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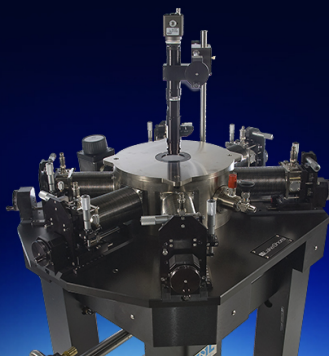
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Nanotwins soften boron-rich boron carbide ($B_{13}C_2$)

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Extensive studies of metals and alloys have observed that nanotwins lead to strengthening, but the role of nanotwins in ceramics is not well established. We compare here the shear strength and the deformation mechanism of nanotwinned boron-rich boron carbide ($B_{13}C_2$) with the perfect crystal under both pure shear and biaxial shear deformations. We find that the intrinsic shear strength of crystalline $B_{13}C_2$ is higher than that of crystalline boron carbide (B_4C). But nanotwins in $B_{13}C_2$ lower the strength, making it softer than crystalline B_4C . This reduction in strength of nanotwinned $B_{13}C_2$ arises from the interaction of the twin boundary with the C-B-C chains that connect the B_{12} icosahedra. *Published by AIP Publishing.* [<http://dx.doi.org/10.1063/1.4978644>]

Boron carbide (B_4C) is of great interest because of its promising properties of low density, superhardness, thermal stability, and high elastic Hugoniot limit.^{1–5} However, engineering applications of B_4C to body armor or abrasive powders have been impeded by the high pressure brittle failure due to amorphous shear band formation.^{6–8} We recently used atomistic simulations to show that amorphous shear band underlying the brittle failure in B_4C arises from fracture of the $B_{11}C$ icosahedra which increases the density of the amorphous band compared to nearby crystalline regions, leading to tension that induces cavitation and then failure.⁹ Under realistic conditions, defects such as twinning and grain boundaries might play important roles in determining the mechanical properties and failure mechanism of B_4C and related materials.^{10,11} In particular, the ubiquitous existence of twins in these materials has motivated studies of their impact.^{12–15} Indeed, we recently used both theory and experiment to examine the twin structures in B_4C and boron-rich boron carbide ($B_{13}C_2$).¹⁴ In addition, the nanotwins in B_4C could strengthen the materials by suppression of twin boundary (TB) slip within the nanotwins.¹⁵ However, the mechanism by which these nanotwinned structures determine mechanical properties is not fully understood at the atomistic scale, especially for $B_{13}C_2$. Although some experimental observed planar defects in $B_{13}C_2$ may be Wadsley type defects such as stacking faults, we do not consider them in current study because the atomistic structures for these defects are not identified.

Boron carbide exhibits a wide range of carbon solid solubilities ranging from ~ 8 to ~ 20 at. %.² In particular, it is of interest to understand how the properties of $B_{13}C_2$ are compared to B_4C . To explain the stoichiometry of $B_{13}C_2$, structures such as $B_{12}(BBC)$, $B_{12}(CBC)$, and $B_{12}(BBBB)$ have been proposed.^{16–18} Previous QM simulations predicted that the $B_{12}(CBC)$ is the lowest energy structure among all the possible variations of the basