
791 **Figure 4.** Regression of predicted to observed Julian date of ice break-up from the test lakes
 792 predicted by the base linear mixed model (terms = snow fraction*temperature*surface area).
 793 Plots with grey dots are lakes with ice break-up determined by the MOD10A1 remote sensing
 794 snow product, plots with black dots were visually observed. The black regression is the 1:1 line.
 795 RMSE was 18 days, mean (\pm standard error) and median residuals were 14 ± 2 days and 10 days,
 796 respectively.



797

798 **Figure 5.** The difference in days between projected future and modeled historical (1950-1980)
 799 ice break up in days from historical mean across lakes for each GCM. Model predictions under
 800 historical (1950-2006) greenhouse gas emissions (green) and under future climate scenarios from
 801 2007 to 2099 under representative concentrating pathways (RCP) 4.5 (top, orange) and 8.5
 802 (bottom, red). Dashed lines are the 15 individual climate projections under each scenario, the
 803 solid line is the average of all models.

804



805

806 **Figure 6.** Lake specific ice break-up trend predicted by the base LMEM model for RCP 4.5 (left)
 807 and RCP 8.5 (right) related to latitude, elevation, and surface area. The relationship of surface
 808 area to trend of ice break-up was significant ($p < 0.05$ for both RCP 4.5 and RCP 8.5) but was
 809 not for latitude or elevation.

810

811 **CHAPTER 2. Consumer response to earlier ice break-up date: The role of habitat specific**
812 **production and water temperature in fish resources and habitat use.**

813

814 Timothy J. Caldwell^{1,3}; Sudeep Chandra S^{1,2,3}; Karly Feher³; James Simmons^{1,3}; and Zeb
815 Hogan^{2,3}

816 ¹Ecology, Evolution and Conservation Biology Graduate Program, University of Nevada, Reno

817 ²Global Water Center, University of Nevada, Reno

818 ³Biology Department, College of Science, University of Nevada, Reno

819 **ABSTRACT**

820 Production of food resources for consumers is expected to shift with climate change.

821 However, the ability of consumers to utilize these resources is complex because climate change
822 also shifts suitable habitat. Earlier ice break-up dates caused by climate change in mountain lakes
823 can increase production rates, but it is unclear how productivity will transfer to consumers
824 because warming water temperatures may limit obligate cool water consumer habitat use. To
825 determine how productivity and habitat changes, we measured water temperature, production of
826 pelagic zooplankton and littoral zoobenthos across naturally variable ice break-up dates in a
827 subalpine lake. Next, we measured the food resources and habitat use with stable isotope
828 analysis and acoustic telemetry of an obligate cool water fish to determine if consumer
829 energetics, growth and fitness was dictated by thermal habitat suitability or food availability. Our
830 data suggest that, under earlier ice break-up conditions, littoral zoobenthos production increased
831 while pelagic zooplankton production decreased. This coincided with an increase in littoral water
832 temperatures, which excluded consumers from resource rich littoral habitats. In response, 87% of
833 fish consumer resources were sourced in the pelagic habitat during early ice break-up. Decreased
834 reliance on littoral resources was significantly related to a 29 to 50% reduction in average size of
835 consumers. We conclude that obligate cool-water consumers will be excluded from productive

836 habitats under warmer climate, which will decrease their fitness and may threaten their
837 sustainability in some ecosystems.

838 **INTRODUCTION**

839 Understanding the impact of global climate change on ecological function has focused on
840 single trophic level production, individuals and populations (Walther et al. 2002). For example,
841 declines in numbers of terrestrial (Spooner et al. 2018) and aquatic (Ricciardi and Rasmussen
842 1999, Heino et al. 2009, Farmer et al. 2015) species have been documented, and decreases to
843 algal production rates have been observed (Park et al. 2004, Parker et al. 2008). A complete
844 study that incorporates climate driven changes to productivity, individuals and populations to
845 understand and forecast ecosystem level responses is required (but see Stoner et al., 2018).

846 Climate change has increased and decreased production rates in terrestrial (Nemani et al.
847 2003), marine (Gregg et al. 2003, Hoegh-Guldberg and Bruno 2010), and freshwater ecosystems
848 (Carpenter et al. 1992, Park et al. 2004, Preston et al. 2016). However, understanding how
849 changes in ecosystem and habitat production will cascade across levels of ecological
850 organization is unclear (Walther et al. 2002, Woodward et al. 2010, Grimm et al. 2013). One
851 hypothesis is that consumer fitness will increase through bottom up stimulation (Power 1992,
852 Pace et al. 1999, Bluhm and Gradinger 2008). Similarly, Stoner et al. (2018) noted linear
853 decreases in herbivore and carnivore abundance with climate driven reductions to terrestrial
854 primary productivity. Change to physical or thermal habitat characteristics also caused by
855 climate change reduces accessibility to productive habitats (Sekercioglu et al. 2008, Wenger et
856 al. 2011, Guzzo et al. 2017) and culminates in reduced fitness (Farmer et al. 2015). Increased
857 climate variation (Min et al. 2011, Dai 2013) is likely to drive complex effects on ecosystem and
858 habitat production, with unclear results for consumers and their habitat use.

859 In annually ice-covered aquatic ecosystems, climate change results in decreased durations
860 of ice cover and earlier ice break-up dates (Goldman et al. 1989, Magnuson 2000, Weyhenmeyer
861 et al. 2008, Benson et al. 2012, Beyene and Jain 2015). Earlier ice break-up in mountain lakes
862 causes increased water temperature and primary production (Jassby et al. 1990, Park et al. 2004,
863 Preston et al. 2016), which may increase habitat specific resources (e.g. zooplankton; Adrian et
864 al., 1999) but decreases usable habitat for certain consumers through higher water temperatures.
865 Thus, earlier ice break-up may cascade from ecosystem level (habitat productivity) to individual
866 (consumer) habitat and resource use. Here we present and test a conceptual model (Figure 1) for
867 the role of ice break-up date on ecosystem to consumer level processes in mountain lakes.

868 First, we consider littoral zoobenthic and pelagic zooplankton production, which are
869 primary food sources of consumers (Vander Zanden et al. 2011). We predict that early ice break-
870 up will increase pelagic secondary production through increased pelagic water temperatures and
871 light (Figure 1). Adrian et al. (1999) have shown that magnitude of peak daphnia abundance in
872 shallow lakes was positively influenced by springtime water temperatures. Similarly, Jassby et al.
873 (1990) and Park et al. (2004) found relationships between ice break-up date, water temperature,
874 primary productivity, and *Daphnia rosea* biomass in Castle Lake, California. In the littoral zone,
875 we predicted that zoobenthic production rates would be stable due to longer life cycles of
876 zoobenthic organisms (Thorp and Covich 2010), but their relative contributions to the lake
877 budget would decrease as pelagic secondary production increases. However, positive
878 relationships between zoobenthic production and warm, well-oxygenated waters (Craig et al.
879 2015) with little light limitation (Karlsson et al. 2009) have been observed elsewhere, suggesting
880 increases to littoral zoobenthic production may be observed under earlier ice break-up.

881 The majority of fish energy resources are derived from the littoral zone (Vander Zanden
882 et al. 2011), but it is unclear how this may change with earlier ice break-up. We predicted that
883 early ice break-up would increase fish consumer reliance on zooplankton production in the
884 pelagic zone through two mechanisms (Figure 1); first, through a hypothesized increase to
885 pelagic secondary production (Jassby et al. 1990; Park et al. 2004; Figure 1, top); second,
886 through increases to littoral water temperature caused by early ice break-up that will limit
887 efficient littoral foraging (Vander Zanden et al. 2006) by obligate cool water fish (Guzzo et al.
888 2017).

889 Lastly, we developed a prediction on the importance of allochthonous carbon to fish
890 consumers (not shown in Figure 1). Fish consume terrestrial carbon primarily through
891 consumption of terrestrial invertebrates falling into nearshore habitats (Baxter et al. 2005). Other
892 allochthonous sources (e.g. leaf litter) are poorly utilized by aquatic producers and consumers
893 (Brett et al. 2017; Thorp and Bowes 2017). Climate change predictions suggest decreased
894 terrestrial invertebrate flux to aquatic systems through less connection to riparian zones and
895 phenological mismatches between terrestrial invertebrates and fish consumers (Durant et al.
896 2007, Larsen et al. 2016). We expected either a decrease or no change in the contribution of
897 allochthonous carbon sources to consumers under early ice break-up conditions due to the low
898 reliance of fish on terrestrial resources in mountain lakes (Vander Zanden et al. 2006),
899 inaccessibility of aquatic organisms to process allochthonous carbon (Brett et al. 2017, Thorp
900 and Bowes 2017) and potentially decreased flux of terrestrial insects to freshwaters (Larsen et al.
901 2016) during the time when aquatic food webs are depleted (Nakano and Murakami 2001).

902 To test our conceptual model (Figure 1, top) we quantified ice break-up dates, water
903 temperatures, pelagic zooplankton production, and littoral zoobenthic production under early,

904 average and late ice break-up conditions in Castle Lake, a sub-alpine meso-oligotrophic system
905 in California, USA. We then quantified the influence of these variables on Brook Trout
906 (*Salvelinus fontinalis*) food resources (pelagic vs littoral, terrestrial vs aquatic), habitat use and
907 fitness, using stable isotopes and acoustic telemetry.

908 **METHODS**

909 *Study Site:*

910 Castle Lake is a natural sub-alpine, meso- to oligotrophic lake in the Siskiyou Mountains
911 of Northern California, USA (N41°13'; W122°22', elevation = 1657 m; Figure 2). The
912 maximum depth is 32 m with a mean depth of 11.4 m, and the surface area is 20.1 ha (Vander
913 Zanden et al. 2006). Summer stratification reaches a depth of 6-10 m, with the Secchi depth
914 between 7-13 m. Littoral habitat (< 6 m deep) comprises 9.2 ha (45% of lake area) and pelagic
915 habitat comprises 10.9 (55% of the lakes area (Axler and Reuter 1996). The lake was naturally
916 fish-less but currently hosts non-native Brook Trout (*Salvelinus fontinalis*), Golden Shiner
917 (*Notemigonus crysoleucas*) and Rainbow Trout (*Onchorhynchus mykiss*). The zooplankton
918 community consists of *Daphnia rosea*, *Bosmina longirostris*, *Diaptomus novamexicanus* and
919 *Diacyclops thomasi*. Zoobenthos consists of Chironomidae, Trichoptera (Limnephilida,
920 Leptoceridae, Lepidostomatidae), Ephemeroptera (Baetidae, Ephemerellidae), Megaloptera
921 (Sialidae) and Odonata (Corduliidae, Libellulidae; Brownstein 2010).

922 Evidence of climatic forcing (e.g. El-Nino events, Pacific Decadal Oscillation) on timing
923 of ice break-up (Goldman et al. 1989), and its subsequent effect on the temperature, stratification
924 and primary production patterns during ice-free seasons has been observed (Strub et al. 1985,
925 Jassby et al. 1990, Park et al. 2004). Castle lake is typically ice covered from approximately
926 November or December to April or May (Vander Zanden et al. 2006).

927 *Ice break-up date and water temperature:*

928 From 2012 to 2016, four pictures were taken daily of Castle Lake with a programmed
929 digital camera, earlier years were determined from visual observations. The photo record and
930 visual observations were used to determine the complete ice break-up date (Julian day) each
931 year. Water temperature was measured with weekly profile measurements from 2008 to 2014
932 from the surface to 32 m. In 2014 a series of temperature loggers (Thermochron iButtons) were
933 deployed year-round, which logged depths from the surface to 25 m incrementally. The average
934 temperature < 5 m was used to determine littoral water temperature. Temperature at 10 m was
935 used to represent pelagic water temperature. During years prior to the installation of the
936 temperature loggers, temperatures were linearly interpolated between sampling dates. To
937 quantify habitat specific temperature, we counted the number of days that littoral and pelagic
938 zone temperatures were greater than 15 °C and 10°C, respectively. We used 15 °C for littoral
939 because it was observed to be a limiting temperature for Brook Trout from our temperature
940 sensing acoustic tags (see consumer habitat use section) and was used to examine habitat
941 limitation of other *Salvelinus* species (Biro 1998, Guzzo et al. 2017). We used 10 °C for pelagic
942 temperature because there were only 8 days > 15 °C during our study period at 10 m, and thus
943 were unable to relate ice break-up date and water temperature.

944 *Habitat specific secondary production:*

945 Zooplankton were collected weekly at night from three depths in the epilimnion (0, 3 and
946 5 m), pooled and preserved in Lugol's from June to September (2008-2016) using a 15 L
947 Schindler trap (80 µm mesh). Individuals were enumerated from each sample and converted to

948 density and biomass per m^3 for each species. All cladocerans were measured from the top of the
949 head to the base of the apical spine, and eggs of gravid females were counted.

950 Production ($\text{mg C m}^{-3} \text{ day}^{-1}$) of the dominant zooplankton species (*Daphnia rosea*), was
951 calculated using the egg-ratio method (Edmondson and Winberg 1971, Edmondson 1972,
952 Paloheimo 1974), following methods used previously in the lake (Vander Zanden et al. 2006).
953 This method calculates a numeric growth rate (b) which is multiplied by the mean biomass of
954 individuals (Paterson et al. 1997). The *Daphnia rosea* production estimate was scaled to whole
955 lake pelagic secondary production ($\text{mg C m}^{-2} \text{ day}^{-1}$) by multiplying by 1.24 (average ratio of
956 other zooplankton to cladoceran production), the pelagic area of the lake (10.9 ha), and the depth
957 (5 m) represented by our sampling (Vander Zanden et al. 2006). Biomass was converted to
958 carbon using an assumed 40% carbon content (Vander Zanden et al. 2006). Production was
959 calculated for each week sampled and then averaged across all dates.

960 Zoobenthic samples were collected from 2009 to 2016 in June, July and September at 4
961 sites in the littoral zone and 2 sites in the profundal zone (Figure 2). Littoral zone samples with
962 coarse substrate were collected using a modified stove-pipe method, and at soft sediment sites an
963 Eckman grab sampler (0.023 m^2) was used. The modified stove-pipe method started by driving a
964 sample cylinder (0.045 m^2 diameter) 3 cm into the sediment, the area was disturbed (e.g. rocks
965 were scrubbed, sticks washed) and then water and sediment were sieved for invertebrates.
966 Profundal samples were collected at 20 m and 25 m using an Eckman grab sampler. Each
967 zoobenthic sample was preserved, and individuals were identified to family (Thorp and Covich
968 2010) and measured to the nearest 0.1 mm.

969 Zoobenthic secondary production ($\text{mg C m}^{-2} \text{ day}^{-1}$) was estimated as the product of
970 species average dry mass, population density, and production to biomass (P/B) ratios (Strayer

971 and Likens 1986, Vander Zanden et al. 2006, Craig et al. 2015). Dry mass was estimated from
972 published length to dry mass ratios (Benke et al. 1999, Sabo et al. 2002, Baumgärtner and
973 Rothhaupt 2003, Edwards et al. 2009) for all species except for oligochaetes, which were
974 estimated volumetrically (Woodward and Hildrew 2002, Craig et al. 2015). To convert to carbon
975 we assumed that 45% of dry mass was carbon (Strayer and Likens 1986). Taxon specific *P/B*
976 ratios were developed from the allometric equation (Banse and Mosher 1980). Production by
977 each taxon was summed for each sampling site and sampling period and then averaged across
978 sites in each habitat per month per year. Annual estimates of zoobenthic production were divided
979 by the number of ice free days each year (Vander Zanden et al. 2006) to estimate daily
980 production ($\text{mg C m}^{-2} \text{ day}^{-1}$). Littoral and profundal zoobenthic production was scaled to the
981 whole lake by multiplying the daily production estimate by the area of each zone.

982 *Consumer sampling:*

983 Gill nets (20 m long) were used to collect fish each month from June until October from
984 2010-2016. One standard (2.5 cm mesh) and one experimental (3.2, 2.5 and 1.9 cm mesh) were
985 set at deep (7 m) and shallow depths (3 m) at 2 sites for 2 consecutive nights per month. One net
986 (deep or shallow depth) was set at each site per night, then switched between sites.

987 *Consumer energetic resources:*

988 Ecosystem level (autochthonous and allochthonous) energetic resources were determined
989 for Brook Trout using amino acid compound-specific stable isotope analysis (AA-CSIA;
990 Chikaraishi et al. 2009; Thorp and Bowes 2017). The proportion of habitat specific (littoral vs
991 pelagic) energetic resources was determined using bulk $\delta^{13}\text{C}$ (Peterson and Fry 1987, Vander
992 Zanden et al. 2006). AA-CSIA isotopes were analyzed for fish collected in early (June or July)

993 and late (September) summer of 2011 to 2016 and 2010 to 2016 for bulk tissue stable isotopes,
994 and averaged per year. In addition to fish, bulk isotopes for *Daphnia rosea* and littoral
995 zoobenthos (Trichopterans, Chironomids and Oligochaetes) were also used to determine baseline
996 signatures of $\delta^{13}\text{C}$ for pelagic and littoral habitats.

997 AA-CSIA is a relatively new ecological technique which offers greater analytical
998 precision and provides more tracers to distinguish among food resources. It is especially
999 accurate at distinguishing between autochthonous and allochthonous carbon sources (Thorp and
1000 Bowes 2017). To process AA-CSIA, a sample of fish tissue was dried at 60 °C for 48 hrs and
1001 shipped to the UC-Davis Stable Isotope Facility. The specific techniques for analyzing AA-CSIA
1002 are detailed in Walsh et al. (2014) and summarized in Thorp and Bowes (2017). We obtained
1003 $\delta^{13}\text{C}$ and $\delta^{15}\text{N}$, expressed as per mil (‰), for essential (phenylalanine, valine, leucine and
1004 isoleucine) and non-essential (glycine, proline, alanine, and glutamate) amino acids.

1005 Bulk tissue $\delta^{13}\text{C}$ stable isotopes were collected from the same fish that were processed for
1006 AA-CSIA. Muscle tissue from each fish was taken from the dorsal muscle, dried at 60 °C for 48
1007 hrs, ground into a fine powder and packed into 8 x 5 mm tin capsules. Samples were shipped to
1008 the University of New Mexico Center for Stable Isotopes for analysis. $\delta^{13}\text{C}$ concentrations were
1009 expressed per mil (‰). Specific details of the isotopic analysis are found in Peterson and Fry
1010 (1987) and Vander Zanden et al. (2006).

1011 Consumer percent reliance on resources was estimated using the FRUITS (Food
1012 Reconstruction Using Isotopic Transferred Signals; Fernandes et al. 2014) isotopic mixing
1013 model. For AA-CSIA, mean and associated uncertainty ($1 \pm \text{SD}$) of consumers $\delta^{13}\text{C}$ for each
1014 amino acid was incorporated into the model as the target. We used published values of
1015 cyanobacteria, green algae, fungi, C₃ (aquatic macrophyte and terrestrial combined) and C₄

1016 terrestrial plants as sources for AA-CSIA (Thorp and Bowes 2017). Amino acid signatures are
1017 highly conserved among broad taxonomic groups and are not significantly influenced by space
1018 and time, thus published values can be used for baseline signatures (Larsen et al., 2013). For
1019 bulk stable isotopes, pelagic baseline (*Daphnia rosea*) and littoral baselines (Trichoptera,
1020 Chironomid and Oligochaeta) of $\delta^{13}\text{C}$ for each year were used as sources because their signature
1021 can vary over space, time and taxonomic groups. Profundal zone invertebrates were not included
1022 in dietary estimates based on a qualitative assessment of fish diets and their absence in fish diets
1023 historically in Castle Lake (Elser et al. 1995, Vander Zanden et al. 2006). The FRUITS model is
1024 a Bayesian based isotopic mixing model, that accounts for dietary routing (contribution of
1025 sources towards the target signal). For both AA-CSIA and bulk tissue isotopes, we used an
1026 unweighted model with un-informative priors and assumed that all sources had an equal chance
1027 to make up 100% of the diet. The model draws on the BUGS (Bayesian Inference Using Gibbs
1028 Sampling) algorithm, to generate posterior distributions of percent contributions of each potential
1029 food source to the consumer. We used the mean of the posterior distributions to describe results.
1030 Percent reliance on autochthonous resources was calculated by summing the mean of the
1031 posterior distributions for reliance on aquatic algae and cyanobacteria.

1032 *Consumer habitat use:*

1033 To quantify consumer habitat use, Brook Trout were marked and tracked with an acoustic
1034 telemetry array. Eighteen total large (>150 g) Brook Trout were tagged with Vemco V9T
1035 (VEMCO Ltd.) temperature-sensing acoustic transmitters on November 13, 2015 and on June
1036 15, 2016 (9 on each date). Each tag transmitted a paired time stamped signal (individual to each
1037 fish) and temperature every 60 – 180 seconds. Locations and depths of transmitter-implanted fish
1038 were monitored from November 2015 until February 2017 based on battery life. The acoustic

1039 array had 8 acoustic hydrophones (Vemco VR2W; VEMCO Ltd.) with overlapping detection
1040 ranges and was instrumented throughout the study period (Figure 2). Detections ranges were
1041 determined using a pilot study in which a test tag was lowered to 10 m and slowly rowed in the
1042 opposite direction of the hydrophone. We had approximately 80% detection at 500 m away from
1043 the hydrophone, and complete coverage of the lake.

1044 The Vemco positions system (VPS) was used to obtain time-stamped GPS positions with
1045 coupled temperatures for each fish (Espinoza et al. 2011, Smith 2013, Roy et al. 2014). VPS uses
1046 a hyperbolic positioning algorithm, which is based on the difference in time (micro-seconds) a
1047 transmission arrives at different hydrophones. Time is then converted to distance and a position
1048 is triangulated (Smith 2013). Because this method required exact time synchronization of
1049 hydrophones, we deployed 4 time synchronizing transmitters (Vemco V13; VEMCO Ltd.).

1050 Tag position data was imported into a geographic information system (GIS) and each
1051 data assigned a pelagic or littoral classification based on its location. We calculated the average
1052 daily time spent in the littoral zone, average number of forays into the littoral zone, and the
1053 average duration of each foray for each day and individual for the duration of the study (Guzzo
1054 et al. 2017). We considered a foray to be when a fish crossed from the pelagic to the littoral zone,
1055 and the duration was the sum of time between consecutive detections within the littoral zone.

1056 *Consumer fitness:*

1057 We used the average late summer (September and October) body size and egg mass of
1058 large (> 100 g) Brook Trout as fitness and reproduction success estimates, respectively. The size
1059 class was selected because it corresponded to the size of fish used to estimate littoral reliance and
1060 habitat use, and the late summer period incorporated the growth response from the entire

1061 growing season. Egg mass was weighed for each female that was captured. All fish were
1062 captured using gill nets as outlined previously.

1063 *Data Analysis:*

1064 General linear models or Kolmogorov – Smirnov (KS) tests were used for statistical
1065 analysis (Faraway 2010). Relationships analyzed included: 1) Julian date of ice break-up to
1066 littoral and pelagic water temperatures; 2) pelagic zooplankton production and littoral zoobenthic
1067 production to ice year type; 3) mean percent reliance of Brook Trout consumers on littoral and
1068 autochthonous material to Julian date of ice break-up and water temperature; and 4) average size
1069 of Brook Trout to percent reliance on littoral zone resources. Univariate normality was assessed
1070 using a Shapiro-Wilk normality test and visually assessed using quantile to quantile regression
1071 plots. Heterogeneity of variances among ice year types was analyzed using Bartlett’s test
1072 (Faraway 2010). If needed, data were either \log_{10} or square root transformed to better meet
1073 assumptions (Faraway 2010) as noted in the results.

1074 The data for time spent in littoral zone habitats between warm water periods ($> 15^{\circ}\text{C}$)
1075 and cold water periods ($< 15^{\circ}\text{C}$) were unable to meet standard statistical assumptions after
1076 multiple attempts at normalization through log, square root, and cube root transformations. Two
1077 sample non-parametric KS tests were used to compare daily time spent in, number of forays into,
1078 and average duration of each foray into the littoral zone when littoral zone water temperature
1079 was $> 15^{\circ}\text{C}$ and $< 15^{\circ}\text{C}$. To determine if the temperature fish experienced was more reflective
1080 of the littoral zone or the pelagic zone we computed the differences in daily average fish
1081 temperature to daily littoral and pelagic water temperatures and compared them using a KS test.
1082 All statistics and computations were done using the R open source statistical software (R Core
1083 Team 2015).

1084 **RESULTS**1085 *Ice break-up date and water temperature:*

1086 Ice break-up date ranged from Julian day 178 (June 27th) in 2011 to Julian day 51
1087 (February 20th) in 2015 (Table 1). Ice break-up date was grouped into average, early and late ice
1088 break-up year classifications. Average ice break-up years were within 1 SD of the 55-year
1089 historical mean of ice break-up date (Julian day 133 ± 25 days), while late and early ice break-up
1090 years were outside of that range. Earlier ice break-up dates were had significant positive
1091 relationships to days littoral water temperature was greater than 15 °C ($R^2 = 0.63$, $p < 0.05$) and
1092 days pelagic water temperature was greater than 10 °C ($R^2 = 0.48$, $p = 0.02$).

1093 *Habitat specific secondary production:*

1094 *Daphnia* and *Diaptomus* were the most common species. Mean (\pm standard error)
1095 biomass was lower during early ice years (*Daphnia* = 28.7 ± 7.1 , *Diaptomus* = 2.6 ± 1.1 mg
1096 drymass m^{-3}) than later and average ice break-up years (Figure C1), but the difference was only
1097 statistically significant for *Diaptomus* ($p < 0.05$). There was no statistical relationship for the less
1098 common species (*Bosmina* and *Diacyclops*) between standing stock and ice year type (Figure
1099 C1), but on average they consisted of only 29% of all zooplankton standing stock. Littoral
1100 zoobenthic biomass (mg dry mass m^{-2}) was dominated by Trichoptera, Diptera, and Hirudenia
1101 (Figure C2). Nearly all species had a higher mean biomass during early ice years compared to
1102 average and late ice years but only Diptera and Ephemeroptera were significantly ($p < 0.05$)
1103 different (Figure C2).

1104 Daily pelagic zooplankton production was estimated at 0.97 ± 0.13 (mean \pm SE) mg C
1105 $m^{-2} day^{-1}$ during early ice years, and was significantly (square root transformed, $p < 0.05$) lower
1106 than pelagic zooplankton production during average (2.17 ± 0.27 mg C $m^{-2} day^{-1}$) and late (1.72

1107 $\pm 0.83 \text{ mg C m}^{-2} \text{ day}^{-1}$) ice years (Figure 3a and b). Daily littoral zoobenthic production was
1108 estimated to be $2.44 \pm 0.35 \text{ mg C m}^{-2} \text{ day}^{-1}$ during early ice years and was significantly ($p < 0.05$)
1109 greater than zoobenthic production during average ($1.49 \pm 0.28 \text{ mg C m}^{-2} \text{ day}^{-1}$) and late ($1.61 \pm$
1110 $0.33 \text{ mg C}^{-1} \text{ m}^{-2} \text{ day}^{-1}$) ice years (Figure 3a and b). Daily profundal zone zoobenthic production
1111 was not significantly different across years but averaged $1.02 \pm 0.31 \text{ mg C}^{-1} \text{ m}^{-2} \text{ day}^{-1}$. The
1112 percent contribution of pelagic zooplankton was highest in late and average ice years 81% and
1113 82%, while the highest contribution (75%) of littoral zoobenthic production was in early ice
1114 years (Figure 3c), profundal zone was not included because it did not vary across years.

1115 *Consumer energetic resources:*

1116 The dominant basal resources among aquatic and terrestrial sources for consumers was
1117 algae (range = 57-46%) followed by C_3 plants (range = 29-21%) across varying ice break-up
1118 dates (Figure C3a, b and c). To be conservative we did not include C_3 plants in our estimate of
1119 percent reliance on autochthonous carbon and only used cyanobacteria and green algae derived
1120 carbon. There was no significant relationship between autochthonous or allochthonous carbon
1121 reliance by consumers and ice year type, water temperature, or Julian date of ice break-up
1122 (Figure 4a and b).

1123 $\delta^{13}\text{C}$ from bulk tissue used to estimate percent reliance on littoral and pelagic habitat
1124 carbon sources varied across different ice year types (Table 2). Values were more negative for
1125 consumers but more positive for zooplankton and littoral invertebrates during early ice break-up
1126 years (Table 2). The percent reliance of consumers on pelagic and littoral zone resources also
1127 varied across different ice year types (Figure C3d, e and f). In early ice years, littoral reliance
1128 was 13%, in average ice years was 46% and in late ice years was 91% (Figure C3d, e and f). The
1129 mean of the posterior distribution of estimated percent reliance on littoral zone resources was

1130 significantly related to both Julian date of ice break-up and number of days littoral water
1131 temperature exceeded 15 °C (Figure 4c and d). Percent reliance on pelagic and littoral resources
1132 as not significantly related to habitat specific secondary production (littoral, $R^2 = 0.06$, $p = 0.30$;
1133 pelagic, $R^2 = 0.30$, $p = 0.12$).

1134 *Consumer habitat use:*

1135 Habitat use data showed that consumers visited the littoral zone more frequently, for
1136 longer during each visit, and spent more total time in the littoral zone when water temperatures
1137 were < 15 °C (Figure 5). The daily average time spent in, number of forays into, and average
1138 duration of foray into the littoral zone were all significantly greater (KS test, $p < 0.01$) when
1139 littoral water temperature was < 15 °C. The variation among individuals in daily time spent in
1140 the littoral zone was also higher when littoral water temperature was < 15 °C (SD = 125 minutes)
1141 when compared to times when littoral water temperature was > 15 °C (SD = 73 minutes). The
1142 water temperature fish experienced was more closely related to pelagic water temperatures when
1143 littoral zone temperatures were > 15 °C (KS test, $p < 0.01$). The average difference between fish
1144 temperature and littoral and pelagic zone water temperature was 12.2 ± 3.6 °C (mean \pm 1 SD)
1145 and 2.1 ± 1.3 °C, respectively.

1146 *Consumer fitness:*

1147 The average size of Brook Trout consumers was significantly smaller during early ice
1148 break-up conditions compared to late ice break-up condition, and was significantly ($R^2 = 0.60$, p
1149 = 0.02) related to the percent reliance on littoral zone prey items (Figure 6a). The egg mass of
1150 female Brook Trout was not significantly ($R^2 = 0.08$, $p = 0.48$) related to ice break-up or littoral
1151 zone reliance (Figure 6b).

1152 DISCUSSION

1153 Climate change is expected to have unprecedented and complex impacts on ecosystems
1154 (Walther et al. 2002, Grimm et al. 2013). For example, pelagic feeding mammals in arctic
1155 systems are expected to increase their fitness in response to increased pelagic productivity, while
1156 obligate coastal species are expected to perish as increased runoff reduces production and
1157 visibility (Bluhm and Gradinger 2008). However, changes to productivity are coupled with
1158 physical and thermal habitat alterations, which could exclude species with narrow temperature
1159 tolerances (Sekercioglu et al. 2008, Wenger et al. 2011, Guzzo et al. 2017).

1160 Our data suggest that earlier ice break-up date drives complex ecological interactions by
1161 increasing habitat production but limiting consumer utilization through thermal exclusion.
1162 Specifically, under early ice break-up, we describe decreased summer pelagic zooplankton
1163 production and increased littoral zoobenthic production. Reliance on littoral zone resources by
1164 Brook Trout increased with later ice break-up dates and was independent of habitat specific
1165 secondary production. Rather, Brook Trout were excluded from littoral zone habitats by warmer
1166 water temperatures, which increased their reliance on pelagic resources. In addition, our data
1167 show that consumers will consistently rely on autochthonous carbon independent of ice break-up
1168 date.

1169 Previous studies (Straile and Adrian 2000, Park et al. 2004) suggest that biomass of
1170 zooplankton was positively related to the water temperature and ice break-up date. We found that
1171 zooplankton production was significantly less in early ice years with more days of warmer water
1172 temperatures than average and late ice years. The earliest ice break-up dates used by Park et al.
1173 (2004) were Julian date 90 (1988) and Julian date 98 (1990), while the earliest ice break-up dates
1174 in our data set were Julian date 51 (2015) and 57 (2014). We suggest the disparity between Park

1175 et al. (2004) and our study is rooted in the differences between the timing of ice break-up dates
1176 used in each study. Our estimate was for summer (June, July, August, September) production,
1177 but shifts in phenology of phytoplankton blooms to early spring under warming, create a
1178 mismatch between zooplankton and food resources (Winder and Schindler 2004, Durant et al.
1179 2007). Thus, our data may have captured a threshold of ice break-up date in which zooplankton
1180 are resource limited in the summer, but not by the average ice break-up years used in Park et al.
1181 (2004) data. For example, de Senerpont Domis et al. (2007) found only in extreme warming
1182 scenarios were zooplankton decoupled from algal food resources. Alternatively, phytoplankton
1183 community succession may be accelerated under warming scenarios providing a lower quality
1184 food resource for zooplankton and limiting their production in extremely warm years (Sommer et
1185 al. 2012). Though it is possible our scaling calculation from species specific (*Daphnia*) to
1186 zooplankton community production is flawed, the dominant taxa declined similarly with ice year
1187 type. Thus, we feel our estimates reflect community wide changes.

1188 We predicted that littoral zoobenthic production would be stable across ice break-up
1189 years, but we observed increases in early ice break-up years. One possible mechanism is that
1190 increases to littoral benthic primary production drove littoral zoobenthic production. This seems
1191 unlikely as Northington et al. (2010) found little evidence for this in arctic lakes. Craig et al.
1192 (2015) suggest that zoobenthic production is related to warm and well oxygenated waters, which
1193 occurred in Castle Lake during early ice break-up years and likely explains our observations.

1194 The reliance of fisheries on benthic and littoral resources is well established (Vander
1195 Zanden et al. 2011) but how that will change under climate mediated effects (e.g. ice break-up)
1196 on mountain lakes is unclear. We provide evidence that the percent of littoral zone reliance for
1197 Brook Trout consumers decreases with earlier ice break-up dates. The relationship was

1198 independent of habitat production, but directly related to littoral zone water temperature. Similar
1199 findings by Guzzo et al. (2017) showed that water temperatures, not prey density drove Lake
1200 Trout (*Salvelinus namaycush*) habitat and resource use. Biro (1998) also found that young-of-
1201 year brook trout reduced littoral habitat use when water temperatures became unsuitable. As
1202 water temperatures warm (O'Reilly et al. 2015) and ice break-up dates become earlier
1203 (Magnuson 2000, Beyene and Jain 2015, Sharma et al. 2016), cool water fishes are likely to
1204 increase their reliance on less efficient (Vander Zanden et al. 2006) pelagic resources.

1205 The thermally induced habitat exclusion identified in our study and by others resulted in
1206 less littoral zone reliance and reduced fitness at temperatures > 15 °C (Biro 1998, Guzzo et al.
1207 2017). Experimental studies on Brook Trout suggest that their growth rate is reduced above 16
1208 °C (Chadwick and McCormick 2017). Reductions in body size and fecundity have been
1209 associated with climate change across both terrestrial and aquatic systems (Sheridan and
1210 Bickford 2011), for plants, animals and fish (Walther et al. 2002, Farmer et al. 2015). We did not
1211 observe a reduction in egg mass, but others have suggested that the spawning rate of Brook Trout
1212 may decrease under warmer water temperatures (Robinson et al. 2011). To fully understand the
1213 impacts of consumer resources and habitat use on Brook Trout reproduction we suggest a
1214 detailed assessment of spawning rate and egg incubation success, or an analysis of the number or
1215 average size of eggs may be reduced. Rising air temperatures (IPCC 2014) will lead to increased
1216 water temperatures (O'Reilly et al. 2015) and decreased reliance on littoral habitats (Guzzo et al.
1217 2017, this study). The associated decrease in growth rates and body size with limitation to littoral
1218 food resources may culminate in reduced fecundity and lower recruitment, limiting or extirpating
1219 populations.

1220 The role that climate change and ice off date will have on consumer reliance on
1221 autochthonous carbon is not well studied. The main source of terrestrial carbon for fishes is
1222 through flux of terrestrial insects (Baxter et al. 2005, Wipfli and Baxter 2010), because other
1223 forms (e.g. leaf litter) do not incorporate into lake food webs (Brett et al. 2017). Climate change
1224 induced shifts to phenology of terrestrial insect subsidies to lakes is expected (Larsen et al.,
1225 2016) and due to phenological mismatch, these subsidies may not be used by fish (Durant et al.
1226 2007). For example, Nakano et al. (2001) highlighted the importance of terrestrial subsidies
1227 when autochthonous resources are depleted. Under climate change, shifts in terrestrial primary
1228 production may result in an earlier life history of terrestrial insects that are not available to
1229 aquatic consumers during periods with low autochthonous production. Additionally, warmer
1230 water temperatures excluded Brook Trout consumers from the littoral zone in our study and
1231 elsewhere (Guzzo et al. 2017), further limiting their ability to utilize terrestrial insects that land
1232 in the near shore. We show that ice break-up was unrelated to fish reliance on autochthonous
1233 carbon and posit that autochthonous production will drive fisheries regardless of climate
1234 scenario.

1235 We conclude that under early ice break-up conditions, the foraging duration in
1236 productive, energetically efficient littoral habitats (Vander Zanden et al. 2006) will be reduced
1237 for obligate cool water consumers. Instead these consumers will forage in a resource poor
1238 pelagic zone, causing lower fitness (Figure 2, bottom). While numerous studies have examined
1239 the effect of ice break-up on single variables (Parker et al. 2008, Helland et al. 2011, Preston et
1240 al. 2016), our data-set demonstrates that ice break-up date can cascade from thermal properties to
1241 ecosystem secondary production budgets, ultimately causing impacts to consumer habitat use,
1242 resources, and fitness level.

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- 1524
- 1525

1526 **TABLES**

1527 **Table 1.** Observed Julian date of ice break-up, ice year classifications and number of days water
 1528 temperature exceeded 15°C and 10 °C in the littoral and pelagic zone, respectively. The 55-year
 1529 average ice break-up date was Julian date 133 (May 13th).

Year	Julian Date of Ice break- up	Ice Year Type Classification	Days littoral zone temperature > 15 °C	Days pelagic zone temperature > 10 °C*
2008	140	Average	106	42
2009	141	Average	96	27
2010	176	Late	72	2
2011	178	Late	91	10
2012	121	Average	120	71
2013	135	Average	127	94
2014	57	Early	135	101
2015	51	Early	126	104
2016	112	Average	113	0

*We calculated both days above 15 and 10 °C. Because there were 8 days in all years which had water temperatures at 10 m greater than 15 °C we presented 10 °C instead.

1530

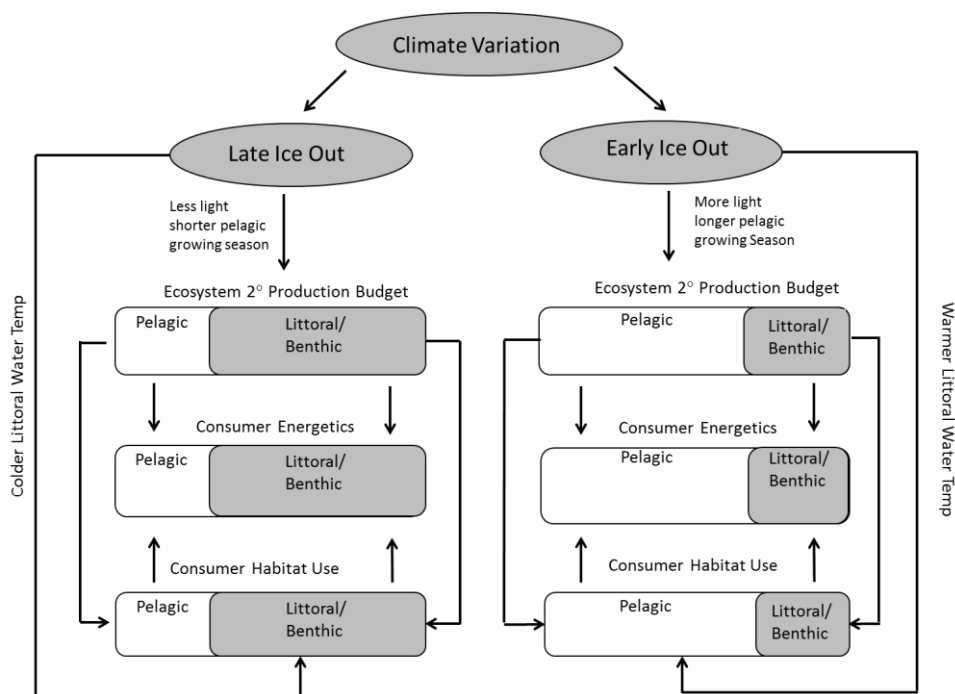
1531 **Table 2** Mean \pm 1 SD of AA-CSIA $\delta^{13}\text{C}$ stable isotope values for Brook Trout in and bulk tissue
 1532 $\delta^{13}\text{C}$ stable isotope values for zooplankton (*Daphnia rosea*; pelagic), littoral benthic
 1533 invertebrates (Trichoptera, Chironomids and Oligochaetes; littoral), and Brook Trout across
 1534 early, average and late ice years.

Ice Year Type	Consumer Essential AA-CSIA $\delta^{13}\text{C}$ Carbon Isotopes				Consumer Non-Essential AA-CSIA $\delta^{13}\text{C}$ Carbon Isotopes			
	Isoleucine	Leucine	Phenylalanine	Valine	Glycine	Proline	Alanine	Glutamate
Early	-29.04 \pm 2.91	-34.58 \pm 2.9	-33.86 \pm 2.55	-30.85 \pm 3.05	-13.33 \pm 2.93	-21.58 \pm 3.05	-23.36 \pm 2.95	-22.57 \pm 2.84
Average	-29.60 \pm 2.71	-35.17 \pm 2.71	-34.29 \pm 2.24	-31.86 \pm 2.90	-15.18 \pm 3.08	-21.64 \pm 2.78	-24.46 \pm 3.06	-23.20 \pm 2.60
Late	-28.75 \pm 1.43	-34.31 \pm 1.60	-33.41 \pm 1.71	-30.51 \pm 1.58	-14.02 \pm 2.13	-20.98 \pm 2.00	-23.83 \pm 2.04	-21.95 \pm 1.70
	Bulk $\delta^{13}\text{C}$ Carbon Isotopes							
	Consumer			Littoral		Pelagic		
Early	-26.99 \pm 0.58			-21.83 \pm 0.88		-25.09 \pm 1.53		
Average	-26.02 \pm 0.49			-24.58 \pm 0.60		-28.99 \pm 0.50		
Late	-24.53 \pm 0.51			-25.21 \pm 0.47		-29.02 \pm 1.08		

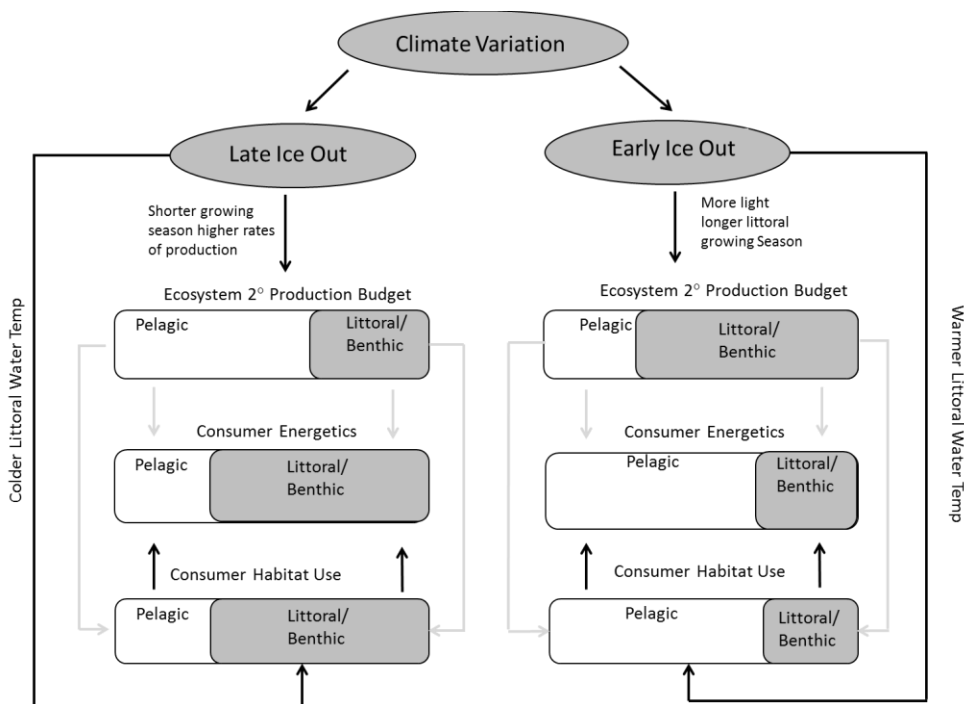
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1537 FIGURES



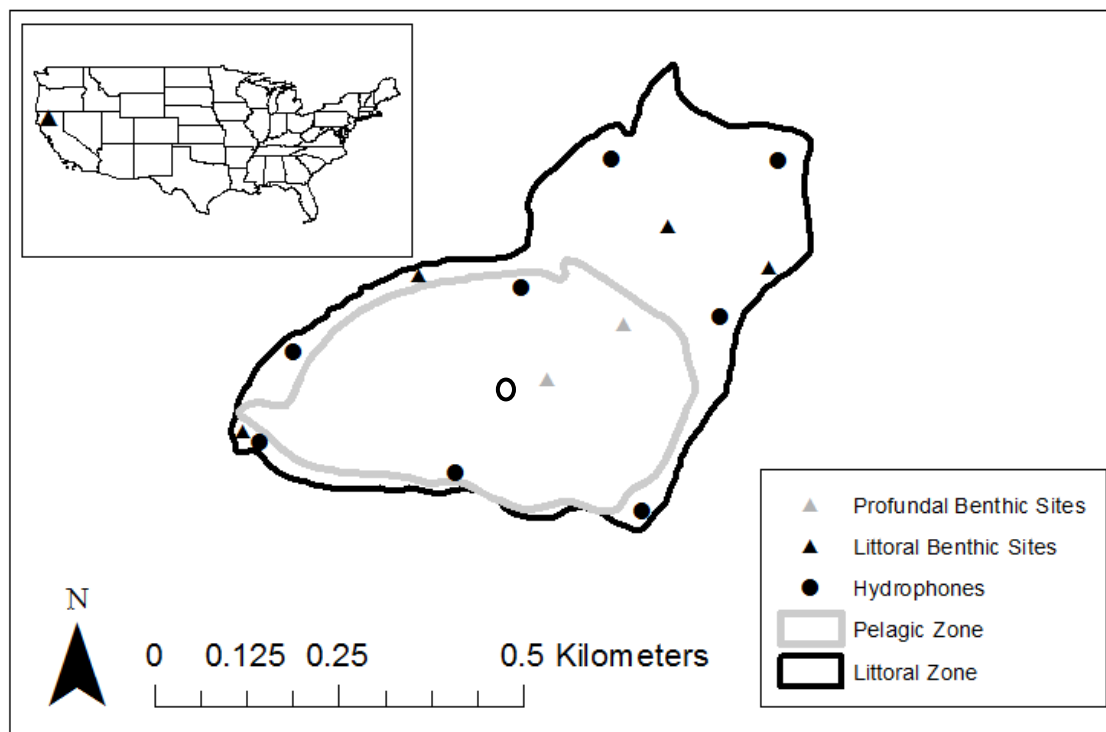
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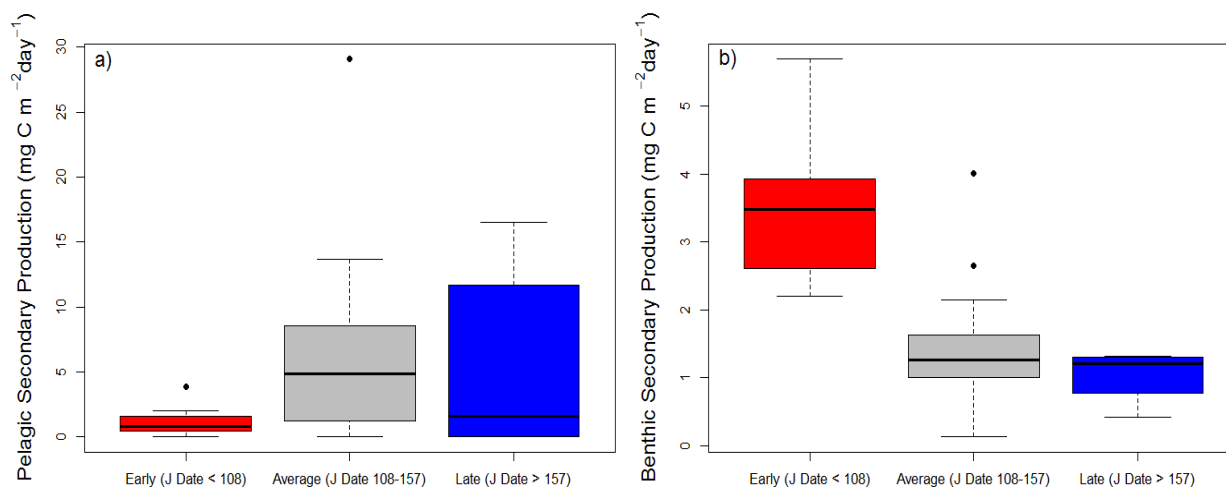
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1540 **Figure 1.** Conceptual model describing predicted (top) and data supported (bottom) effects of ice
 1541 break-up date on ecosystems processes. Grey arrows in the bottom figure represent tested
 1542 hypotheses that data did not support. We predicted that decreased light and cooler littoral water
 1543 temperature as a result of late ice break-up would result in decreased pelagic secondary
 1544 production coupled with shorter periods of thermally induced littoral zone exclusion. Thus, a
 1545 higher proportion of consumer resources would be sourced from littoral zone prey. Under early

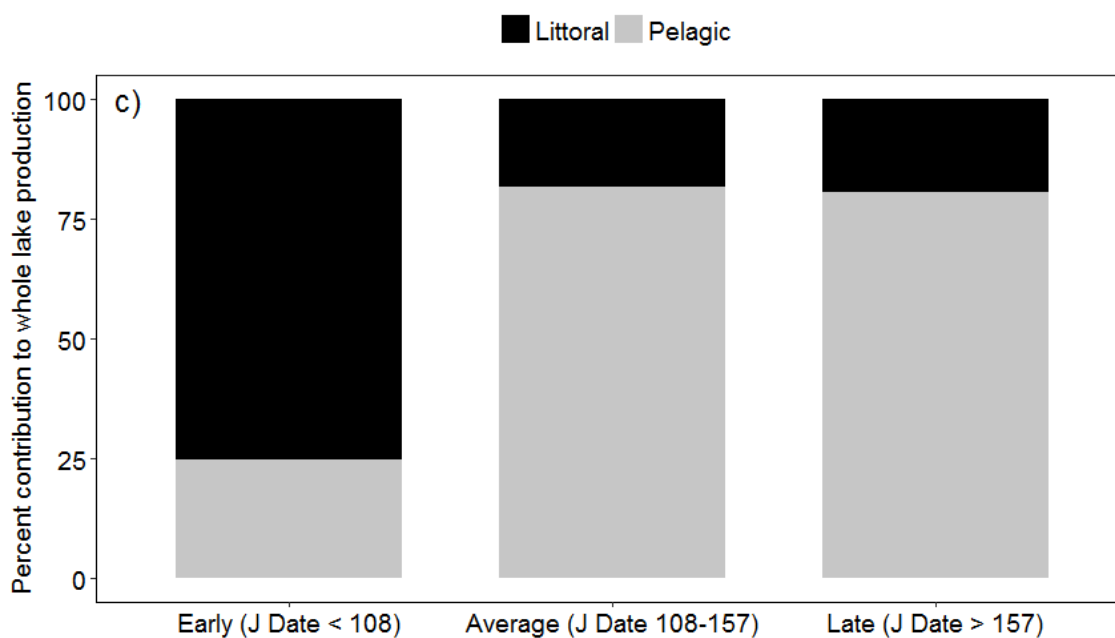
1546 ice break-up, we predicted that increased light and warmer littoral temperatures, would result in
 1547 increased pelagic secondary production and longer periods of thermally induced littoral zone
 1548 exclusion, ultimately causing increased reliance on pelagic prey items by consumers. Contrary to
 1549 our predictions, our data suggested that there was decreased littoral zone production in late ice
 1550 break-up years and increased pelagic zone secondary production. However, in agreement with
 1551 our predictions, our data suggested that littoral zone water temperatures would drive consumer
 1552 habitat use and consequently consumer resources.



1553
 1554 **Figure 2.** Map of zoobenthic sampling sites and hydrophone locations for acoustic array used for
 1555 fish telemetry at Castle Lake, California. Open circle is the zooplankton sampling location at the
 1556 center of the lake.

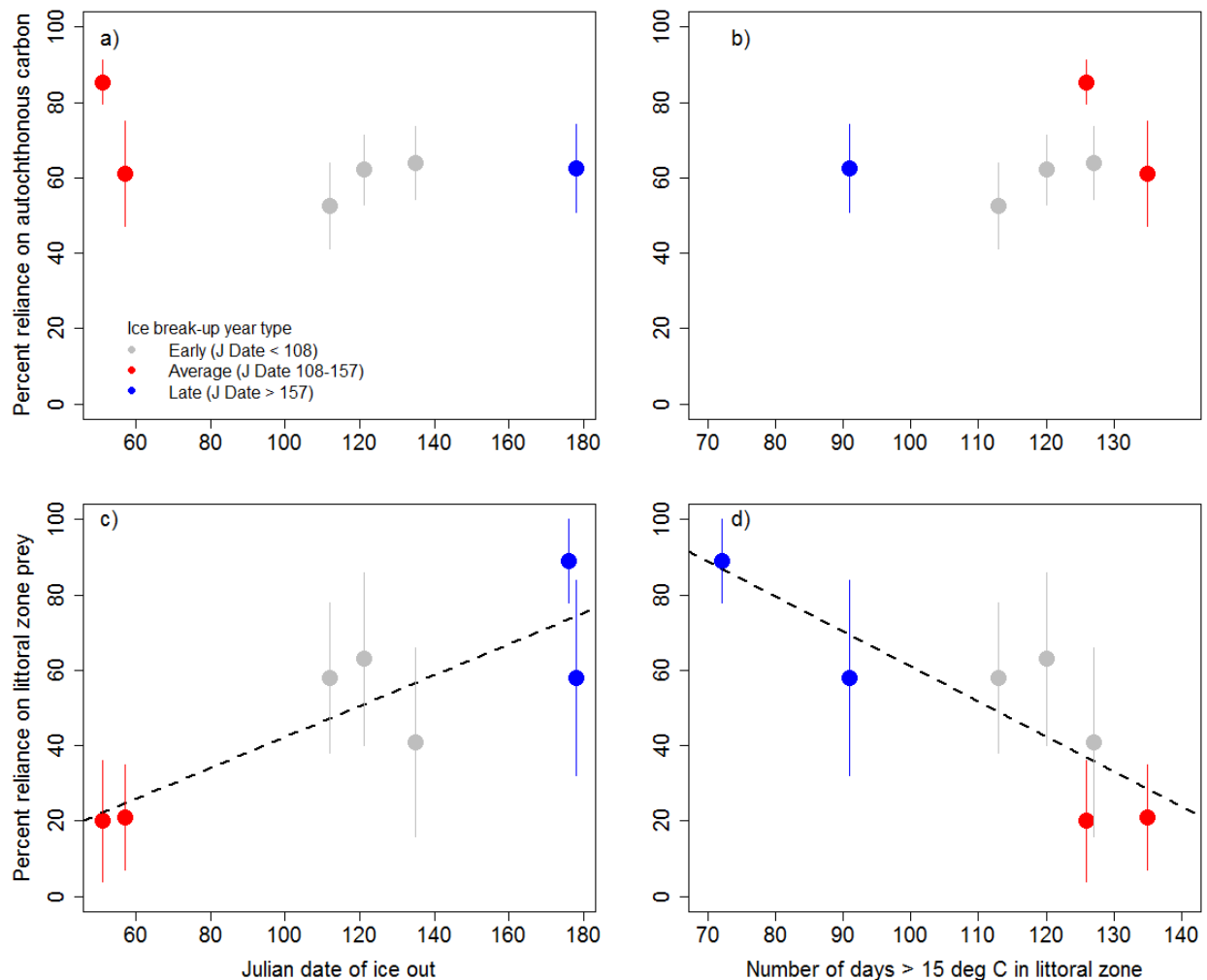


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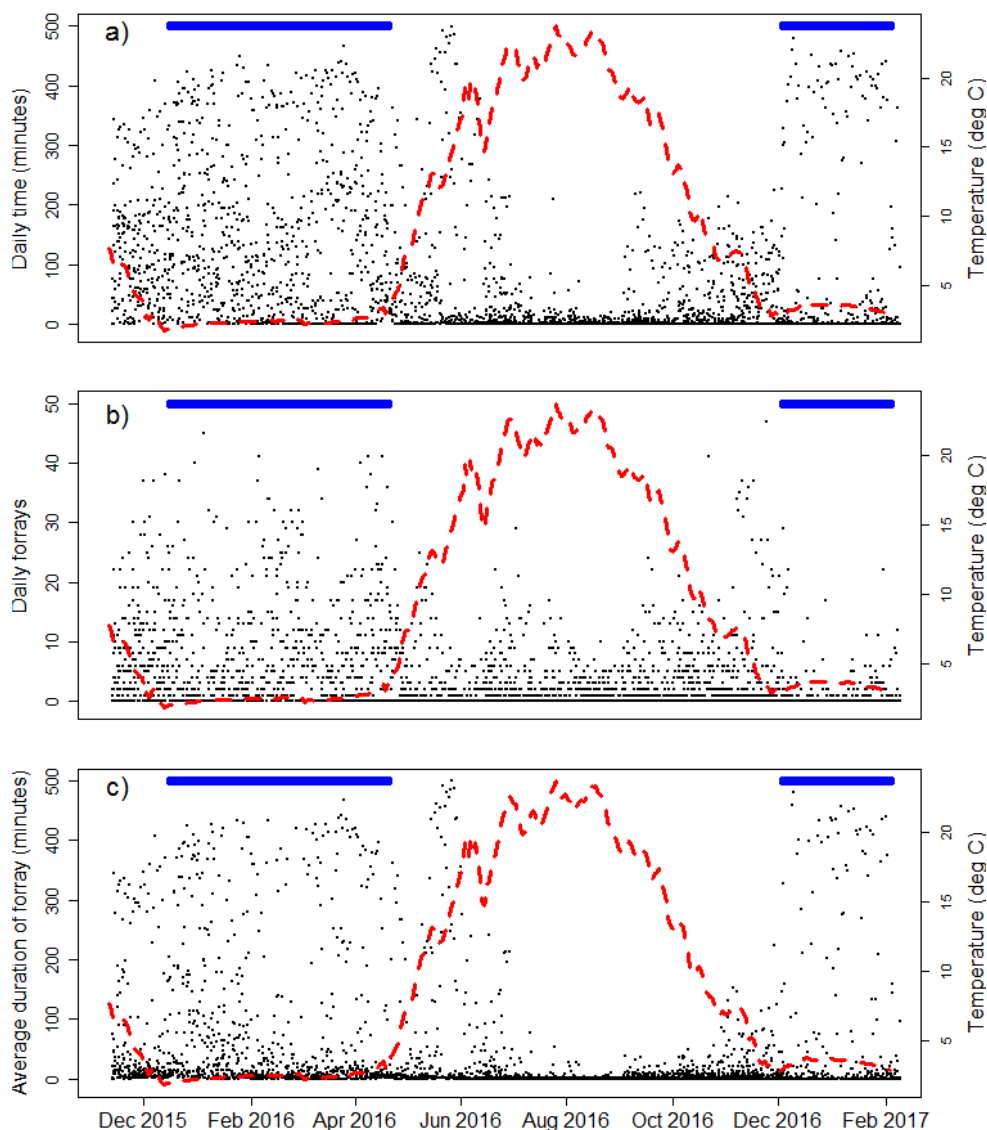
1558

1559 **Figure 3.** Daily average secondary production estimates of (a) pelagic zooplankton during each
 1560 sampling week (b) and littoral zoobenthos during each month (June, July, September) in Castle
 1561 Lake, California during different ice year types. Average and late ice break-up years were
 1562 statistically ($p < 0.05$) greater than early years for pelagic zooplankton production, while the
 1563 opposite was observed for littoral zoobenthos production. (c) Percent contributions of pelagic
 1564 zooplankton and littoral zoobenthos to lake secondary production budgets in ice year types.
 1565 Julian date (J date) of ice break-up year types is denoted on the x-axis of each plot.



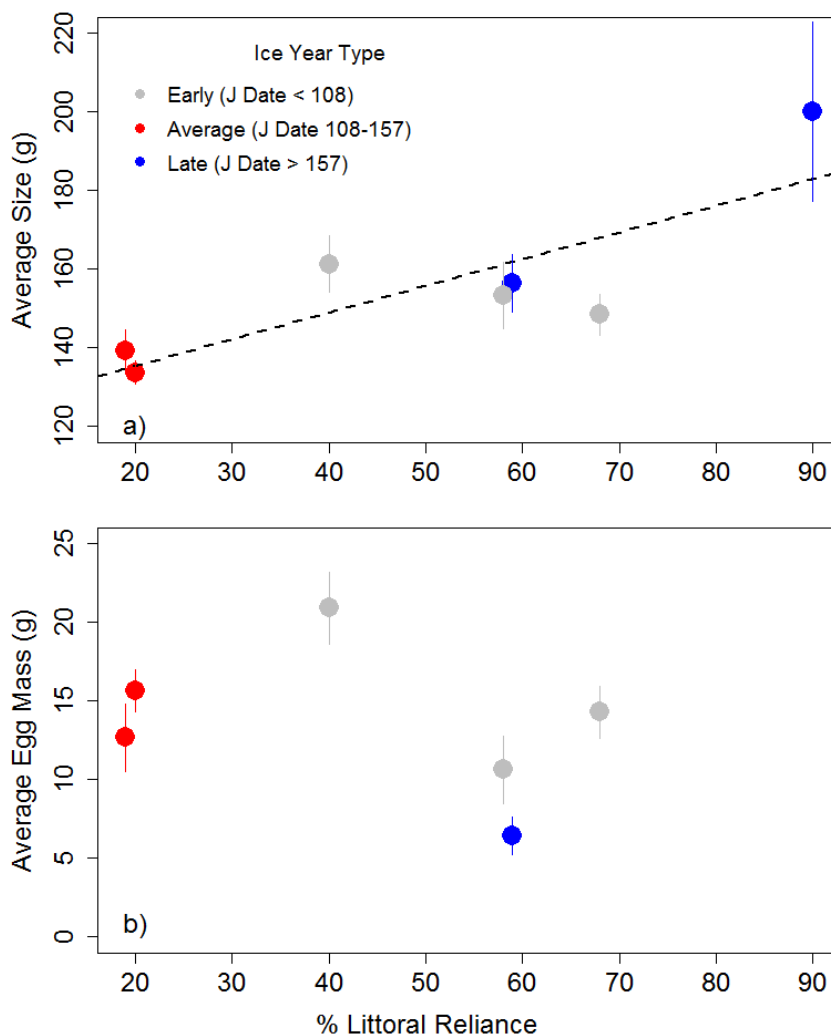
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1567 **Figure 4.** Relationships of mean \pm 1 SD percent reliance on autochthonous (cyanobacterial and
 1568 algal) production and littoral zone production by Brook Trout consumers in each ice year type to
 1569 (a,c) Julian date of ice break-up; and (b,d) number of days littoral zone temperatures exceeded 15
 1570 °C. Relationships for autochthonous carbon were calculated for 2011 to 2016 using AA-CSIA
 1571 and were not significant. Relationships for littoral zone prey and were calculated from 2010 to
 1572 2016 using FRUITS mixing model with bulk tissue and were significant for ice break-up date (
 1573 $R^2 = 0.66$, $p < 0.05$) and water temperature (d, $R^2 = 0.67$, $p < 0.05$).



1574

1575 **Figure 5.** (a) The daily time spent in, (b) number of forays into, and (c) average duration of
 1576 forays into the littoral zone for each tagged Brook Trout in Castle Lake, California. Each black
 1577 dot represents an individual's daily data, blue line represents ice cover, and the red dashed line is
 1578 the littoral zone water temperature. Brook Trout spent significantly (KS test, $p < 0.01$) more time
 1579 in the littoral zone when water temperatures were above 15 °C in the littoral zone.



1580

1581 **Figure 6.** Average \pm SE body size (a) and egg mass (b) of large (> 100 g) Brook Trout in Castle
 1582 Lake, California in various ice year types related to the percent of littoral zone reliance. Dashed
 1583 line indicates a significant relationship between body size and littoral reliance ($R^2 = 0.60$, $p =$
 1584 0.02), no significant relationship was detected for egg mass. Egg mass data was not available for
 1585 2010, one of the late ice break-up years

1586

1587 **CHAPTER 3. Decreased streamflow impacts fish movement and energetics through**
1588 **reductions to invertebrate drift body size and abundance.**
1589

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1591 Timothy J. Caldwell¹, Gabriel J. Rossi^{2*}, Rene E. Henery^{1,3,4}, Sudeep Chandra^{1,4}

1592 ¹ Department of Biology; Ecology, Evolution and Conservation Biology Program, College of
1593 Science, University of Nevada – Reno, Reno, NV, USA

1594 ² McBain Associates – Applied River Sciences, Arcata, CA, USA

1595 ³ Trout Unlimited, Mount Shasta, CA, USA

1596 ⁴ Global Water Center, University of Nevada – Reno, Reno, NV, USA.

1597 *Current affiliation: Department of Integrated Biology, University of California at Berkeley,
1598 Berkeley, CA, USA.

1599 **ABSTRACT**

1600 Streamflow drives ecological processes across multiple trophic levels making it a “master
1601 variable in lotic systems. In mountain systems, especially those which are regulated, increased
1602 frequency of droughts and reductions in snowpack may alter future streamflow regimes and
1603 impact ecological processes. We monitored invertebrate drift abundance, size and diversity as a
1604 function of streamflow. We then related these variables to fish movement and energetic
1605 efficiencies in the Upper Shasta River in California, above and below a large streamflow
1606 diversion. Invertebrate drift biomass was significantly less at impaired flows compared to un-
1607 impaired flows, and average body size of invertebrates decreased with decreasing streamflow.
1608 Generally, fish movement was greater at the impaired flow site (>50% of the time fish were
1609 tracked). Fish movement at the upstream site was negatively related to the size of individual prey
1610 items and amount of prey available, while significant drivers were not detected in the flow-
1611 impaired site. Energetic efficiency was reduced by over 70% when search foraging took place,
1612 and the net rate of energetic intake was below 0 J/s for low-flow periods. Our results suggest that
1613 fish foraging behavior may be influenced indirectly by altered streamflow through changes to

1614 amount and size of invertebrate drift. A shift to foraging behavior, coupled with low food
1615 availability, result in decreased energetic efficiency. Future prescriptions of flow rates to
1616 regulated rivers should account for changes to invertebrate drift, fish behavior and fish energetics
1617 on seasonal time scales.

1618 **INTRODUCTION**

1619 Streamflow is often described as the “master variable” in rivers (Power et al. 1995), and
1620 alteration to it may result in ecological consequences (Bunn and Arthington 2002, Poff and
1621 Zimmerman 2010). Anthropogenic and climatic changes to streamflow may cause changes to
1622 both physical, chemical and biological processes (Figure 1) in mountain systems. At the
1623 ecosystem scale, primary production may be altered due to different winter and low-flow
1624 conditions, resulting in toxic algal blooms (Power et al. 2015). At the community scale, benthic
1625 invertebrate richness often decreases at reduced flow rates (Dewson et al. 2007a). Altered flow
1626 regimes also negatively affect fish communities by reducing fish growth in streams (Harvey et
1627 al. 2006, Wenger et al. 2011, Ruhí et al. 2016, Macnaughton et al. 2017). Given the number of
1628 rivers with altered-flow (Magilligan and Nislow 2005) and the increasing risk of drought that
1629 may cause low streamflow conditions in mountain systems (Vörösmarty et al. 2000), continued
1630 impacts to ecological function are expected (Pyne and Poff 2017).

1631 Size structure, diversity and abundance of invertebrate drift decrease in flow regulated
1632 rivers (Dewson et al. 2007a, Walters and Post 2010, Kennedy et al. 2014). Dewson et al. (2007b)
1633 observed significant declines in community richness of benthic invertebrates after experimentally
1634 reducing streamflow. Reductions in abundance and diversity in benthic habitat translates into
1635 alterations to drifting invertebrates as well (Poff and Ward 1991, Shearer et al. 2003, Kennedy et
1636 al. 2014, Naman et al. 2016). Mixed results have been observed from previous studies that relate

1637 streamflow to drift (Brittain and Eikeland 1988, Poff and Ward 1991, Robinson et al. 2004,
1638 Naman et al. 2016). For example, Poff and Ward (1991) reported that low streamflows increase
1639 drift abundance, which has been attributed to a behavioral response. The authors suggest that low
1640 streamflow decreases wetted habitat and increases competition between invertebrates, causing
1641 them to release into the water column. Alternatively, low streamflows reduce the probability of
1642 catastrophic drift (Gibbins et al. 2007) and may reduce the amount of invertebrate drift (Callisto
1643 and Goulart 2005). Contrary results are routed in the frequency or duration of flow changes,
1644 making it difficult to predict consequences of low streamflow on invertebrate drift abundance.

1645 Reduction of abundance coupled with changes to diversity and size structure of drifting
1646 invertebrates is consequential to fish in lotic systems, but few studies have examined the
1647 cascading impacts of flow rate on invertebrate drift to fish (but see Lagarrigue et al. 2002; Miller
1648 and Judson 2014; Weber et al. 2014). Salmonids typically occupy positions in the river that
1649 maximize their access to drifting prey and minimize their swimming costs (Fausch 1984),
1650 creating a bioenergetically profitable habitat (Fausch, 2014; Hughes et al., 1990; Rosenfeld et al.,
1651 2014). However, reduction in the amount of drifting invertebrates causes a shift from drift-based
1652 to search-based foraging (Fausch et al. 1997, Harvey and Railsback 2014, Larranaga et al. 2018).
1653 A shift in feeding mode that forces salmonids to swim further distances for smaller or fewer prey
1654 items would decrease their energetic efficiency. Salmonids shift behavior when prey are
1655 completely absent (Fausch et al. 1997), but ecologists have neither identified a level of
1656 invertebrate drift abundance that induces that shift, nor how that shift may reduce energetic
1657 efficiency. Here we test a model that streamflow is related to changes (abundance/diversity/size)
1658 to invertebrate drift that affect the energetic efficiencies of trout through foraging behavior.

1659 Stakeholders of regulated rivers are challenged with assessing rivers and prescribing
1660 streamflows that sustain human and ecological needs. A common approach assesses physical
1661 habitat (velocity, depth, substrate and cover) and applies habitat suitability curves (e.g. Physical
1662 Habitat Simulation or PHABSIM). However, short-falls of this approach exist, including
1663 exclusion of food availability, behavior shifts, and emphasis on the physical habitat at the
1664 expense of biological factors (Railsback, 2016; Rosenfeld et al., 2012; Weber et al., 2014). We
1665 quantified the diversity, size structure, and abundance of drifting invertebrates, the behavior of
1666 salmonids, and the subsequent effects on bioenergetic efficiency on the flow-impaired and flow-
1667 unimpaired sections of the Upper Shasta River in northern California. We hypothesized that drift
1668 abundance, size structure and community diversity would decrease with streamflow and be
1669 reduced in the flow-impaired reach. Consequentially, trout movement would increase, suggesting
1670 a shift from drift foraging to search foraging. Lastly, we hypothesized that energetic efficiencies
1671 would be less at the flow-impaired site than flow-unimpaired.

1672 **METHODS**

1673 *Study site:*

1674 The Upper Shasta River is a tributary to the Klamath River in Northern California
1675 (N41°21'; W122°25', elevation = 991 m; Figure 1). A diversion extracts up to 0.85 m³/s (90% of
1676 unimpaired-flow) during the spring and summer months when flow is available (McBain
1677 Associates 2015 unpublished data). Above the diversion, the river is relatively unimpaired and
1678 has one diversion (< 0.01 m³/s) and streamflow peaks at close to 2.54 m³/s during spring runoff
1679 and 0.20 m³/s at summertime low-flows (McBain Associates 2015, unpublished gaging data).
1680 Peak streamflow below the diversion is approximately 1.14 m³/s and drops to a summer time low
1681 of approximately 0.01 m³/s. The river has several small springs that maintain similar (within

1682 1°C) temperatures above and below the diversion. The native fish community includes Rainbow
1683 Trout (*Oncorhynchus mykiss*) and Marbled Sculpin (*Cottus klamathensis*). Non-native Brown
1684 Trout (*Salmo trutta*) were also present. Access was granted to one study location 0.4 km above
1685 the diversion referred to as upstream (control with unimpaired-flow) and one location 0.15 km
1686 downstream of the diversion, (treatment with impaired-flow), referred to as downstream. The
1687 diversion between the reaches is a large (≈ 3 m tall) concrete structure spanning the width of the
1688 river, with a small fish ladder around it and completely blocks streamflow at baseflow outside of
1689 the fish ladder. The diversion has a large forebay behind it that collects most drifting sediment
1690 (McBain Associates, unpublished data), thus there is expected to be little impact of drifting
1691 invertebrates from the upstream site and reach on the downstream site and reach. Each study
1692 location was a single riffle:run:pool unit. The upstream site's topography was heterogeneous
1693 with some large boulders (Figure 1c), while the downstream site was homogenous (Figure 1b)
1694 with cobble sized substrate (Caldwell and Chandra, 2017).

1695

1696 *Streamflow*

1697 Streamflow was continuously measured using a HOBO pressure logger and a stage
1698 discharge rating curve located 0.4 km downstream of the study site, using standard U.S.G.S
1699 methods (Buchanan and Somers, 1969). Discharge at the upstream site was measured seven
1700 times.

1701 *Invertebrate drift:*

1702 Invertebrate drift was quantified bi-monthly between May and August 2015 at both sites
1703 to determine the change in drift as streamflow declined seasonally at impaired and unimpaired-

1704 flows. Each sample was collected with a 45 x 25 cm, 500 μm mesh drift net. To account for
1705 diurnal variation in invertebrate emergence (Brittain and Eikeland 1988, Naman et al. 2016),
1706 samples were collected 3 times daily: 1-2 hr after sun rise, solar noon \pm 1 hr, and 1-2 hr before
1707 sunset. At each site the net was placed in the location most likely to contain foraging fish,
1708 determined by observation of the reach from the shoreline with polarized glasses and scanned for
1709 feeding fish. Velocity was measured with a Marsh-McBirney Flo-Mate at the center of the drift
1710 net just after setting it and just before removing it, and then averaged. After 1 hr, the contents
1711 were sieved through a 250 μm mesh, preserved in 70% ethanol. Preserved samples were sorted
1712 with a dissecting microscope (Meiji EM-20, 10X magnification). Each invertebrate was
1713 identified to family (Thorp and Covich 2010) and measured to the nearest 0.1 mm from using an
1714 ocular micrometer. Mass of invertebrates (mg dry mass) was estimated from published length to
1715 dry mass relationships (Benke et al. 1999, Sabo et al. 2002).

1716 Individuals and biomass per m^3 were calculated by dividing the total biomass and number
1717 of individuals in each sample by the volume that passed through the net. Daily average number
1718 (individuals/ m^3), biomass (mg dry mass/ m^3), and size of invertebrates (mg dry mass) were
1719 computed from the three diurnal collections made at each site. To determine flux, biomass
1720 concentration was multiplied by daily discharge (m^3/day) at each site. We made the assumption
1721 that drift concentration was consistent throughout the study reach to make this calculation, which
1722 is assumed in other drift foraging models (Rosenfeld et al., 2014; Wall et al., 2016).

1723 *Fish movement:*

1724 Rainbow Trout movement was monitored using passive integrated transponders (PIT
1725 tags). Fish were captured using electrofishing and tagged over the course of 6 tagging sessions
1726 between March and April 2015. Fish were anesthetized using CO_2 , and tagged in the body

1727 cavity. Each fish was weighed to the nearest 0.1 g, and fork length was measured to the nearest
1728 0.5 mm. Tagging efforts resulted in marking 147 fish (76 at the upstream site and 71 at the
1729 downstream site). Fifty-seven fish were detected at the upstream site and the number of
1730 detections per fish ranged from 4600 – 1. At the downstream site 45 fish were detected and the
1731 number of detections ranged from 11,579 – 2 per fish. For the analysis of movement, we used
1732 fish that had more than 1,000 detections (9 at the downstream site and 6 at the upstream site) to
1733 ensure there was sufficient data to estimate movement. At the upstream site, fish masses were
1734 3.92, 12.63, 14.46, 15.85, 19.10, 42.96 g. Fish at the downstream site were 3.60, 5.01, 5.80, 8.62,
1735 15.00, 15.21, 17.30, 28.70, and 33.61 g.

1736 Each study site was instrumented with a 6 antenna PIT array (Figure 1b, c). At each site,
1737 the 6 antennae were placed every 1-2 m from the head of the unit to the end of the pool and
1738 covered at least 80% of the wetted width of the river. At the upstream site, monitoring occurred
1739 from April 28, 2015 - May 17, 2015, and from June 8, 2015 - August 20, 2015. At the
1740 downstream site, monitoring occurred April 4, 2017 - May 17, 2017 and from May 31, 2015 –
1741 August 20, 2015. At both sites, high water levels resulted in unmonitored periods, which
1742 required the removal of the array. Additionally, an array malfunction lasted eight days at the
1743 downstream site from June 15, 2015 - June 23, 2015. Data for analysis was used starting on May
1744 5, 2015 for fish movement analysis. This data overlapped with our invertebrate sampling and
1745 encompassed a wide range of streamflow.

1746 The first and last antennae of the instrumented reach was used to determine when a fish
1747 entered and exited the instrumented area. Movement “bouts” were defined as detections at two
1748 different antennae that were < 30 min apart. Detection data were first aggregated by fish,
1749 individual movement bouts were determined by the criteria that: 1) consecutive detections were

1750 not recorded at the same antenna and were < 30 min apart; or 2) that there were no more than
1751 two consecutive detections on the same antenna less than 30 min apart. These criteria were
1752 formed to ensure that detections greater than 30 min apart were considered part of a different
1753 movement pattern, and that if two consecutive detections were made on the same antennae and
1754 within 30 minutes that it was the result of fish moving off that antenna but returning before being
1755 detected at a different antenna. The distance and time of movement bouts were summed by day
1756 for each fish. For statistical comparison with drift rates, individual fish movement was averaged
1757 for the period two days prior until two days after each drift sample.

1758 *Net Rate of Energetic Intake Modeling:*

1759 A drift feeding bioenergetic model (Hughes et al., 1990; Rosenfeld et al., 2009) was used
1760 to estimate differences in fish energetics between sites and between search based and drift based
1761 foraging methods. The bioenergetic approach was a modification of the Hughes and Dill (1990)
1762 model, similar to the Rosenfeld and Taylor (2009) implementation. The drift energetic model
1763 quantifies the amount of energy content (joules) in prey that is drifting in a volume of water
1764 flowing past the foraging fish (considered gross energetic intake; GEI) and estimates the energy
1765 expenditures based on swimming costs (Hayes et al. 2016) and is corrected for capture success
1766 with a modified Holling Disc function and probability of a fish attacking a prey (Rosenfeld et
1767 al., 2009). The energy left over (net rate of energetic intake; NREI) is available to be assimilated
1768 by the fish and used for growth or reproduction. Because drift energy was sampled at the most
1769 likely place for fish to be foraging (see above), we modeled energetics at that location (used
1770 center of drift net as fish's focal point for feeding) and converted biomass to joules (Cummins
1771 and Wuycheck 1971). The model was run for the range of fish sizes observed (7.5, 8.5, 9.5, 10.5,

1772 11.5, and 12.5 cm) at both sites for each sampling period. For specific energetics calculations
1773 please refer to (Rosenfeld et al., 2009).

1774 We ran the model in two modes; first assuming only drift foraging (drift model), second
1775 assuming a shift to search foraging (search model). In the search model run, to account for
1776 increased movement, we took the average percentage of time fish spent in movement patterns
1777 (determined from fish behavior section) and increased the swimming velocity by 20 cm/s, 35
1778 cm/s, and 50 cm/s in the equation 2 (to account for swimming against current at a certain speed)
1779 for 3 model runs to incorporate uncertainty around swimming speed. We assumed that an
1780 increase in movement was the result of a shift from drift-based to search-based foraging, for
1781 which our experimental design did not explicitly test, but has been observed by others
1782 (Larranaga et al. 2018). The range of swimming speed was qualitatively estimated at both sites
1783 using underwater video footage of fish foraging filmed. We also assumed that the amount of
1784 food available to drift feeders and search feeders was equivalent. We made this assumption
1785 based on the relationships between drift and benthic invertebrates (Kennedy et al. 2014).

1786 *Statistical analysis:*

1787 Daily biomass flux, concentration and size of drifting invertebrates, and fish movement
1788 patterns were compared with analysis of covariance (ANCOVA). Streamflow was the covariate,
1789 while biomass flux, invertebrate concentration, size of invertebrates, and fish movement were the
1790 response variables; site was used as the grouping variable. Each variable was log transformed
1791 prior to analysis to better meet the assumptions of homogeneity of variances and normality.

1792 To determine differences in family-based community structure between sites and at
1793 different levels of discharge, we used non-metric multidimensional scaling (NMDS; Kenkel and

1794 Orłóci 1986). Bray-Curtis distances were calculated to determine community dissimilarity
1795 (Clarke 1993) between sites. Analysis of similarity (ANOSIM) was used to test for significant
1796 differences in community composition, and taxon specific differences between communities
1797 were determined using similarity of percentages (SIMPER). All drift community analysis were
1798 done using the R package ‘vegan’ (Oksanen et al. 2016).

1799 An additive linear model was used to test for the effects of site, streamflow, invertebrate
1800 biomass, invertebrate average size, invertebrate diversity, fish size, and fish density on the
1801 movement patterns of fish. We used individual responses of movement for each fish so that
1802 individual characteristics (e.g. size) could be included as predictors. Post-hoc analysis on site
1803 specific effects was done using all variables except site and used only data from each site. The
1804 dredge function was used in the ‘MuMIn’ package in R (Barton 2013) to search for the best
1805 predictors of fish movement. The top 5 models are presented from each dredge (all data,
1806 upstream, downstream) and ranked using a combination of AICc (Burnham and Anderson 2002),
1807 R^2 , adjusted R^2 , and significance (p) values to evaluate effects on fish movement patterns.

1808 **RESULTS**

1809 *Streamflow:*

1810 Streamflow differed significantly (Table 1) between sites. Difference between sites
1811 ranged from 0.3 to 0.6 m³/s during May and early June, to 0.15 m³/s from June 26, 2015 until the
1812 end of the study. Streamflow peaked on approximately May 31, 2015 at both sites, then
1813 decreased until the end of the study (Figure 2 a,b).

1814 *Invertebrate drift:*

1815 The total biomass of drifting invertebrates per day was significantly less (Table 1) at the
1816 downstream site and decreased with streamflow (Figure 2 c,d). There was no significant
1817 difference among sites in the concentration of invertebrate biomass or individuals (Tables 1-2).
1818 However, we did detect an increase biomass concentration with increasing stream flow ($F =$
1819 13.75 , $R^2 = 0.25$, $p < 0.001$). Average size of invertebrates decreased with streamflow at the
1820 unimpaired-flow site, but varied at the impaired-flow site (Figure 2 e,f). However, the variation
1821 in invertebrate size with streamflow at the impaired site was driven by large (>2 mg) Aug 10,
1822 Aug 2015 (1 individual) sampling dates. Without those individuals in the analysis, the
1823 invertebrate size decreases significantly with streamflow ($p < 0.001$, $R^2 = 0.80$).

1824 The dominant taxa by total individuals at both sites were the midges (Diptera:
1825 Chironomidae) and mayflies (Ephemeroptera: Baetidae; Figure 3). However, terrestrial
1826 invertebrates generally made up much of the total biomass at both sites (Figure 4).

1827 NMDS (Figure 3c) suggested groupings of invertebrates for streamflows of > 0.5 , $0.5-$
1828 0.01 and < 0.01 m^3/s (2D stress = 0.07), and were all significantly different ANOSIM ($R = 0.62$,
1829 $p = 0.009$). SIMPER procedures performed between sites at each sampling period indicated that
1830 diversity of invertebrates was 35% dissimilar between sites when streamflow exceeded $0.5 m^3/s$
1831 at both sites, but increased to 70% during and after June 26, 2015 when flow rates at the
1832 downstream site dropped precipitously.

1833 *Fish movement:*

1834 The proportion of time spent moving by fish was significantly higher in the downstream
1835 site than in the upstream site (Table 1, Figure 3). Proportion of time spent moving was low (15%
1836 and 25% in flow-unimpaired and flow-impaired sites, respectively) in the early spring (May 4,

1837 2017 to May 17, 2017), but increased throughout the summer at both sites (Figure 2 g,h). Both
1838 proportion of time and total distance moved by fish were estimated and were directly correlated.
1839 We elected to use proportion of time moving as opposed to distance moved to better apply to
1840 energetics foraging.

1841 Additive linear modeling suggested that when data from both sites was grouped, site and
1842 size has the largest effect on fish movement (Table 3), where being at the upstream site and
1843 larger prey resulted in less movement by fish. The second-highest-rated model included the
1844 terms total invertebrate biomass, streamflow, site and size, where increases to biomass and
1845 streamflow both had negative effects on fish movement (Table 3). We did not detect any
1846 significant relationships between fish size and movement patterns.

1847 To determine any site-specific patterns, we did a post hoc linear modeling exercise,
1848 which only included data from each site. At both sites, invertebrate size was related to fish
1849 movement; however, it was not a statistically significant effect at the downstream site (Table 3).
1850 At the upstream site, size of invertebrates, streamflow and biomass all had significant negative
1851 effects on fish movement (Table 3). The diversity of invertebrates, size of fish, and number of
1852 fish in each pool did not have any significant effects on fish movement, but effects for those
1853 variables were examined.

1854 *Net Rate of Energetic Intake Modeling:*

1855 The percent change in NREI between the drift model and the search model was lower at
1856 the upstream site compared to the downstream (Table 4). The highest changes in NREI in the
1857 search model occurred for the largest fish at both sites and during the later summer months (July
1858 and August). The percent reduction of NREI between the drift and search model varied with the

1859 swim speed parameter. The percent reduction of NREI between the two models from the lowest
1860 swim speed (20 cm/s) was 27% of that which was predicted by the highest swim speed (50 cm/s;
1861 Table 4).

1862 We assumed that search model was more accurate than drift only because we monitored
1863 fish movement, thus we present NREI from that model. Additionally, we chose the middle swim
1864 speed model and assumed that it represented an average swim speed by search foraging fish.

1865 The NREI of fish intake peaked during early spring (May 31, 2015 and June 8, 2015), at
1866 1-2 J/s (Figure 4). For fish of all sizes and at both sites, NREI decreased precipitously with
1867 streamflow at both sites from early spring to mid- and late summer (end of June through August;
1868 Figure 5). At the downstream site NREI was negative from July 7, 2015 for fish 11.5 and 12.5
1869 cm until the end of the study, and negative for all other sizes of fish from July 28, 2015 until the
1870 end of the study (Figure 4).

1871 **DISCUSSION**

1872 Stream ecologists recognize that changes to the flow regime can manifest across trophic
1873 levels, but it is difficult to quantify. Our results suggest that decreased streamflow can reduce the
1874 total biomass and size of invertebrate drift, which may alter fish foraging behavior and decrease
1875 energetic efficiency. These findings add to a newly formed body of data that: 1) examines the
1876 impacts of flow-altered rivers across trophic levels; and 2) suggests that managers of diverted
1877 rivers should move towards an integrated approach that examines physical habitat, biological
1878 resources and individual behavior (e.g. Harvey and Railsback 2014; Hayes et al. 2016).

1879 *Invertebrate drift:*

1880 Invertebrate drift, driven by time of day, temperature, habitat and streamflow, plays a
1881 critical role in the energetics of rivers (Brittain and Eikeland 1988, Naman et al. 2016, 2017).
1882 For example, in the Colorado River, Kennedy et al. (2014) reported increases to the
1883 concentrations of *Gammarus* and *Chironomidae* during increased streamflow. Our results were
1884 similar, and we detected a statistically significant increase in the concentration of drift biomass
1885 with increasing streamflow. We further analyzed our results in biomass flux per unit time
1886 (Naman et al. 2017) because looking at concentration alone does not account for the total amount
1887 of food available. Our findings suggest that total drift was comparable between sites at higher (>
1888 0.75 m³/s) streamflow. However, at lower streamflow (< 0.5 m³/s) at the downstream site, the
1889 amount of drift decreased substantially. The mechanism causing the reduction in drift was not
1890 determined specifically in this study; however, possibilities include: 1) life history timing resulted
1891 in emergence from the benthic habitat before streamflow decreased, so they can disperse
1892 effectively (Brittain and Eikeland 1988, Naman et al. 2016); or 2) that low streamflow reduces
1893 the transport potential between productive riffles and the pools where we measured drift (Naman
1894 et al. 2017). We suggest the latter, as we observed few individuals in the drift samples at low
1895 streamflow, but higher biomass concentrations that were driven by a few large individuals swept
1896 up in the drift from shoreline slackwaters (e.g. water striders, Gerridae) or terrestrial
1897 environments (e.g., ants and other Hymenoptera).

1898 The average body size of drift can be important to fish foraging strategy and the distance
1899 at which they capture prey (Hughes and Dill 1990, Dodrill et al. 2016). Our results suggest that
1900 average body size of drift at both sites decreased with streamflow (when excluding outliers from
1901 downstream site). Naman et al. (2017) suggested that invertebrate drift in riffle habitat were
1902 significantly larger than those in pool habitat. We believe a similar mechanism may be

1903 functioning here, where the size of drifting invertebrates decreased because of a disconnection
1904 with the riffle habitat at lower streamflow.

1905 Our results provide initial evidence to suggest that the community of drift in the Upper
1906 Shasta River differs between sites when streamflow is $< 0.5 \text{ m}^3/\text{s}$ and becomes more dissimilar as
1907 streamflow decreases. These results reinforce findings of previous studies where diversity
1908 decreased with streamflow (Wooster et al. 2016). The negative correlation between drift
1909 diversity and streamflow may be a direct reflection of species presence in the benthic habitat,
1910 which is influenced by streamflow (Dewson et al. 2007b, Walters and Post 2010, Hille et al.
1911 2014, Kennedy et al. 2014). While numerous other mechanisms are plausible, streamflow is the
1912 underlying cause of each of them, suggesting that alterations to streamflow will typically result
1913 in changes to community diversity of invertebrate drift in rivers (Wooster et al. 2016).

1914 *Fish movement:*

1915 Drift feeding salmonids have been shown to alter feeding behavior in the absence of
1916 drifting prey, shifting from drift based to search-based foraging (Fausch et al., 1997; Harvey and
1917 Railsback, 2014) and increase movement in decreased streamflow (Larranaga et al. 2018). Our
1918 results suggest that when streamflow is similar among sites, time that fish spent moving is
1919 comparable. However, when there is decreased streamflow at the flow-impaired site, with less
1920 drifting invertebrate biomass available per day, fish movement was significantly higher
1921 (downstream vs upstream).

1922 The average size of invertebrate drift had the most significant effect on the movement of
1923 fish. This mechanism is plausible in the Upper Shasta, as the ability of fish to detect prey items
1924 decreases rapidly with size (Hughes et al., 1990, 2003). Total available drift biomass and

1925 streamflow were also important. As total drift rate declines, drift foraging fish with previously
1926 high focal point fidelity switch to search foraging (Fausch et al. 1997). Thus, our results suggest
1927 that streamflow may impact fish movement both directly (through habitat changes) and indirectly
1928 through the reduction of flux and body size of invertebrate drift. Interestingly, invertebrate
1929 community diversity or fish size was not important in fish movement, which suggests that
1930 neither prey preference nor size based fish hierarchy is a driver for fish movement at our study
1931 sites.

1932 As expected, all effects of size, streamflow, and biomass were significant for fish
1933 movement at the upstream site. This was similar to results from Fausch et al. (1997) and Hughes
1934 et al. (2003) where decreased or removal of invertebrate drift caused fish to alter foraging
1935 behavior to find additional food. When compared to upstream sites, fish present below the
1936 diversion moved significantly more following diversion than they did upstream. However, the
1937 drivers of fish movement were difficult to identify at the downstream site as no statistically
1938 significant effects were detected. We suggest a few possible explanations. First, while we
1939 selected sites that were as similar as possible (excluding streamflow) the downstream site's
1940 topography was more homogenous, indicating more of a pool habitat than the upstream site. Fish
1941 have been shown to forage differently among habitats (Rosenfeld et al., 2009; Vondracek et al.,
1942 1993) and causes of invertebrate drift may also vary among sites with differing geomorphology
1943 (Naman et al. 2016). Given our observed difference in drivers between sites and the important
1944 role that geomorphic patches play in river ecology (Thorp et al. 2006), we suggest that future
1945 studies should replicate fish movement/behavioral studies in multiple habitats at various
1946 streamflow to help distinguish how habitat may interact with fish movement and streamflow.

1947 *Net Rate of Energetic Intake Modeling:*

1948 NREI models are useful for identifying changes in the potential growth rates of fish as a
1949 function of food availability, velocity and depth (Fausch 2014, Piccolo et al. 2014). First, our
1950 NREI model suggests that estimated changes in movement reduce energetic efficiencies by more
1951 than 70% in the flow-impaired site. Second, our results show that NREI decreases with
1952 streamflow and season, driven by the reduction of food availability, velocity, and increased
1953 movement.

1954 The first set of NREI modeling results suggest that search-based foraging can reduce
1955 energetic efficiencies when compared to only drift foraging at a flow-impaired site. Similar
1956 results were found in individual-based model results that included search-based foraging (Harvey
1957 and Railsback, 2014). In other research, Rosenfeld and Raeburn (2009) found increased growth
1958 rates among fish that drift foraged in riffle habitat when compared to pool habitat where the
1959 authors observed search foraging. Our results suggest that the size of invertebrate prey is a strong
1960 predictor of fish movement and decreases with streamflow and season. Therefore, we conclude
1961 that decreased streamflow can drive a smaller average size of prey, alter foraging behavior of
1962 trout and reduce energetic efficiencies.

1963 The second set of results from our NREI modeling suggests that when streamflow is
1964 similar between sites, fish energetics are also comparable, which is related to similar movement
1965 patterns driven by food availability and food size. However, when the streamflow is reduced
1966 below natural conditions energetic efficiencies can go below zero, and is especially important in
1967 late summer baseflows when food availability is low. Food availability drives NREI models
1968 (Harvey et al., 2014; Hayes et al., 2016; Rosenfeld et al., 2009). However, the causes of its
1969 availability is not well understood (Naman et al. 2016) and varies among habitats and systems
1970 (Naman et al. 2017). We embraced this variability by including it in our model as error.

1971 Furthermore, we determined that food availability decreases with streamflow and season, which
1972 causes negative energetic efficiencies in flow-impaired areas during the late summer. Future
1973 research questions should address how NREI varies among habitat types and seasons so that
1974 streamflow to NREI relationships may be inferred at the population level.

1975 Drought, coupled with diversions causes stress to the ecology of headwater streams
1976 (Vörösmarty et al. 2000). Our data suggest that when streamflow is similar in impaired and non-
1977 impaired reaches food availability to fish, fish movement, and fish energetics are comparable,
1978 and it is only when significant reductions to streamflow are made to the impaired site are
1979 differences observed. We conclude that reductions in streamflow cascades across trophic levels,
1980 altering the abundance and size of invertebrate drift, which increases movement of drift foraging
1981 fish and decreases energetic efficiency of fish in flow-impaired rivers. Our data support the
1982 incorporation of food availability, energetics and behavior when prescribing flow rates
1983 (Railsback, 2016; Rosenfeld et al., 2012).

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2146 species under climate change. *Proceedings of the National Academy of Sciences of the*
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2149 invertebrate drift composition and concentration. *Hydrobiologia* 772:15–30.

2150

2151

2152 **TABLES**

Table 1. Comparisons of streamflow, invertebrate biomass, invertebrate concentration, invertebrate average size, and proportion of fish movement between sites at the Upper Shasta River. Results are from ANCOVA tests, with site as the grouping variable.

Parameter	Covariate	F	df	p-value
Streamflow	Time	57.89	39	< 0.001
Biomass	Streamflow	64.9	39	< 0.001
Concentration (drymass)	Streamflow	2.34	39	0.13
Concentration (individuals)	Streamflow	0.11	39	0.74
Size	Streamflow	1.73	39	0.19
Fish Movement	Streamflow	9.71	77	< 0.001

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2154

Table 2. Concentrations of invertebrate drift biomass and individuals in the Upper Shasta River, CA at sites upstream and downstream of the diversion dam. Values are the mean and standard error (SE) of 3 samples collected each sampling day (1-2 hours after sunrise, solar noon \pm one hour, and 1-2 hours before sunset). No statistical differences were detected between sites (ANCOVA, $p > 0.05$).

Date	Upstream			Downstream		
	Streamflow m ³ /s	mg drymass/m ³ \pm SE	ind/ m ³	Streamflow m ³ /s	mg drymass/m ³ \pm SE	ind/m ³
17-May-15	0.53	0.88 \pm 0.01	2 \pm 0	0.24	1.44 \pm 0.56	3 \pm 0
31-May-15	1.03	2.17 \pm 0.43	4 \pm 0	0.74	1.56 \pm 0.22	4 \pm 0
8-Jun-15	0.89	3.34 \pm 0.7	8 \pm 1	0.6	2.27 \pm 0.32	8 \pm 1
26-Jun-15	0.15	2.81 \pm 0.73	12 \pm 1	0.01	2.01 \pm 0.82	29 \pm 18
7-Jul-15	0.17	1.41 \pm 0.13	6 \pm 1	0.02	0.77 \pm 0.35	5 \pm 2
28-Jul-15	0.16	0.66 \pm 0.04	5 \pm 2	0.01	0.001 \pm 0.001	0 \pm 0
10-Aug-15	0.15	0.25 \pm 0.15	5 \pm 4	< 0.01	0.04 \pm 0.02	1 \pm 0

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2156

Table 3. Top predictors of the proportion of time spent moving by fish among sites and at each site in the Upper Shasta River, CA.

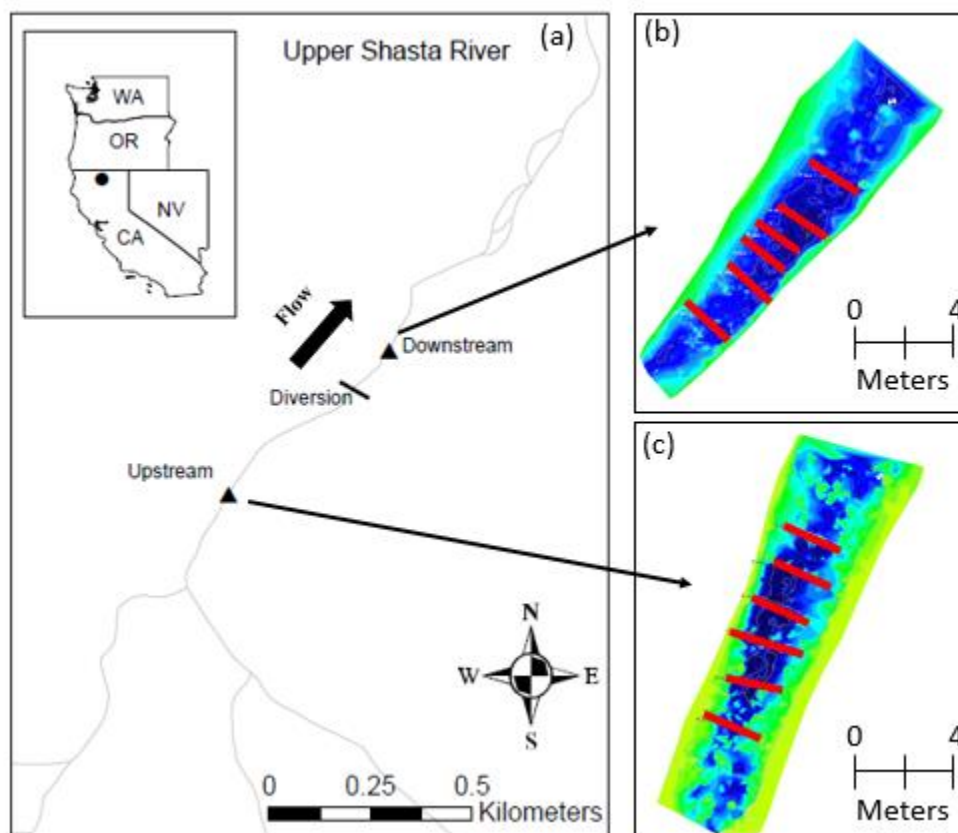
Model	Intercept	R ²	R ²			
			Adjusted	AICc	Δ AICc	<i>p</i>
All Data						
Site + Size	-0.1952	0.24	0.22	36.6	0	< 0.000
Biomass+Streamflow+Site+Size	0.8869	0.27	0.23	38	1.34	< 0.001
Streamflow + Site +Size	-0.1113	0.24	0.21	38.5	1.84	< 0.001
Site	-0.3769	0.2	0.18	38.8	2.21	< 0.001
Biomass+Site+Size	-0.1986	0.24	0.52	38.9	2.28	< 0.001
Upstream (Control)						
Size	-0.0914	0.2	0.17	33	0	0.01
StreamFlow	-0.9824	0.18	0.15	33.6	0.6	0.02
Biomass	0.3516	0.15	0.11	34.6	0.6	0.04
Biomass + Size	-0.0131	0.2	0.13	35.7	2.7	0.06
Streamflow+Size	-0.2258	0.2	0.14	35.7	2	0.06
Downstream (Treatment)						
Size	-0.377	0.02	0	-20.2	0	0.37
Biomass	-0.3206	0.001	0	-19.5	0.7	0.78
Streamflow	-0.368	0.001	0	-19.5	0.7	0.81
Streamflow + Size	-0.2454	0.03	0	-18.7	1.5	0.46
Biomass + Size	-0.3715	0.03	0	-18.5	1.7	0.51

2157 **Table 4.** Range of percent reductions of modeled NREI between models that include search
 2158 foraging and those that are drift only. Range is presented as the minimum % reduction (20 cm/s
 2159 swimming speed) to the maximum % reduction (50 cm/s swimming speed).

		% Reduction in NREI ($J s^{-1}$)							
		Date	5/17/2015	5/31/2015	6/8/2015	6/26/2015	7/7/2015	7/28/2015	8/10/2015
		Upstream							
Size (cm)	7.5	-1.9 to -7.2	-0.4 to -1.3	-0.2 to -0.7	-0.3 to -1.0	-0.6 to -2.2	-3.0 to -11.1	-7.0 to -26.0	
	8.5	-1.5 to -5.5	-0.3 to -1.2	-0.2 to -0.7	-0.3 to -1.1	-0.7 to -2.5	-3.3 to -12.3	-7.8 to -29.0	
	9.5	-1.2 to -4.5	-0.3 to -1.2	-0.2 to -0.7	-0.3 to -1.2	-0.8 to -2.8	-3.8 to -14.1	-9.1 to -33.9	
	10.5	-1.1 to -4.0	-0.3 to -1.3	-0.2 to -0.7	-0.4 to -1.4	-0.9 to -3.3	-4.5 to -16.8	-11 to -41.1	
	11.5	-1.0 to -3.6	-0.4 to -1.4	-0.2 to -0.7	-0.5 to -1.7	-1.1 to -3.9	-5.5 to -20.5	-13.9 to -51.8	
	12.5	-0.9 to -3.5	-0.4 to -1.6	-0.2 to -0.8	-0.5 to -2.0	-1.3 to -4.8	-6.9 to -25.6	-13.4 to -67.8	
		Downstream							
Size (cm)	7.5	-0.7 to -2.6	-1.5 to -5.8	-0.7 to -2.4	-1.3 to -5.0	-9.8 to -36.5	-25.5 to -94.9	-32.8 to -122.3	
	8.5	-0.7 to -2.5	-1.2 to -4.4	-0.6 to -2.1	-1.6 to -5.9	-12.0 to -44.6	-25.5 to -94.8	-32.6 to -121.3	
	9.5	-0.7 to -2.5	-1.0 to -3.6	-0.5 to -2.0	-1.9 to -7.1	-15.2 to -56.5	-25.5 to -94.8	-32.3 to -120.2	
	10.5	-0.7 to -2.7	-0.9 to -3.2	-0.5 to -2.0	-2.3 to -8.6	-20.0 to -74.3	-25.5 to -94.8	-32.0 to -119.3	
	11.5	-0.8 to -2.9	-0.8 to -3.0	-0.5 to -2.0	-2.8 to -10.6	-27.5 to -102.5	-25.4 to -94.7	-31.8 to -118.4	
	12.5	-0.9 to -3.3	-0.8 to -3.0	-0.6 to -2.2	-3.5 to -13.2	-40.7 to -151.6	-25.4 to -94.7	-31.6 to -117.7	

2160

2161 FIGURES

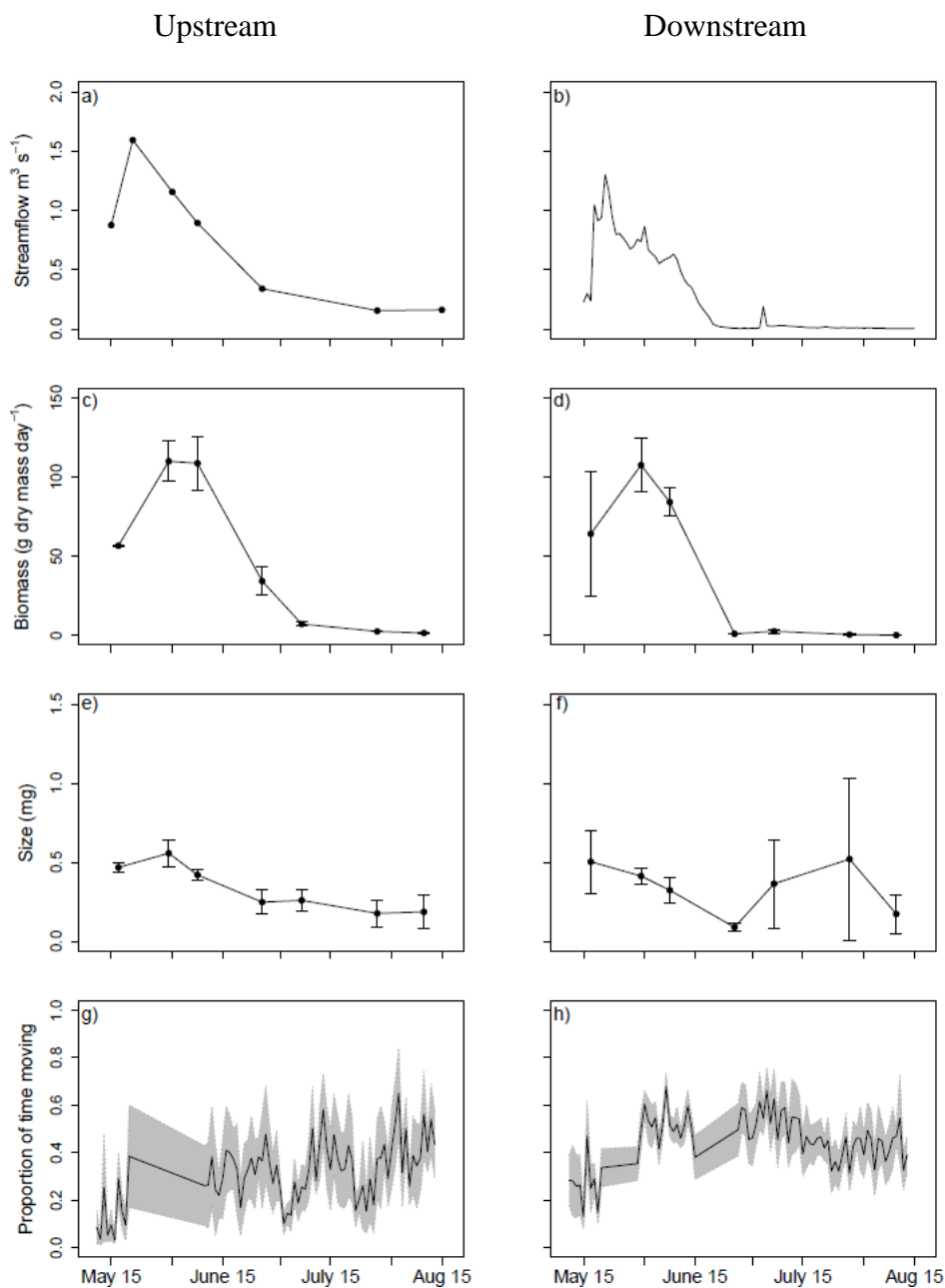


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2163 **Figure 1.** Panel a, Upper Shasta River, located in Northern CA. Triangles denote the location of
 2164 each study site. Upstream is the unimpaired-flow site, and downstream is flow-impaired site.

2165 Panels b (downstream) and c (upstream), topographic maps of study sites and locations of PIT
 2166 antennae (red lines) at each site. Darker blue is lower elevations while greener is higher
 2167 elevations, these figures do not represent the wetted width of the river, rather they represent the
 2168 entire topography of each site.

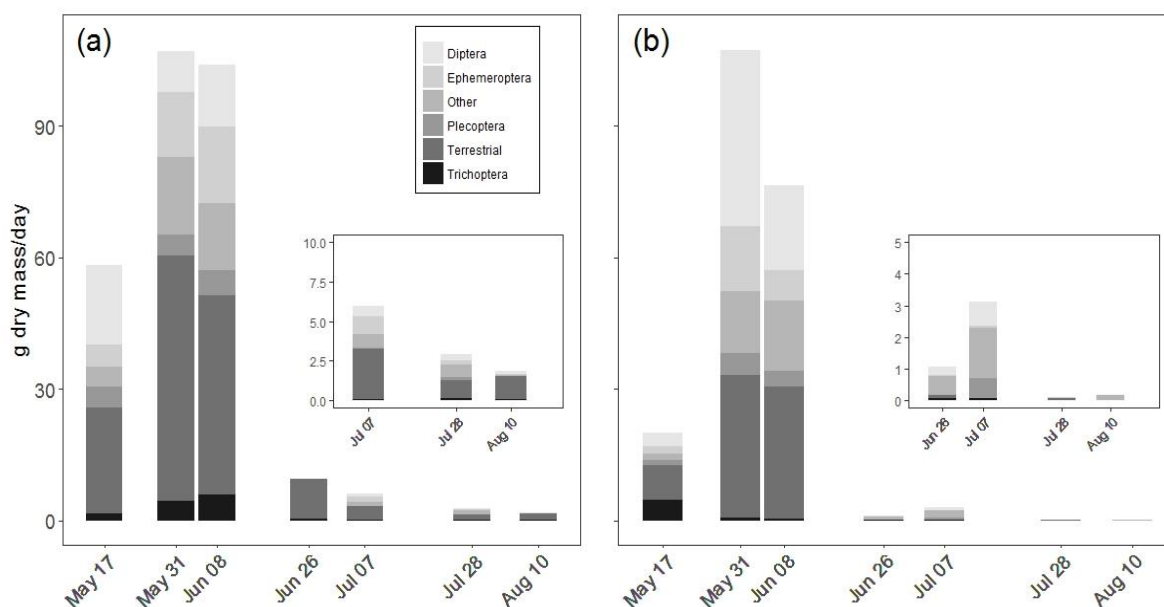
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2170

2171 **Figure 2.** Streamflow (a,b), daily invertebrate drift biomass (c,d), average size of invertebrate
 2172 drift (e,f), and fish movement (g,h) in the Upper Shasta River, CA during 2015. Data from
 2173 upstream site is on the left-hand column and data from downstream site is from the flow
 2174 impaired site is in the right-hand column. Streamflow for the unimpaired-flow site was collected
 2175 periodically from at each sampling period, while streamflow from the impaired flow site (b) was
 2176 monitored continuously by a stream discharge gage. Means and standard errors are presented for
 2177 daily invertebrate drift biomass and average size of invertebrates. Daily average (black line) and
 2178 standard error (grey shade) of the proportion of time spent moving by tagged fish, straight lines
 2179 on each graph represent times that the array was malfunctioning or not in place due to high
 2180 water.

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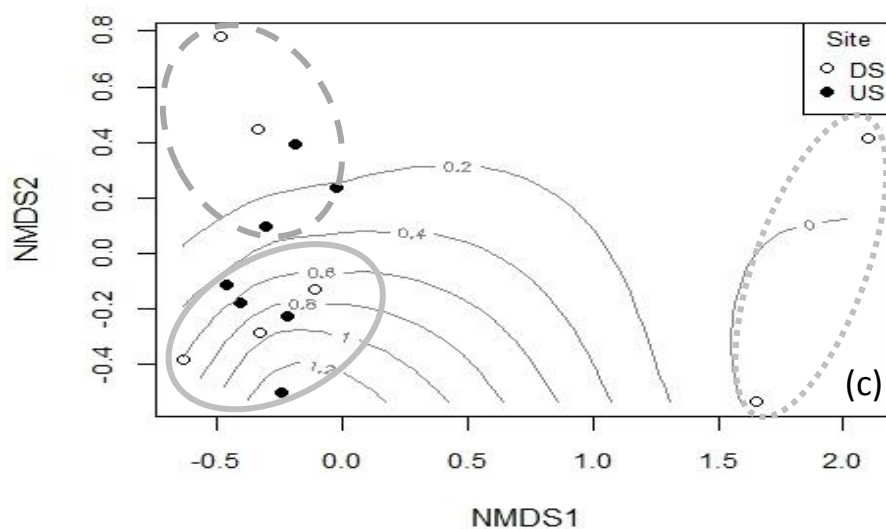
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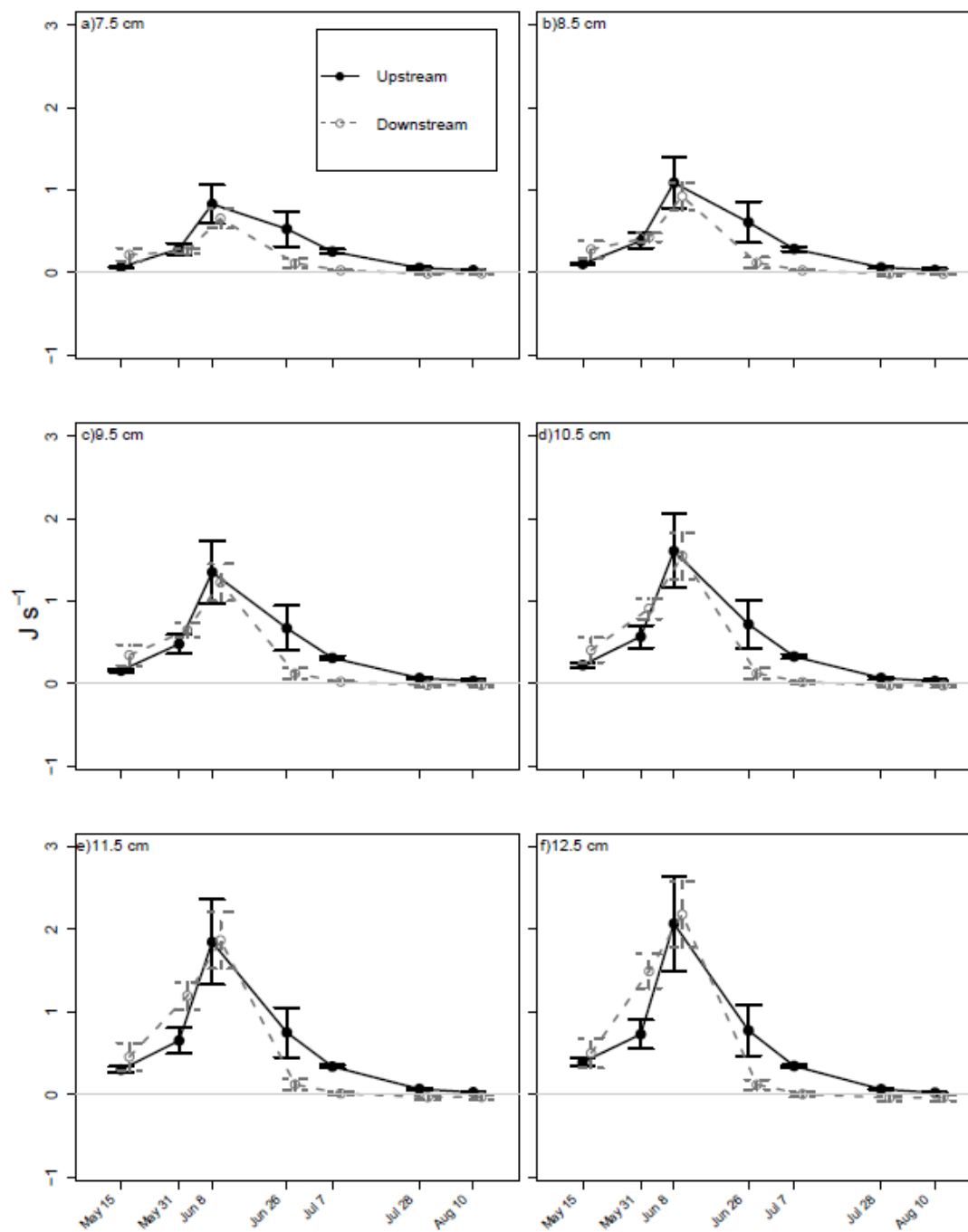


2192 **Figure 3.** Invertebrate diversity in the Upper Shasta River, CA during 2015. Panel a and b: bar
 2193 charts showing the contribution of each taxon to the community biomass at the: (a) upstream
 2194 site; and (b) downstream site. Insets are zoomed in on the dates with low biomass for better
 2195 visualization. Panel c: Non-metric multi-dimensional scaling ordination of all invertebrate drift
 2196 samples by family level (2D stress = 0.07). Contours indicate the discharge (m^3/s^{-1}) that each
 2197 sample was taken, groupings were made between at >0.5 (solid line), $0.5\text{-}0.01$ (dashed line), and
 2198 <0.01 (dotted line) m^3/s (ANOSIM, $R = 0.62$, $p = 0.009$).

2199

2200

2201



2202

2203 **Figure 4.** Net-rate of energetic intake (NREI) of fish of different sizes from unimpaired (gray
 2204 dashed line) and impaired (solid line) streamflow sites in the Upper Shasta River, CA during
 2205 2015. Panels represent the size class of fish. NREI was modeled using a drift foraging model
 2206 (Hughes and Dill 1990), and adjusted for shifts in fish behavior using the 35 cm/s average
 2207 swimming speed model.

2208 APPENDIX A. Chapter 1 Supplemental Material 1

2209

2210 METHODS

2211 Ice formation was determined using the same remote sensing methods that were used for
2212 ice break-up on the same lake data set. Lake physical (watershed size, surface area, etc) and
2213 climatic variables (air temperature, precipitation, etc) were acquired from the U.S.G.S
2214 hydrography data set and from GRIDMET (Abatzoglou 2013), respectively. Climate data
2215 variables were summed from October 1st to December 31st, because the most commonly
2216 observed ice formation dates were in November and December. We assumed that Fall conditions
2217 would dictate ice formation based on observations by Shuter et al. (2013) and that was not
2218 logical to include climate variables that accumulate after ice formation.

2219 Drivers of ice formation were determined using a similar approach that was used for ice
2220 break-up. A subset of lakes was randomly removed from the data set to create a training data set,
2221 while the remainder was used as test data set. Castle Lake was not used in the test data set
2222 because only 5 confirmed ice formation dates were available. A Random Forest (Breiman 2001,
2223 Liaw and Wiener 2002) algorithm was implemented using surface area, deliver ratio, elevation,
2224 latitude, watershed area, snow fraction, air temperature (summed as the total degree days below
2225 0 °C), solar radiation, and wind speed. Predictive models for ice formation were developed using
2226 LMEM with the same stepwise model development and comparison methods that were used for
2227 ice break-up.

2228 RESULTS

2229 *Drivers of ice formation:*

2230 Random forest identified surface area, solar radiation and snow fraction in Fall as the
2231 most important variables (Figure A1). Smaller lakes, with higher snowfall and less solar
2232 radiation drove earlier ice out dates. Elevation and delivery ratio had similar percent decrease in

2233 MSE, compared to solar radiation (Figure A1) and the remaining variables decreased in their
2234 importance. Partial dependence plots indicated that the variables for predicting ice formation
2235 were less important than those which predicted ice break-up (Figure A2). The effect of single
2236 variable permutations effected ice formation date by a maximum of 10 days, while the same
2237 analysis effected ice break-up by a maximum of 50 days (Figure B2).

2238 *Model development and forecasting:*

2239 The base LMEM using the top 3 predictors from the Random Forest analysis (surface
2240 area, solar radiation, and snow fraction) had significant univariate and interactive effects ($p <$
2241 0.01), but had the highest AIC score (Table S1). We added additional covariates stepwise to
2242 identify any improvements in model performance. We also added covariates to a model that
2243 included temperature, given the frequent use of temperature in other ice formation models (e.g.
2244 Gao and Stefan 1999; Shuter et al. 2013). Evaluation of all model iterations, showed that the top
2245 model included surface area, temperature, snow fraction and solar downward radiation but model
2246 iterations were within 16 AIC units of each other (Table A1). We selected the model which used
2247 surface area, snow fraction and temperature for prediction. We did not use solar radiation as a
2248 term for prediction in our model because the AIC scores were only within 1 unit of the that did
2249 not include solar radiation, and forecasting solar radiation using GCM's is not common, making
2250 the model less useful for prediction.

2251 Model performance was evaluated using the test lake data set, and had a RMSE of 14
2252 days, an absolute mean error (\pm standard deviation) of 10 ± 8 days. While the error on our model
2253 prediction was less than that of our ice break-up model, it was much higher than other models of
2254 ice formation (2 days; Shuter et al. 2013). In addition, the slope of our relationships between
2255 observed to predicted values was an average of 0.16 indicating that predictions outside of the

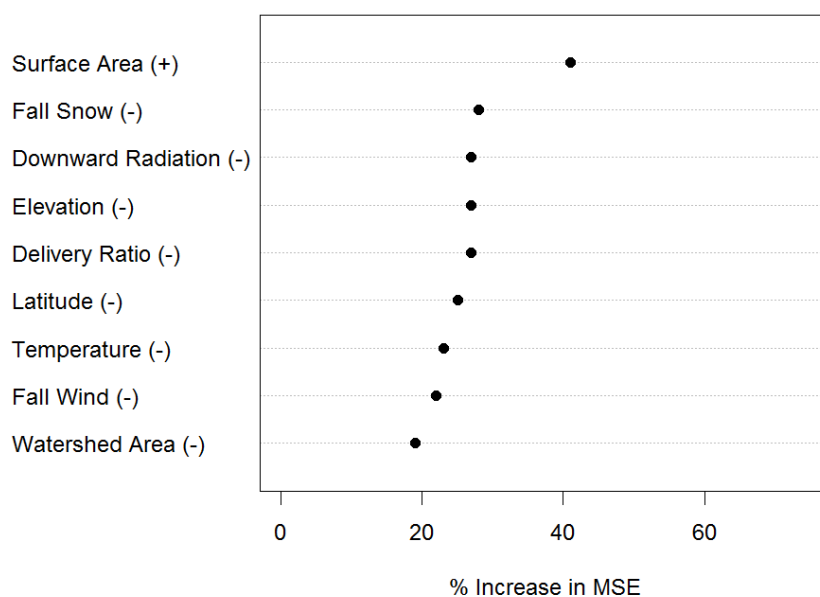
2256 mean were not accurate. Given our results, we did not predict ice formation dates using GCM
 2257 projected climate data.

2258

2259 **Table A1.** Performance of linear mixed modeling results for ice formation, models are organized
 2260 by AIC score. Fixed effects are listed in the Model column, all models included a random
 2261 intercept term for lake identity. The bolded model was selected for projection of ice formation.
 2262 See text for rationale on model selection.

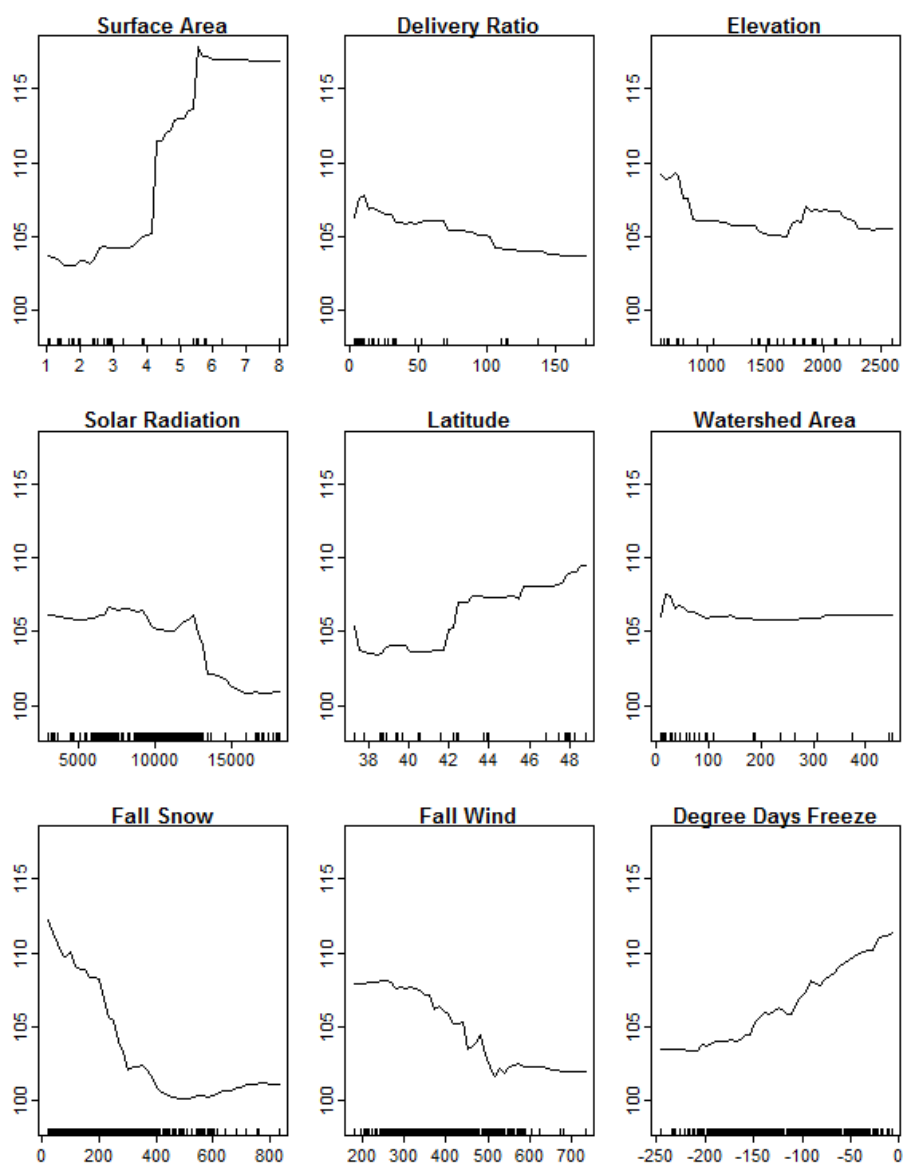
Model	AIC	Log Likelihood	R ² (corrected)
Surface Area*Temperature*Snow*Solar Radiation	973	-468	0.61
Surface Area*Temperature*Snow	974	-477	0.46
Surface Area* Temperature	977	-483	0.37
Surface Area*Temperature*Snow*Elevation	977	-471	0.36
Surface Area	985	-487	0.27
Surface Area*Snow	985	-489	0.2
Surface Area*Snow*Solar Radiation	988	-484	0.42

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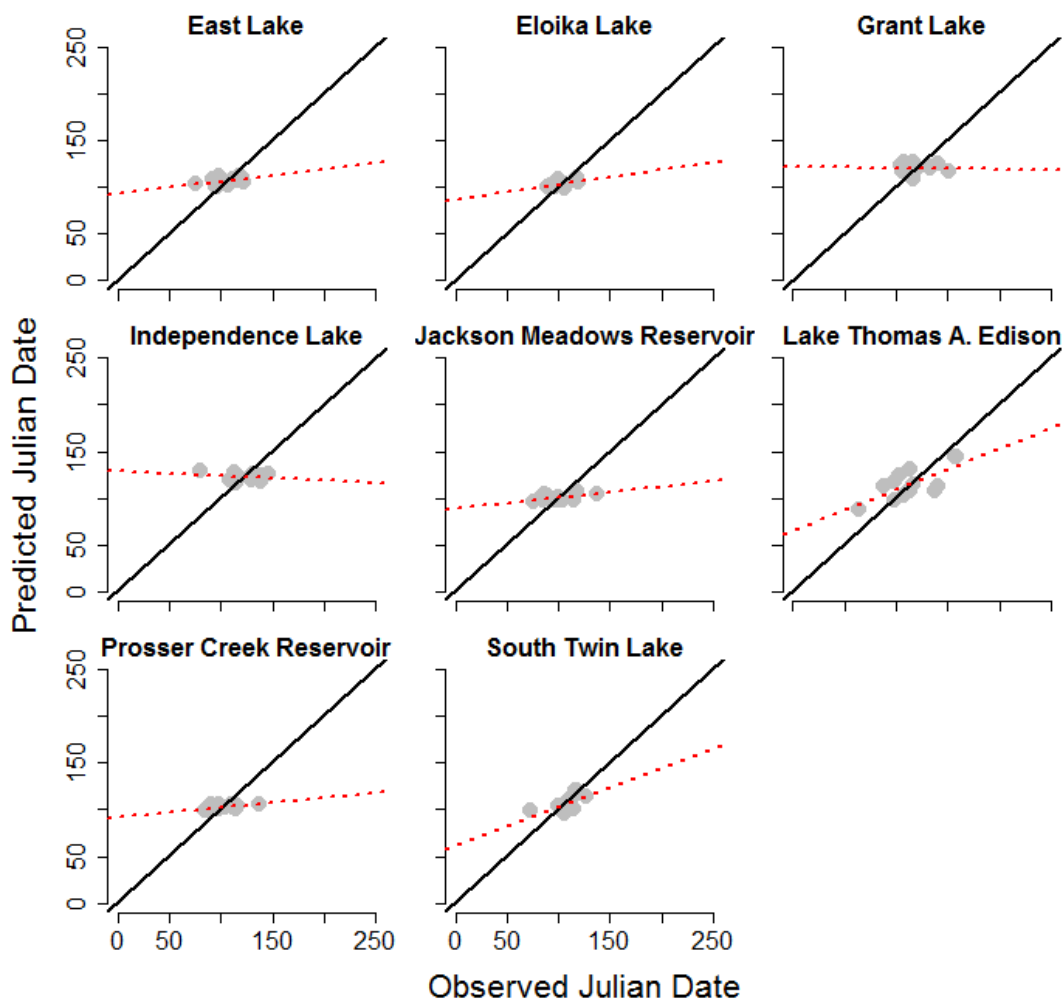


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2265 **Figure A1.** Variable importance plot from random forest analysis describing the drivers of ice
 2266 formation in mountain lakes of the Western United States. Variables are listed in descending
 2267 order of importance from top to bottom. The x-axis describes the decrease in model performance
 2268 when omitting a given variable, larger values indicate higher importance. Positive signs indicate
 2269 that an increase in the variable results in later ice formation date, while negatives indicate that an
 2270 increase in the variable results in earlier ice formation date.



2271
 2272 **Figure A2.** Partial dependence plots showing the relationships of the all predictor variables
 2273 (ordered in descending order of importance left to right, top to bottom) to the ice formation days
 2274 from September 1st from random forest analysis. Partial dependence plots are the results of the
 2275 model if all other variables are held at their median value.



2276

2277 **Figure A3.** Regression of predicted to observed days since September 1 of ice formation from
 2278 the test lakes predicted by the base linear mixed model (terms = snow
 2279 fraction*temperature*surface area). The black regression is the 1:1 line. RMSE was 14 days,
 2280 mean (\pm standard error) and were 10 ± 8 days. The mean slope was 0.16. Castle Lake was not
 2281 used in the ice formation test date set due to lack of data.

2282

2283 **APPENDIX B. Chapter 1 Supplemental Material 2**

2284

2285 **Table B1.** List of lakes and lake characteristics used in identifying drivers of mountain lake ice
 2286 break up, development of linear mixed effects models and projections of ice break up under
 2287 climate change scenarios. Surface area in km², elevation in m, latitude in degrees N, mean and
 2288 coefficient of variation for Julian date of ice off, inter-annual average of the sum of daily snow
 2289 fraction, and inter-annual average of the sum of daily average temperature from 2001 – 2017.

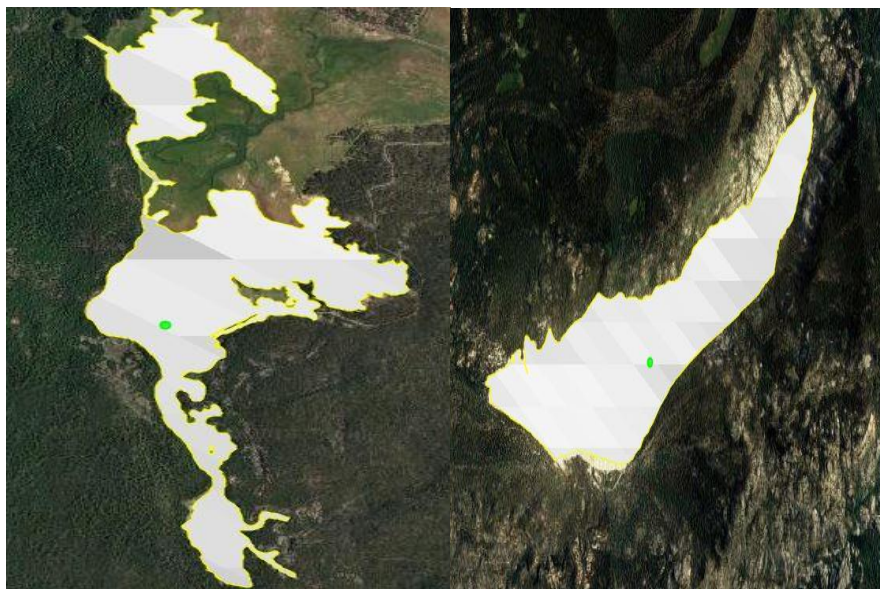
Lake	Surface		Latitude	Mean Ice		Average	Average
	Area	Elevation		Off	CV Ice Off	Snow Fraction	
Blue Lake	1.41	649	47.4845	46	0.51	161	672
Blue Lake, Upper	1.35	2319	38.6320	150	0.13	776	142
Boca Reservoir	3.91	1597	39.4036	76	0.28	251	484
Bumping Lake	5.48	1044	46.8469	107	0.19	606	120
Calispell Lake	1.94	620	48.2735	83	0.24	122	502
Caples Lake	2.43	2222	38.6987	137	0.17	706	308
Castle Lake	0.20	1657	41.2270	130	0.22	251	130
East Lake	3.92	1945	43.7280	136	0.10	490	125
Echo Lakes	1.34	2113	38.8415	133	0.21	589	587
Eloika Lake	2.55	581	48.0195	77	0.21	139	538
Fish Lake WA	2.01	589	47.8345	86	0.18	365	419
Florence Lake	3.89	2089	37.2582	90	0.31	742	121
Fordyce Lake	2.89	1825	39.3895	136	0.17	1056	433
Fourmile Lake	2.53	1751	42.4650	142	0.10	831	274
Gem Lake	1.08	2584	37.7534	137	0.15	555	106
Gold Lake	1.96	1826	39.6779	133	0.23	594	825
Grant Lake	4.47	2032	37.8399	87	0.29	255	553
Hauser Lake	2.44	667	47.7786	72	0.29	140	611
Howard Prairie Lake	7.97	1381	42.2201	69	0.44	352	523
Huntington Lake	5.77	1980	37.2407	112	0.16	572	817
Hyatt Reservoir	3.28	1530	42.1759	86	0.35	330	651
Independence Lake	2.78	1979	39.4436	128	0.13	547	333
Jackson Meadows Reservoir	4.14	1720	39.4988	121	0.29	974	617
Juniper Lake	2.40	1920	40.4532	146	0.15	1278	287
Lake of the Woods	4.97	1511	42.3672	107	0.29	460	496
Lake Spaulding	2.80	1428	39.3360	78	0.45	562	1106
Lake Thomas A. Edison	7.43	2180	37.3794	105	0.25	699	220
Lava Lake	1.39	1446	43.9204	122	0.18	723	260
Loon Lake	4.42	726	48.0449	82	0.17	168	363
Lower Bear River Reservoir	2.95	1658	38.5425	91	0.44	431	1103
Medicine Lake	1.79	1903	41.5823	148	0.12	851	138
Newman Lake	4.45	648	47.7772	83	0.19	121	627
North Twin Lake	2.97	784	48.2873	94	0.10	157	309
Paulina Lake	5.55	1932	43.7199	106	0.19	467	87
Prosser Creek Reservoir	2.80	1637	39.3805	89	0.26	335	441
Snag Lake	1.67	1731	40.5142	122	0.23	618	315
South Twin Lake	3.75	785	48.2628	94	0.12	157	309
Spirit Lake	6.27	744	47.9414	86	0.18	214	372
Swan Lake	2.71	647	47.4690	54	0.53	199	557
Upper Priest Lake	5.42	743	48.7846	101	0.12	251	208
Waptus Lake	1.03	904	47.5034	138	0.12	1043	39

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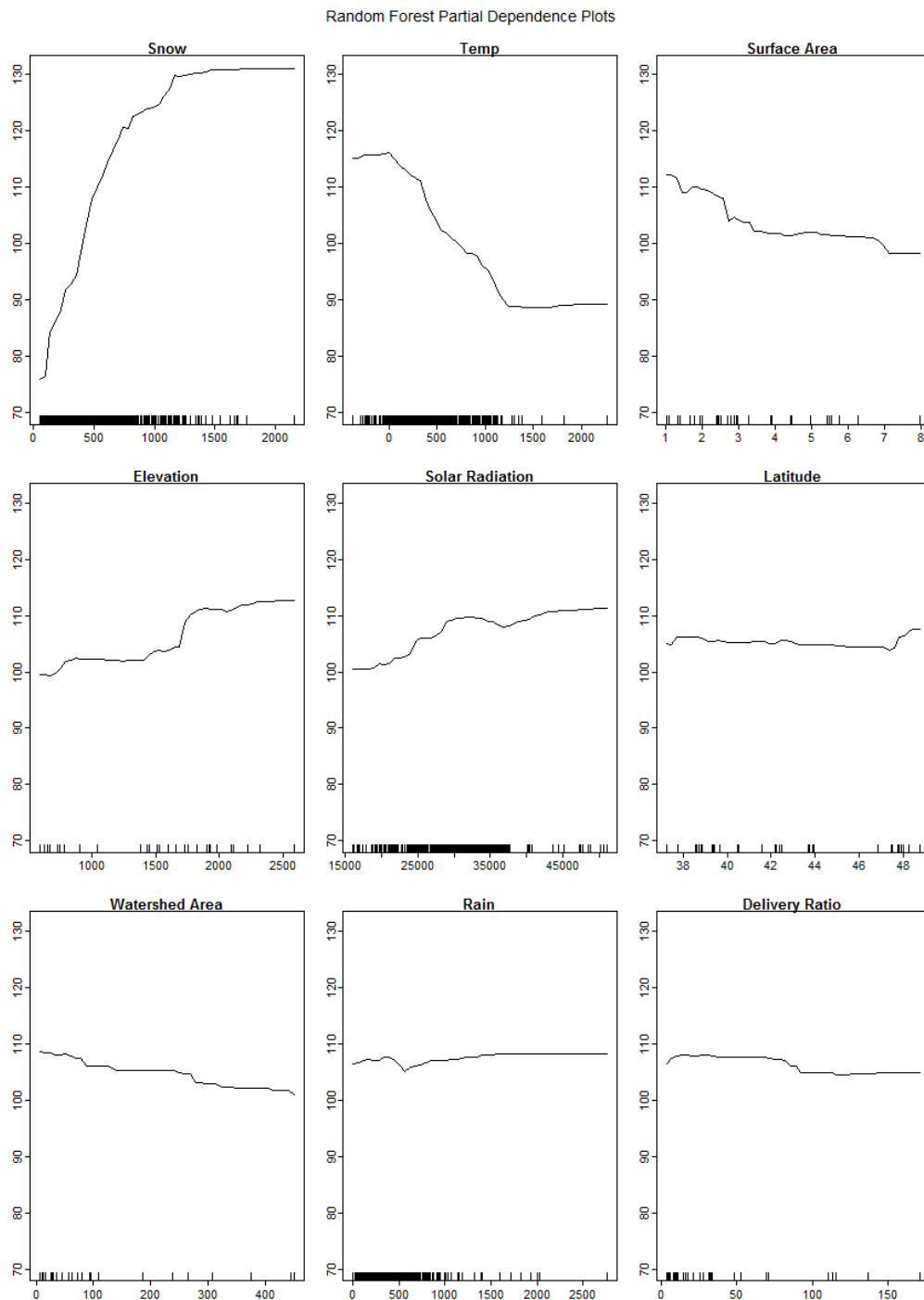
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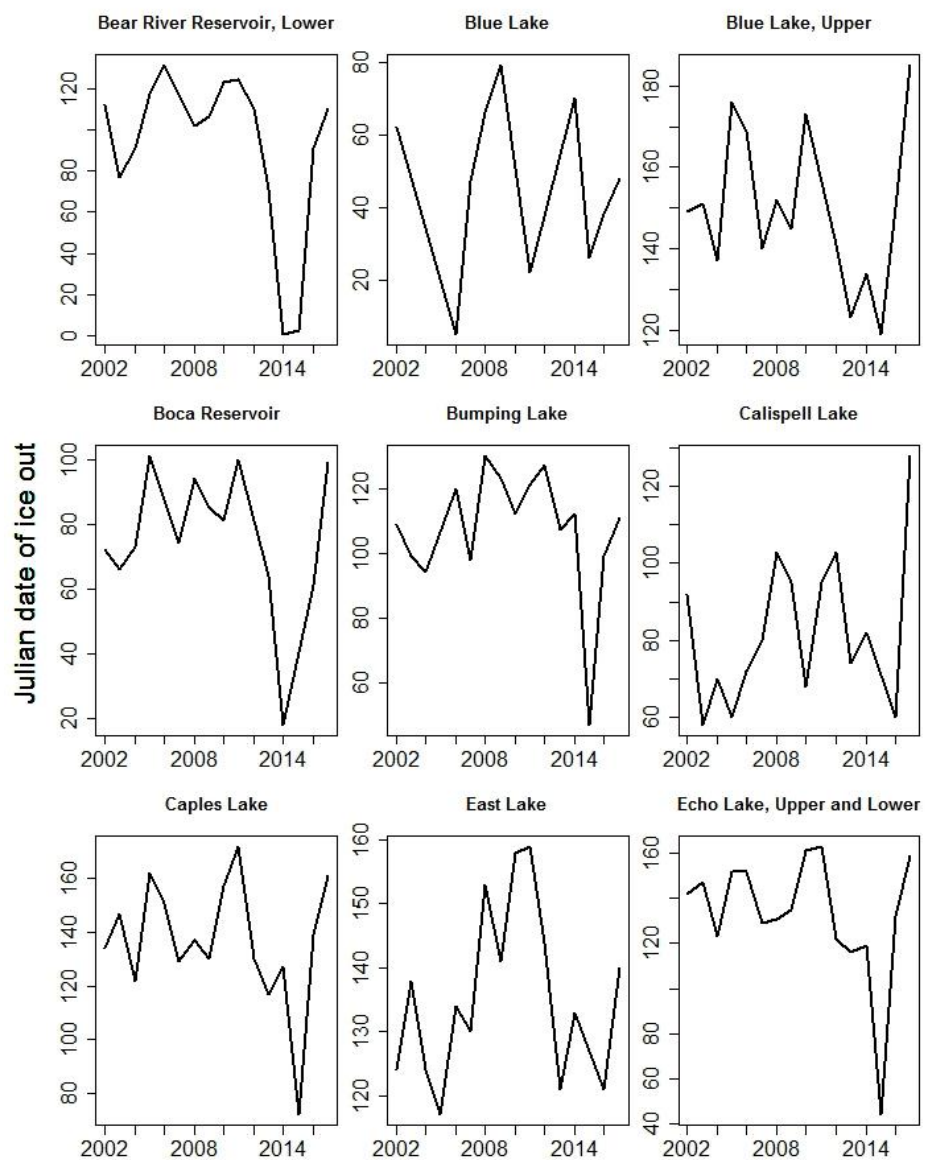
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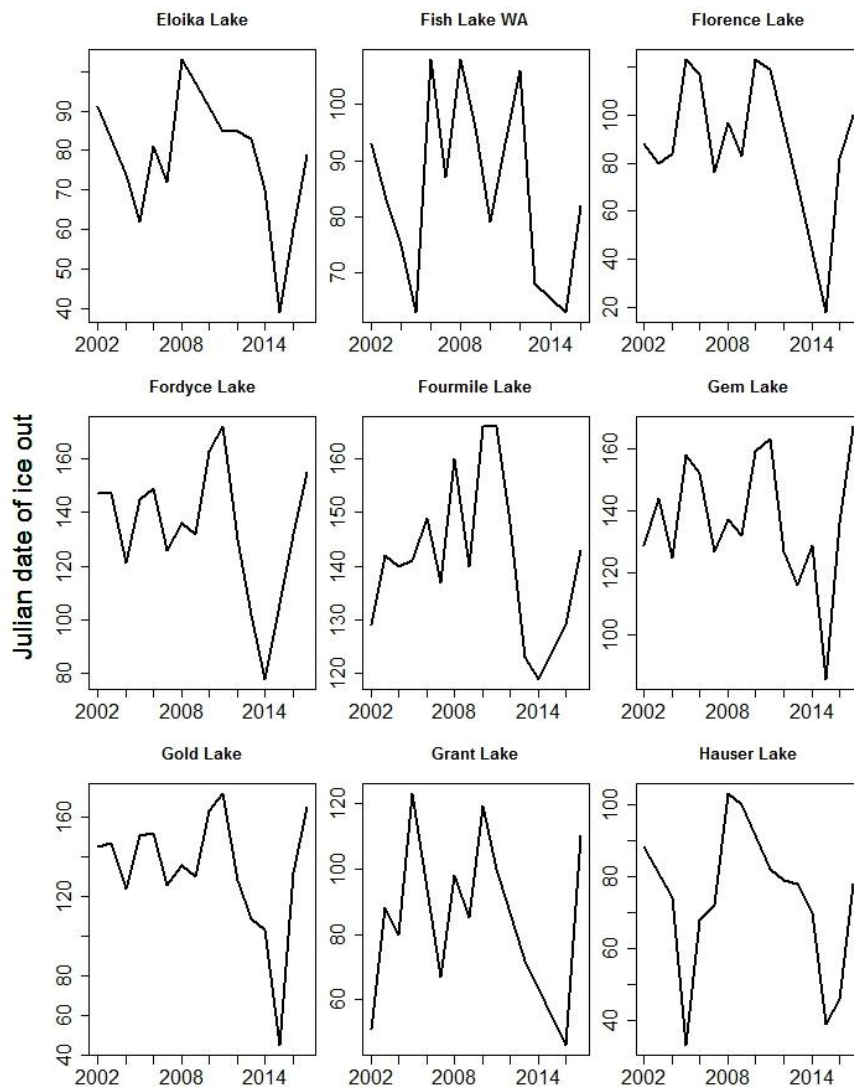
2295 **Figure B1.** Example of QA/QC procedure for accuracy of MODIS pixels on lakes to identify
2296 ice-break up. A composite image that took the highest NDVI recorded from January 1, 2017 to
2297 March 31, 2017 MOD10A1 grid was overlaid onto lake polygons and the data extraction point
2298 (green dot). If the extraction pixel (pixel with green dot on) overlapped any portion of the land,
2299 the lake was omitted from the data set to reduce any error associated with snow on the land when
2300 quantifying lake ice break-up. Left panel is an example of lake where no pixels were usable,
2301 while the right panel is a lake with multiple usable pixels.

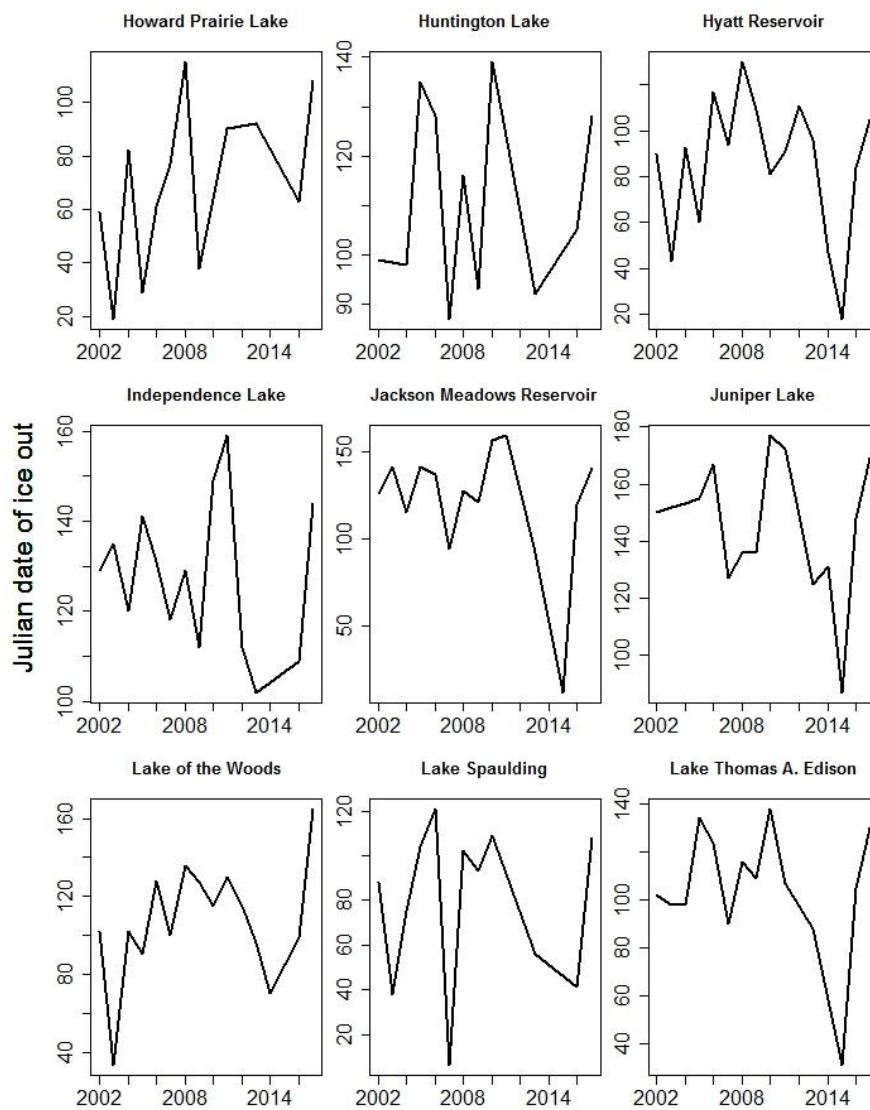


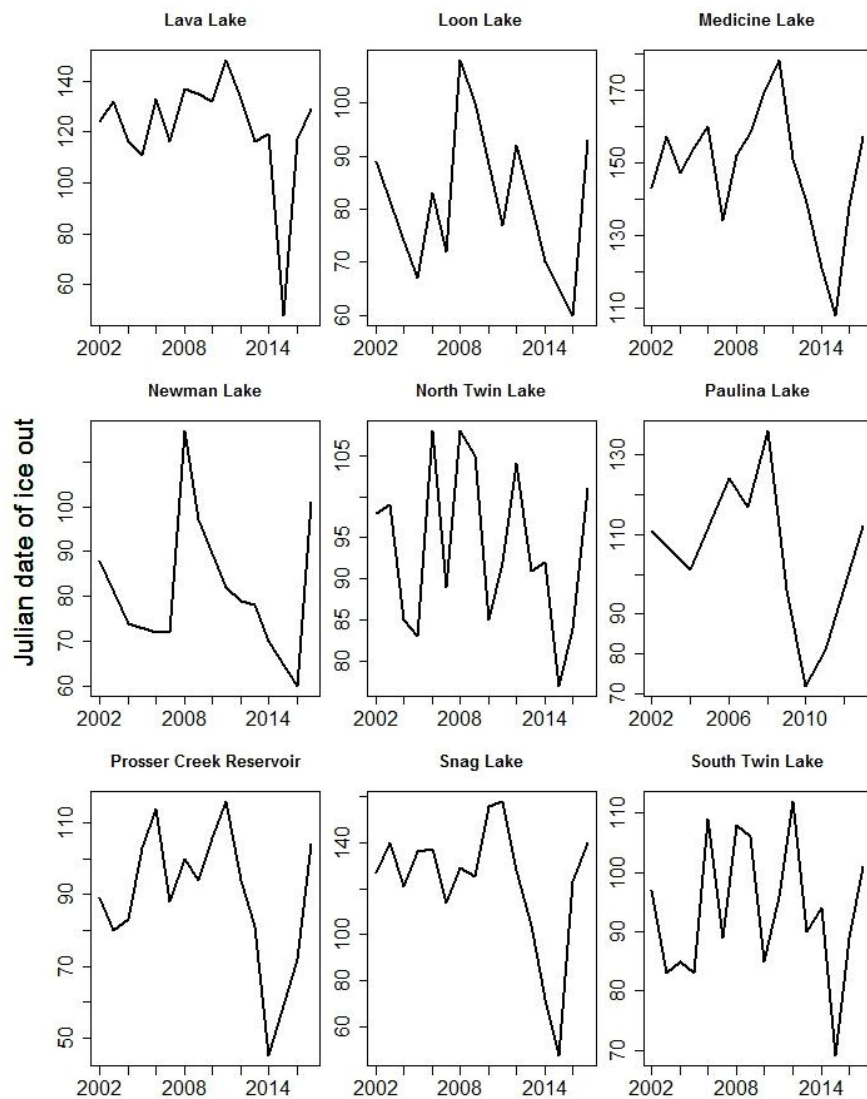
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2303 **Figure B2.** Partial dependence plots showing the relationships of the all predictor variables
 2304 (ordered in descending order of importance left to right, top to bottom) to the ice break-up Julian
 2305 date from random forest analysis. Partial dependence plots are the results of the model if all other
 2306 variables are held at their median value.









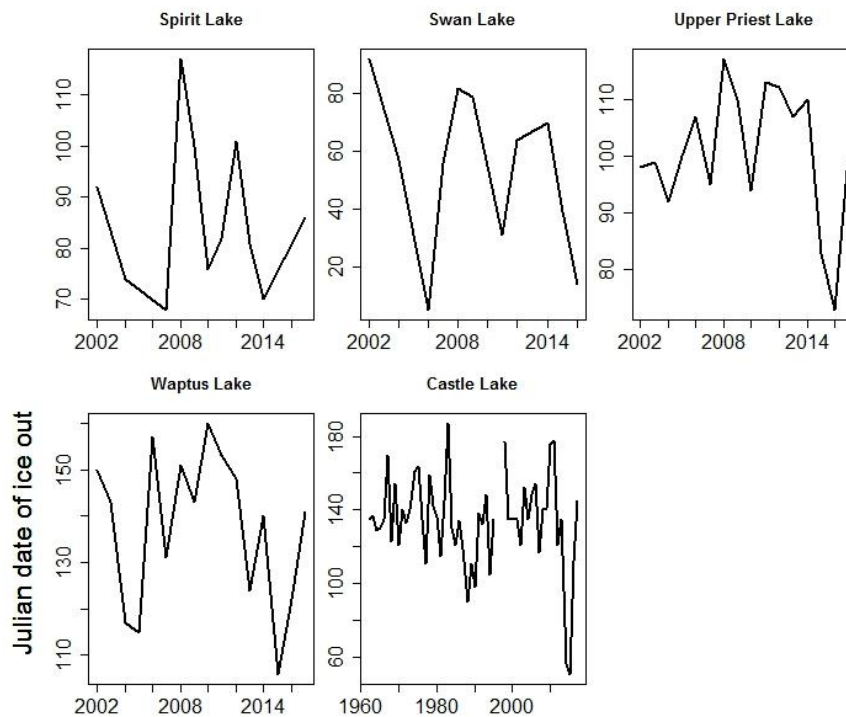


Figure B3. Julian date of ice break-up for each lake listed alphabetically. Refer to Table B1 for locations and descriptive statistics that correspond to lake name. All lake ice break updates were determined using remote sensing, except for Castle Lake which was observed visually or with a digital camera (1996 and 1997 were not observed at Castle Lake).

2312 **APPENDIX C. Chapter 2 Supplemental Material 1**

2313

2314 **Table C1.** Raw isotopic ($\delta^{13}\text{C}$ and $\delta^{15}\text{N}$) data for habitat (littoral vs. pelagic) contributions to fish consumers. Values with NA indicate
 2315 there was not enough material to obtain and accurate signal. Early season was taken from June and July, late season is from September
 2316 and October. Locations are PML = pool mixed layer (0,3,5 m) for zooplankton, spring, dock correspond to fish sampling locations,
 2317 littoral, profundal and sub=littoral correspond to areas which zoobenthic species were sampled.

Month	Day	Year	Ice Type	Season	Location	Habitat	Group	Species	d15N	d13C
6	1	2008	avg	early	PML	Pelagic	zoops	Zoop	NA	NA
7	1	2008	avg	early	PML	Pelagic	zoops	Zoop	2.3	-31.7
8	1	2008	avg	late	PML	Pelagic	zoops	Zoop	2.9	-31.4
9	1	2008	avg	late	PML	Pelagic	zoops	Zoop	3.8	-27.8
6	12	2009	avg	early	Spring	lake	fish	BT	8.142339	-26.07
6	12	2009	avg	early	Dock	lake	fish	BT	8.460326	-24.98
6	12	2009	avg	early	Dock	lake	fish	BT	7.8383097	-22.17
6	12	2009	avg	early	Dock	lake	fish	BT	8.4802498	-21.82
7	19	2009	avg	early	Spring	lake	fish	BT	9.1274713	-23.7
6	1	2009	avg	early	PML	Pelagic	zoops	Zoop	2.2	-31.3
7	1	2009	avg	early	PML	Pelagic	zoops	Zoop	3.1	-30
8	1	2009	avg	late	PML	Pelagic	zoops	Zoop	3.4	-28.2
9	1	2009	avg	late	PML	Pelagic	zoops	Zoop	3.2	-24
6	1	2010	late	early	Littoral	Littoral	ben_invertes	Oligo/Chiro	2.1	-25.2
7	1	2010	late	early	Littoral	Littoral	ben_invertes	Oligo/Chiro	2.6	-23.8
6	1	2010	late	early	Littoral	Littoral	ben_invertes	Trichoptera	4.4	-26.9
7	1	2010	late	early	Littoral	Littoral	ben_invertes	Trichoptera	2.2	-23.8
7	1	2010	late	early	Profundal	Profundal	ben_invertes	Oligo/Chiro	4	-21.5
6	26	2010	late	early	T2	lake	fish	BT	8.2330731	-23.77
7	1	2010	late	early	Spring	lake	fish	BT	9.2198444	-25.53
7	1	2010	late	early	Spring	lake	fish	BT	9.3082615	-20.99

7	1	2010	late	early	Dock	lake	fish	BT	8.6210824	-22.97
7	1	2010	late	early	Dock	lake	fish	BT	9.4039716	-20.68
8	17	2010	late	late	Dock	lake	fish	BT	8.6462796	-20.66
8	17	2010	late	late	Dock	lake	fish	BT	7.9596333	-24.09
8	20	2010	late	late	Dock	lake	fish	BT	8.4523433	-22
8	20	2010	late	late	Dock	lake	fish	BT	7.2472417	-28.01
8	20	2010	late	late	Dock	lake	fish	BT	8.5802542	-23.14
8	20	2010	late	late	Dock	lake	fish	BT	7.8901635	-22.42
8	20	2010	late	late	Dock	lake	fish	BT	7.3918112	-26.63
8	20	2010	late	late	Dock	lake	fish	BT	7.5047439	-29.05
8	20	2010	late	late	Dock	lake	fish	BT	8.0273432	-24.84
8	20	2010	late	late	Dock	lake	fish	BT	7.4610039	-26.35
8	20	2010	late	late	Dock	lake	fish	BT	9.7549138	-21.4
8	20	2010	late	late	Dock	lake	fish	BT	10.263186	-21.45
8	20	2010	late	late	Dock	lake	fish	BT	9.0747025	-24.23
6	1	2010	late	early	PML	Pelagic	zoops	Zoop	2.9	-25.8
7	1	2010	late	early	PML	Pelagic	zoops	Zoop	1.8	-32.6
8	1	2010	late	late	PML	Pelagic	zoops	Zoop	3.3	-28.2
9	1	2010	late	late	PML	Pelagic	zoops	Zoop	2.5	-30.7
7	1	2011	late	early	Littoral	Littoral	ben_invertes	Oligo/Chiro	1.4	-26.1
7	1	2011	late	early	Littoral	Littoral	ben_invertes	Trichoptera	2.7	-24.4
7	1	2011	late	early	Profundal	Profundal	ben_invertes	Oligo/Chiro	2.9	-34.4
9	1	2011	late	late	Littoral	Littoral	ben_invertes	Oligo/Chiro	1.7	-26.3
9	1	2011	late	late	Profundal	Profundal	ben_invertes	Oligo/Chiro	2	-40
6	21	2011	late	early	Dock	lake	fish	BT	8.7	-28.2
6	21	2011	late	early	Dock	lake	fish	BT	8.5	-25
6	21	2011	late	early	Dock	lake	fish	BT	8.7	-27.8
6	21	2011	late	early	Dock	lake	fish	BT	9	-25.5
9	16	2011	late	late	Spring	lake	fish	BT	8.3	-22.8
9	16	2011	late	late	Dock	lake	fish	BT	9	-25.1
9	16	2011	late	late	Dock	lake	fish	BT	9	-28.1

9	16	2011	late	late	Dock	lake	fish	BT	8.8	-27
7	1	2011	late	early	PML	Pelagic	zoops	Zoop	NA	NA
9	1	2011	late	late	PML	Pelagic	zoops	Zoop	2.9	-27.8
6	1	2012	avg	early	Littoral	Littoral	ben_invertes	Oligo/Chiro	1	-26
6	1	2012	avg	early	Littoral	Littoral	ben_invertes	Trichoptera	2.9	-27.6
6	1	2012	avg	early	Profundal	Profundal	ben_invertes	Oligo/Chiro	3	-37.7
9	1	2012	avg	late	Littoral	Littoral	ben_invertes	Oligo/Chiro	2.7	-24.3
9	1	2012	avg	late	Littoral	Littoral	ben_invertes	Trichoptera	2.2	-26.1
9	1	2012	avg	late	Profundal	Profundal	ben_invertes	Oligo/Chiro	3.8	-36.9
7	18	2012	avg	early	Dock	lake	fish	BT	7.8	-26.2
7	18	2012	avg	early	Dock	lake	fish	BT	8	-23.1
7	18	2012	avg	early	Dock	lake	fish	BT	8	-24.6
7	18	2012	avg	early	Dock	lake	fish	BT	7.7	-27.1
9	15	2012	avg	late	Spring	lake	fish	BT	9.1	-29
9	15	2012	avg	late	Dock	lake	fish	BT	7.9	-28.9
9	15	2012	avg	late	Dock	lake	fish	BT	7.9	-23.2
9	15	2012	avg	late	Dock	lake	fish	BT	8	-30.5
8	1	2012	avg	late	PML	Pelagic	zoops	Zoop	NA	NA
6	1	2013	avg	early	Littoral	Littoral	ben_invertes	Oligo/Chiro	0.8	-26.4
6	1	2013	avg	early	Littoral	Littoral	ben_invertes	Trichoptera	1.8	-26.3
6	1	2013	avg	early	Profundal	Profundal	ben_invertes	Oligo/Chiro	4	-33.4
9	1	2013	avg	late	Littoral	Littoral	ben_invertes	Oligo/Chiro	2.2	-20.8
9	1	2013	avg	late	Profundal	Profundal	ben_invertes	Oligo/Chiro	3.2	-32.3
6	13	2013	avg	early	Dock	lake	fish	BT	7.6	-29.1
6	13	2013	avg	early	Dock	lake	fish	BT	7.4	-23.1
6	13	2013	avg	early	Dock	lake	fish	BT	8.2	-30.6
6	14	2013	avg	early	Spring	lake	fish	BT	8.1	-27.7
9	1	2013	avg	late	Dock	lake	fish	BT	8.2	-22.1
9	1	2013	avg	late	Dock	lake	fish	BT	7.2	-30.6
9	1	2013	avg	late	Dock/Deep	lake	fish	BT	6.9	-26.6
9	1	2013	avg	late	Dock	lake	fish	BT	7.3	-28.6

6	1	2013	avg	early	PML	Pelagic	zoops	Zoop	2.1	-28.4
7	1	2013	avg	early	PML	Pelagic	zoops	Zoop	4.6	-30
8	1	2013	avg	late	PML	Pelagic	zoops	Zoop	4	-28.3
9	1	2013	avg	late	PML	Pelagic	zoops	Zoop	3.9	-29.2
9	1	2013	avg	late	PML	Pelagic	zoops	Zoop	2.7	-26.2
6	1	2014	early	early	Littoral	Littoral	ben_invertes	Oligo/Chiro	3.2	-20.5
6	1	2014	early	early	Littoral	Littoral	ben_invertes	Trichoptera	2.7	-20.6
6	1	2014	early	early	Profundal	Profundal	ben_invertes	Oligo/Chiro	1.1	-35.4
9	1	2014	early	late	Littoral	Littoral	ben_invertes	Oligo/Chiro	2.5	-19.8
9	1	2014	early	late	Littoral	Littoral	ben_invertes	Trichoptera	3.8	NA
9	1	2014	early	late	Profundal	Profundal	ben_invertes	Oligo/Chiro	2.7	-27.2
6	10	2014	early	early	Dock	lake	fish	BT	7.2	-23.5
6	10	2014	early	early	Dock	lake	fish	BT	6.7	-25.5
6	10	2014	early	early	Dock	lake	fish	BT	8	-25.9
6	10	2014	early	early	Dock	lake	fish	BT	7.4	-24.3
9	6	2014	early	late	Spring	lake	fish	BT	7.2	-22.6
9	6	2014	early	late	Dock	lake	fish	BT	7.6	-30.3
9	6	2014	early	late	Dock	lake	fish	BT	7.6	-25.5
9	6	2014	early	late	Dock	lake	fish	BT	7.9	-28.9
6	1	2014	early	early	PML	Pelagic	zoops	Zoop	NA	NA
7	1	2014	early	early	PML	Pelagic	zoops	Zoop	3.3	-27.6
7	1	2014	early	early	PML	Pelagic	zoops	Zoop	NA	NA
8	1	2014	early	late	PML	Pelagic	zoops	Zoop	2.9	-27.7
9	1	2014	early	late	PML	Pelagic	zoops	Zoop	NA	-18
6	1	2015	early	early	Littoral	Littoral	ben_invertes	Oligo/Chiro	2.8	-23.7
6	1	2015	early	early	Littoral	Littoral	ben_invertes	Trichoptera	-0.7	-25.7
6	1	2015	early	early	Profundal	Profundal	ben_invertes	Oligo/Chiro	1.6	-34.4
9	1	2015	early	late	Littoral	Littoral	ben_invertes	Oligo/Chiro	1.4	-20.7
9	1	2015	early	late	Profundal	Profundal	ben_invertes	Oligo/Chiro	2.3	-32
6	2	2015	early	early	Dock	lake	fish	BT	7.9	-28.4
6	2	2015	early	early	Dock	lake	fish	BT	8	-28.7

6	2	2015	early	early	Dock	lake	fish	BT	8	-29.1
6	2	2015	early	early	Dock	lake	fish	BT	7.8	-30.1
9	12	2015	early	late	Dock	lake	fish	BT	7.8	-28.1
9	13	2015	early	late	Dock	lake	fish	BT	7.8	-27.6
9	13	2015	early	late	Dock	lake	fish	BT	7.6	-26
9	13	2015	early	late	Dock	lake	fish	BT	7.7	-27.4
6	1	2015	early	early	PML	Pelagic	zoops	Zoop	3	-28.3
6	1	2015	early	early	PML	Pelagic	zoops	Zoop	NA	NA
7	1	2015	early	early	PML	Pelagic	zoops	Zoop	2.3	-27.9
8	1	2015	early	late	PML	Pelagic	zoops	Zoop	1.2	-28.1
9	1	2015	early	late	PML	Pelagic	zoops	Zoop	NA	-18
6	1	2016	avg	early	Littoral	Littoral	ben_invertes	Oligo/Chiro	1.7	-25.6
6	1	2016	avg	early	Littoral	Littoral	ben_invertes	Trichoptera	1.2	-25.6
6	1	2016	avg	early	Profundal	Profundal	ben_invertes	Oligo/Chiro	2.7	-33.1
9	1	2016	avg	late	Littoral	Littoral	ben_invertes	Oligo/Chiro	2.3	-22
9	1	2016	avg	late	Littoral	Littoral	ben_invertes	Trichoptera	2.7	-21.8
9	1	2016	avg	late	Profundal	Profundal	ben_invertes	Oligo/Chiro	3.3	-32.9
6	21	2016	avg	early	Spring	lake	fish	BT	7.4	-25.4
6	21	2016	avg	early	Spring	lake	fish	BT	7.7	-27.4
6	21	2016	avg	early	Spring	lake	fish	BT	7.6	-23.5
6	21	2016	avg	early	Dock	lake	fish	BT	7	-26.7
9	10	2016	avg	late	Dock	lake	fish	BT	8.2	-22.9
9	10	2016	avg	late	Dock	lake	fish	BT	8.4	-28.2
9	11	2016	avg	late	Dock	lake	fish	BT	8	-27.4
9	11	2016	avg	late	Dock	lake	fish	BT	7.5	-24.3
6	1	2016	avg	early	PML	Pelagic	zoops	Zoop	3.7	-30
7	1	2016	avg	early	PML	Pelagic	zoops	Zoop	3.8	-27.1
8	1	2016	avg	late	PML	Pelagic	zoops	Zoop	NA	NA
9	1	2016	avg	late	PML	Pelagic	zoops	Zoop	NA	NA

2319

2320 **Tables C2:** Averages raw compound-specific amino acid isotope data used in the FRUITS model for computations of autochthonous
 2321 vs allochthonous carbon contributions to fish energetics. Averages are from 2 replicates per fish.

Month	Day	Year	Location	Species	Ala	Asp	Glu	Gly	Ile	Leu	Lys	Met	Phe	Pro	Thr	Val
9	16	2011	Dock	BT	-21.66	-19.23	-20.71	-11.82	-26.78	-32.24	-23.17	-29.63	-32.08	-18.54	-15.89	-28.33
9	16	2011	Dock	BT	-25.38	-22.58	-23.63	-16.02	-29.90	-35.52	-25.61	-33.06	-35.27	-22.63	-19.29	-31.51
9	16	2011	Dock	BT	-26.19	-22.41	-23.24	-17.41	-30.13	-35.75	-24.69	-32.62	-34.11	-23.70	-19.14	-32.13
6	21	2011	Dock	BT	-25.52	-23.32	-24.00	-14.22	-30.13	-35.98	-26.32	-32.22	-35.05	-22.16	-17.74	-32.19
6	21	2011	Dock	BT	-21.91	-20.87	-19.78	-11.71	-26.95	-32.71	-23.00	-29.30	-32.08	-18.80	-14.95	-28.69
6	21	2011	Dock	BT	-25.48	-21.92	-23.13	-15.22	-29.86	-35.72	-24.73	-32.07	-34.90	-22.37	-18.16	-31.81
6	21	2011	Dock	BT	-23.34	-21.35	-20.58	-13.84	-27.99	-33.82	-24.01	-30.40	-33.18	-20.56	-18.37	-29.70
6	2	2015	Dock	BT	-28.84	-23.38	-26.06	-21.48	-34.50	-39.05	-29.29	-36.53	-36.25	-26.24	-23.03	-37.30
6	2	2015	Dock	BT	-26.88	-22.65	-26.20	-17.00	-30.78	-36.99	-28.14	-34.36	-36.35	-23.37	-22.26	-33.59
6	2	2015	Dock	BT	-29.49	-26.93	-26.36	-21.21	-33.98	-39.18	-29.94	-36.99	-37.13	-26.50	-21.34	-36.62
6	2	2015	Dock	BT	-24.64	-22.91	-25.67	-15.34	-29.48	-35.80	-26.23	-38.42	-35.59	-22.35	-22.41	-31.60
6	21	2016	Dock	BT	-21.80	-22.09	-21.09	-11.31	-28.46	-33.71	-25.24	-32.12	-34.27	-20.44	-22.95	-29.97
7	18	2012	Dock	BT	-22.96	-22.34	-21.43	-12.32	-28.50	-33.83	-23.30	-31.88	-33.01	-20.04	-16.96	-29.64
7	18	2012	Dock	BT	-19.51	-18.45	-18.88	-9.65	-25.45	-30.48	-20.83	-28.20	-30.68	-17.47	-15.06	-26.68
7	18	2012	Dock	BT	-21.21	-19.04	-20.32	-12.03	-26.65	-32.32	-23.03	-29.69	-32.88	-19.11	-16.10	-28.26
7	18	2012	Dock	BT	-24.31	-22.98	-22.34	-13.63	-29.47	-34.81	-25.49	-32.32	-34.57	-21.90	-18.98	-31.07
9	15	2012	Dock	BT	-27.56	-25.10	-26.51	-17.03	-31.41	-37.26	-27.87	-34.51	-36.00	-25.22	-19.44	-33.25
9	15	2012	Dock	BT	-20.63	-18.72	-18.98	-11.69	-25.40	-31.20	-22.22	-28.49	-30.33	-19.77	-16.14	-27.23
9	15	2012	Dock	BT	-28.85	-27.21	-27.80	-19.13	-34.93	-40.45	-28.38	-36.90	-38.41	-28.04	-26.50	-37.00
9	1	2013	Dock	BT	-17.06	-16.34	-18.28	-8.34	-23.86	-29.69	-19.62	-29.62	-29.01	-16.43	-16.35	-25.32
9	1	2013	Dock	BT	-25.28	-24.65	-24.84	-15.19	-32.22	-38.08	-28.02	-40.07	-37.40	-24.46	-23.86	-34.07
9	1	2013	Dock	BT	-24.96	-20.68	-23.40	-16.87	-31.49	-36.31	-24.36	-32.99	-34.28	-24.14	-19.61	-34.00
9	1	2013	Dock	BT	-24.59	-23.30	-21.90	-14.09	-30.15	-35.91	-26.30	-31.87	-34.95	-22.86	-20.11	-31.64
9	6	2014	Dock	BT	-26.02	-24.38	-25.18	-16.12	-31.66	-37.87	-27.09	-33.61	-36.76	-23.15	-26.43	-33.48
9	6	2014	Dock	BT	-24.96	-22.00	-22.77	-15.47	-30.50	-35.32	-24.75	-32.87	-33.85	-21.74	-16.96	-33.06
9	6	2014	Dock	BT	-26.29	-23.65	-24.70	-16.20	-30.55	-36.97	-26.25	-32.77	-35.85	-22.92	-24.34	-32.86

9	12	2015	Dock	BT	-25.57	-23.31	-24.35	-15.33	-30.49	-36.26	-26.38	-33.31	-35.81	-22.13	-19.22	-33.09
9	11	2016	Dock	BT	-24.17	-22.25	-23.65	-12.69	-29.90	-35.61	-25.92	-32.13	-35.15	-21.55	-19.79	-31.58
9	11	2016	Dock	BT	-20.61	-19.38	-19.90	-11.05	-26.79	-32.22	-22.52	-29.40	-31.96	-18.63	-25.12	-28.75
6	13	2013	Dock	BT	-25.51	-24.32	-24.61	-15.38	-30.95	-36.99	-26.71	-32.81	-36.53	-24.36	-21.88	-32.95
6	13	2013	Dock	BT	-19.43	-18.97	-19.41	-9.11	-24.98	-30.56	-22.45	-27.61	-30.06	-18.42	-16.18	-26.56
6	13	2013	Dock	BT	-27.03	-23.71	-27.97	-18.25	-34.28	-39.03	-28.01	-37.46	-37.21	-27.70	-22.89	-36.15
6	10	2014	Dock	BT	-19.33	-17.22	-18.38	-11.56	-25.85	-31.34	-21.31	-28.05	-30.44	-17.46	-15.41	-27.50
6	10	2014	Dock	BT	-21.73	-17.63	-21.12	-14.01	-27.86	-33.03	-23.63	-28.26	-32.16	-19.44	-19.49	-30.04
6	10	2014	Dock	BT	-23.79	-20.00	-22.48	-13.20	-28.04	-33.66	-24.49	-30.72	-32.88	-20.59	-18.79	-30.17
6	10	2014	Dock	BT	-21.19	-20.07	-20.50	-14.19	-27.12	-32.62	-22.62	-28.68	-32.00	-19.29	-18.14	-29.39
9	13	2015	Dock	BT	-26.18	-22.63	-23.27	-16.19	-30.82	-35.96	-26.53	-35.35	-35.31	-23.13	-20.66	-32.89
9	13	2015	Dock	BT	-23.72	-23.11	-23.15	-13.06	-28.47	-33.72	-26.24	-31.57	-33.65	-20.66	-17.95	-30.79
9	13	2015	Dock	BT	-24.12	-23.14	-22.87	-12.97	-29.33	-35.41	-25.60	-32.18	-34.66	-21.23	-25.73	-31.13
9	10	2016	Dock	BT	-19.50	-18.20	-18.21	-8.53	-25.73	-31.17	-21.35	-30.79	-30.37	-17.85	-22.86	-27.19
9	10	2016	Dock	BT	-25.74	-24.20	-24.31	-13.95	-30.92	-36.01	-26.62	-34.61	-35.70	-23.26	-18.13	-32.66
9	16	2011	Spring	BT	-21.17	-16.50	-20.49	-11.90	-28.26	-32.70	-21.22	-32.73	-30.57	-19.08	-17.31	-29.72
6	14	2013	Spring	BT	-24.00	-22.04	-23.25	-14.84	-29.17	-34.81	-25.19	-32.21	-33.75	-20.82	-21.14	-30.59
6	21	2016	Spring	BT	-22.99	-20.98	-23.59	-12.78	-27.36	-32.92	-24.44	-32.83	-33.20	-20.47	-17.95	-30.09
6	21	2016	Spring	BT	-24.51	-22.73	-22.12	-14.61	-29.21	-35.20	-25.78	-33.56	-35.15	-21.88	-21.97	-31.66
6	21	2016	Spring	BT	-22.04	-20.06	-23.69	-12.12	-28.19	-33.54	-23.17	-32.16	-32.23	-20.10	-23.32	-30.78
9	15	2012	Spring	BT	-26.38	-23.07	-25.16	-15.40	-31.57	-37.73	-27.23	-34.30	-35.62	-22.95	-22.54	-33.22
9	6	2014	Spring	BT	-18.60	-16.59	-18.17	-9.61	-24.11	-29.47	-21.66	-26.73	-30.00	-16.08	-17.82	-26.33

2322

2323 **Table C3:** Standard deviations of raw compound-specific amino acid isotope data used in the FRUITS model for computations of
 2324 autochthonous vs allochthonous carbon contributions to fish energetics.

Month	Day	Year	Location	Species	Ala	Asp	Glu	Gly	Ile	Leu	Lys	Met	Phe	Pro	Thr	Val
9	16	2011	Dock	BT	0.04	0.07	0.29	0.09	0.03	0.05	0.41	0.43	0.05	0.46	0.10	0.04
9	16	2011	Dock	BT	0.06	0.25	0.29	0.10	0.08	0.03	0.30	0.07	0.27	0.23	0.07	0.20
9	16	2011	Dock	BT	0.27	0.00	0.55	0.10	0.05	0.09	0.13	0.01	0.05	0.04	0.43	0.09
6	21	2011	Dock	BT	0.31	0.18	0.06	0.17	0.17	0.11	0.61	0.21	0.08	0.09	0.11	0.05

6	21	2011	Dock	BT	0.10	0.13	0.19	0.05	0.03	0.10	0.52	0.23	0.16	0.17	0.06	0.09
6	21	2011	Dock	BT	0.02	0.10	0.27	0.25	0.00	0.12	0.18	0.08	0.11	0.14	0.37	0.05
6	21	2011	Dock	BT	0.05	0.20	0.06	0.08	0.05	0.07	0.05	0.09	0.08	0.07	0.02	0.05
6	2	2015	Dock	BT	0.14	0.13	0.23	0.21	0.07	0.13	0.30	0.28	0.03	0.15	1.48	0.13
6	2	2015	Dock	BT	0.07	0.04	0.35	0.18	0.20	0.22	0.49	0.02	0.01	0.19	0.63	0.10
6	2	2015	Dock	BT	0.22	0.53	0.16	0.62	0.08	0.24	0.31	0.51	0.07	0.09	1.24	0.65
6	2	2015	Dock	BT	0.41	0.20	0.06	0.62	0.28	0.17	0.06	0.10	0.07	0.11	0.23	0.20
6	21	2016	Dock	BT	0.52	0.09	0.11	0.48	0.30	0.32	0.39	0.06	0.08	0.32	0.67	0.42
7	18	2012	Dock	BT	0.08	0.13	0.01	0.58	0.22	0.14	0.01	0.21	0.05	0.51	0.30	0.21
7	18	2012	Dock	BT	0.18	0.24	0.04	0.12	0.01	0.15	0.19	0.00	0.15	0.04	0.08	0.07
7	18	2012	Dock	BT	0.24	0.13	0.41	0.05	0.03	0.13	0.61	0.39	0.32	0.24	0.11	0.03
7	18	2012	Dock	BT	0.16	0.02	0.25	0.16	0.20	0.12	0.00	0.33	0.03	0.02	0.14	0.05
9	15	2012	Dock	BT	0.10	0.24	0.16	0.01	0.09	0.06	0.17	0.40	0.19	0.25	0.55	0.26
9	15	2012	Dock	BT	0.19	0.36	0.77	0.20	0.11	0.07	0.30	0.58	0.33	0.02	0.57	0.14
9	15	2012	Dock	BT	0.29	0.34	0.25	0.15	0.02	0.19	0.20	0.46	0.01	0.09	0.11	0.07
9	1	2013	Dock	BT	0.15	0.05	0.15	0.23	0.21	0.15	0.14	0.29	0.09	0.11	0.45	0.20
9	1	2013	Dock	BT	0.19	0.27	0.41	0.21	0.08	0.03	0.07	0.60	0.07	0.00	0.08	0.04
9	1	2013	Dock	BT	0.54	0.45	0.42	0.29	0.06	0.03	0.41	0.05	0.14	0.12	0.61	0.03
9	1	2013	Dock	BT	0.04	0.18	0.19	0.30	0.11	0.10	0.06	0.01	0.22	0.03	0.49	0.14
9	6	2014	Dock	BT	0.00	0.16	0.07	0.36	0.00	0.14	0.26	0.04	0.30	0.04	1.03	0.34
9	6	2014	Dock	BT	0.24	0.07	0.08	0.00	0.04	0.20	0.35	0.16	0.07	0.21	0.14	0.09
9	6	2014	Dock	BT	0.09	0.01	0.27	0.10	0.15	0.09	0.02	0.03	0.12	0.10	0.54	0.52
9	12	2015	Dock	BT	0.14	0.47	0.27	0.12	0.02	0.12	0.43	0.69	0.03	0.01	0.85	0.06
9	11	2016	Dock	BT	0.12	0.22	0.14	0.34	0.06	0.11	0.12	0.02	0.06	0.06	0.47	0.03
9	11	2016	Dock	BT	0.03	0.35	0.21	0.14	0.06	0.27	0.01	0.39	0.18	0.07	1.06	0.02
6	13	2013	Dock	BT	0.15	0.14	0.37	0.52	0.10	0.06	0.64	0.28	0.62	0.13	0.92	0.14
6	13	2013	Dock	BT	0.02	0.64	0.30	0.04	0.02	0.13	0.45	0.14	0.28	0.05	0.36	0.24
6	13	2013	Dock	BT	0.38	0.12	0.01	0.17	0.10	0.22	0.04	0.14	0.13	0.15	0.75	0.43
6	10	2014	Dock	BT	0.06	0.32	0.26	0.01	0.02	0.09	0.98	0.23	0.05	0.04	1.13	0.31
6	10	2014	Dock	BT	0.16	0.11	0.53	0.31	0.15	0.06	0.48	0.25	0.20	0.15	1.69	0.04
6	10	2014	Dock	BT	0.05	0.21	0.11	0.10	0.07	0.04	0.43	0.26	0.36	0.00	0.27	0.15

6	10	2014	Dock	BT	0.24	0.04	0.16	0.06	0.04	0.04	0.19	0.14	0.06	0.06	0.12	0.03
9	13	2015	Dock	BT	0.26	0.13	0.03	0.14	0.08	0.15	0.29	1.26	0.35	0.09	0.02	0.23
9	13	2015	Dock	BT	0.19	0.22	0.01	0.14	0.22	0.17	0.15	0.29	0.08	0.17	0.18	0.24
9	13	2015	Dock	BT	0.12	0.05	0.24	0.13	0.27	0.10	0.13	1.15	0.05	0.18	0.87	0.19
9	10	2016	Dock	BT	0.15	0.51	0.16	0.09	0.18	0.89	0.17	1.07	0.13	0.08	0.29	0.12
9	10	2016	Dock	BT	0.01	0.15	0.22	0.06	0.02	0.11	0.17	0.23	0.08	0.06	0.72	0.05
9	16	2011	Spring	BT	0.23	0.19	0.17	0.67	0.39	0.22	0.39	0.37	0.42	0.13	0.01	0.19
6	14	2013	Spring	BT	0.13	0.03	0.29	0.60	0.13	0.19	0.10	0.36	0.28	0.02	0.62	0.15
6	21	2016	Spring	BT	0.08	0.11	0.03	0.39	0.21	0.13	0.01	0.02	0.06	0.04	0.34	0.21
6	21	2016	Spring	BT	0.07	0.13	0.48	0.23	0.07	0.64	0.90	0.49	0.14	0.15	0.20	0.04
6	21	2016	Spring	BT	0.29	0.81	0.11	0.44	0.04	0.00	0.02	1.22	0.05	0.11	1.44	0.33
9	15	2012	Spring	BT	0.20	0.20	0.06	0.21	0.23	0.23	0.41	0.01	0.03	0.05	0.59	0.14
9	6	2014	Spring	BT	0.14	0.28	0.36	0.39	0.20	0.22	1.21	0.32	0.58	0.15	0.12	0.13

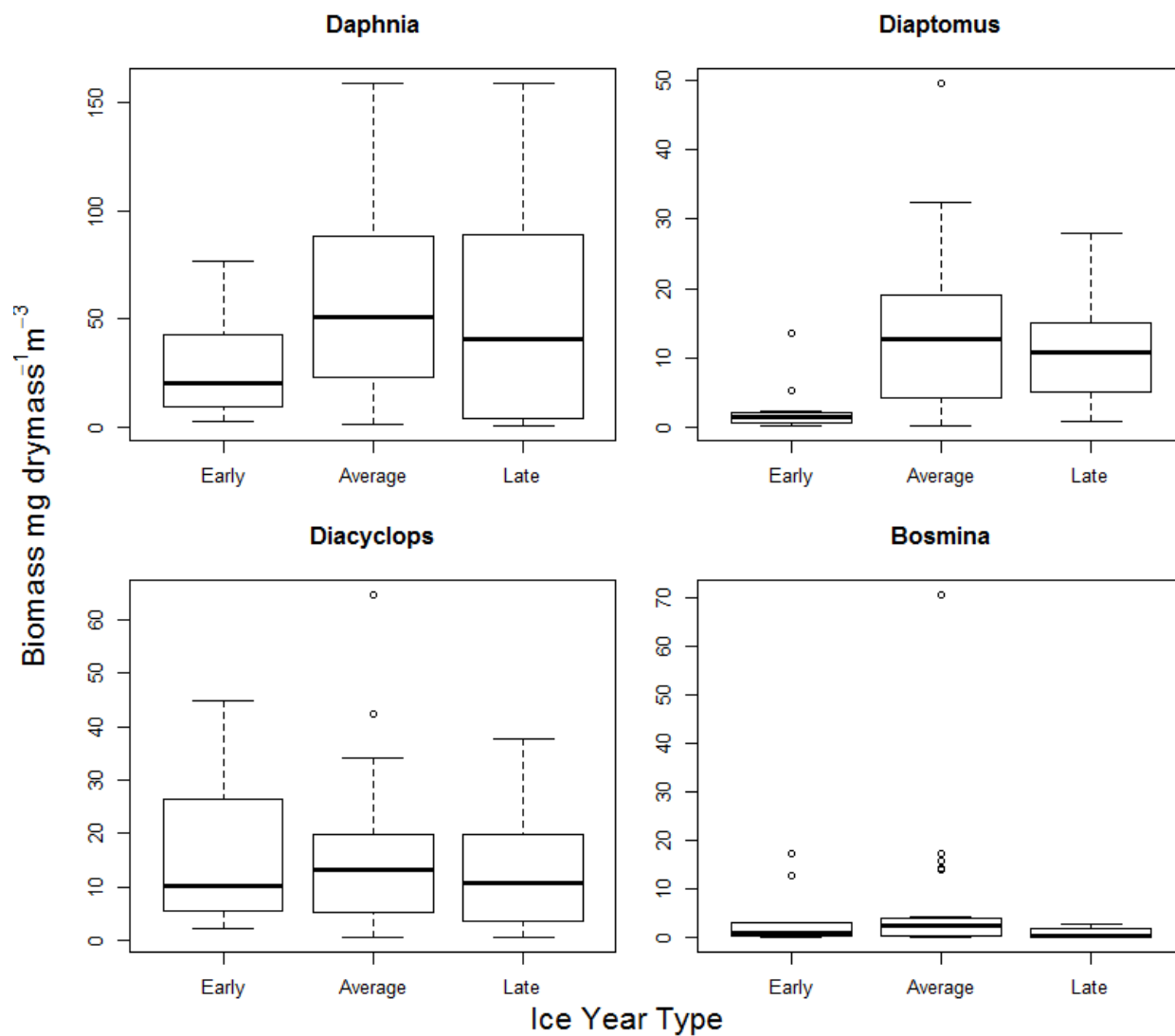


Figure C1. Biomass (mg drymass-1 m-3) for all zooplankton species in Castle Lake, California. Boxplots are of monthly (June-October) means calculated from weekly samples from 2008-2016 across different ice year types.

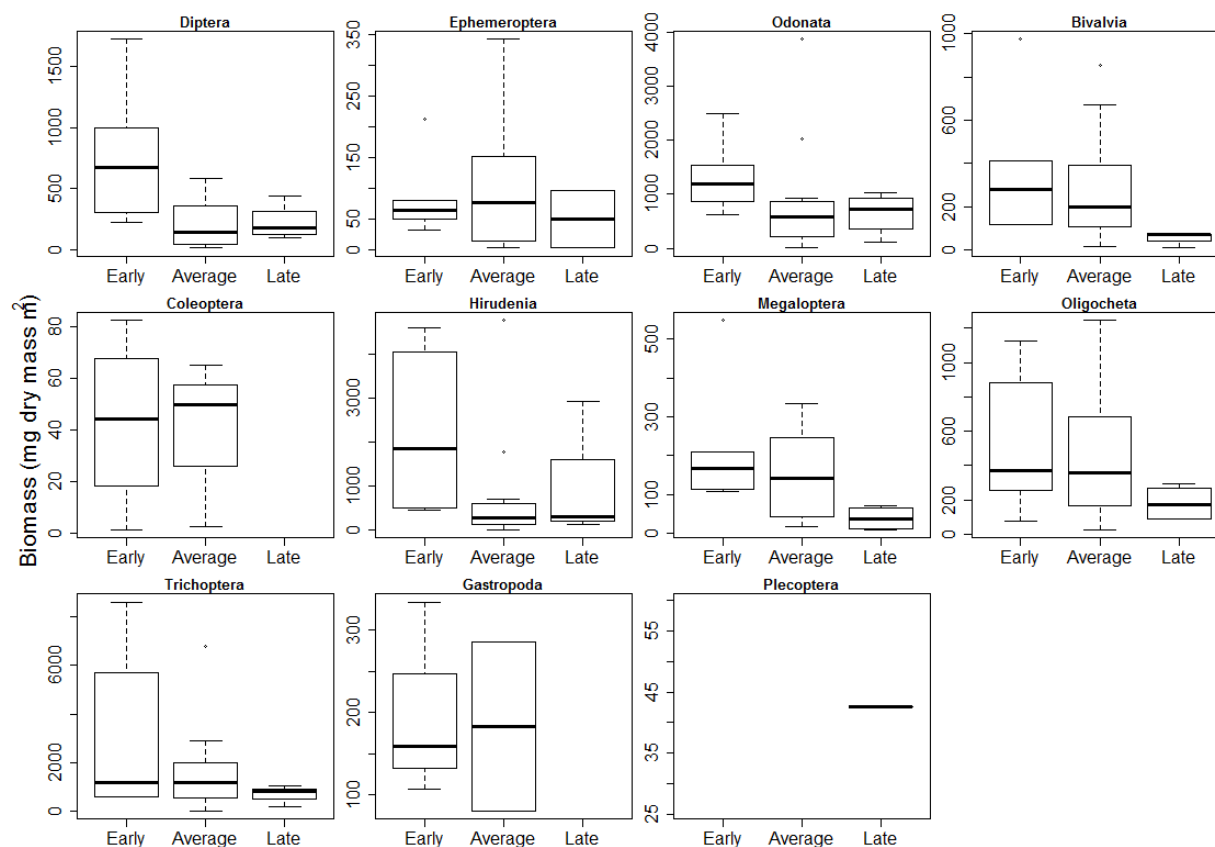


Figure C2. Taxon specific biomass of littoral benthic invertebrates in Castle Lake, CA. Boxplots are of monthly (June, July and September) means calculated from 4 sample sites in littoral zone at different ice year types.

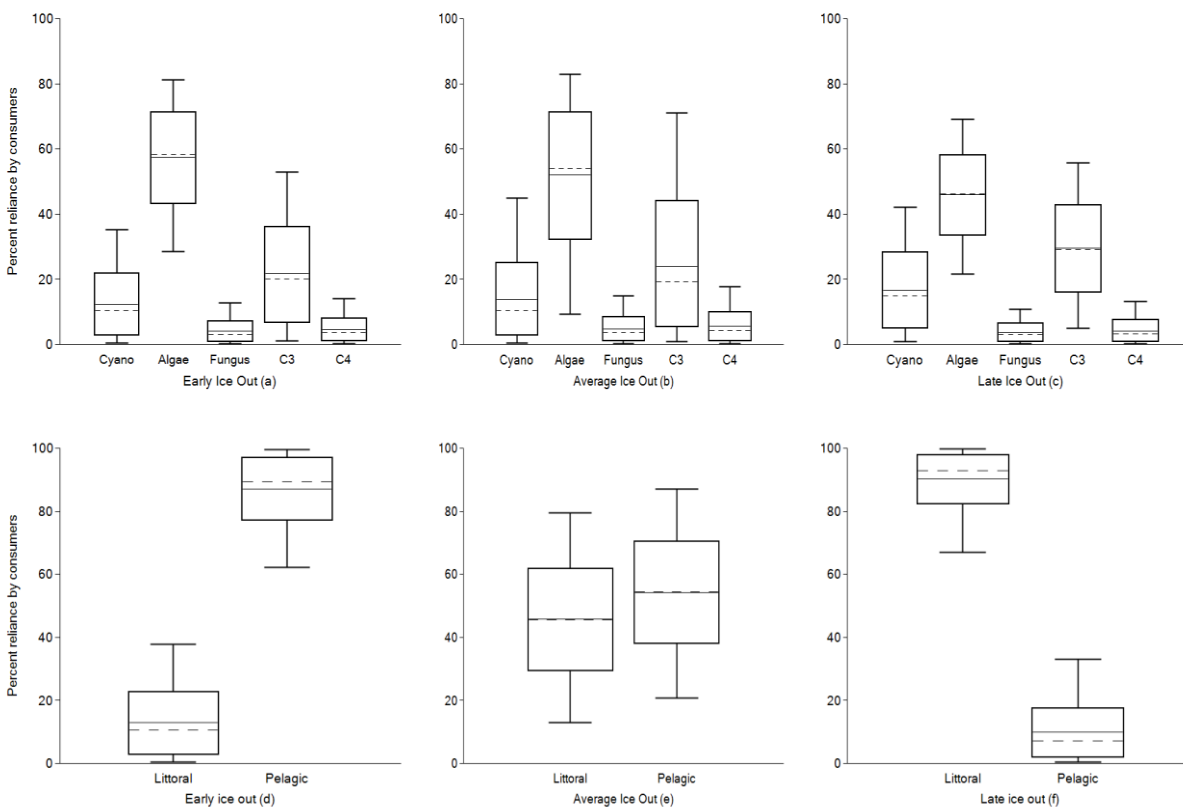


Figure C3. Percent reliance by consumers on both terrestrial and aquatic resources (a, b, c) and within lake habitat resources (d,e,f). Boxplots are of posterior distributions of estimates from the FRUITS Bayesian isotopic mixing model. Dashed line and solid line represent the median and mean of the posterior distribution, respectively.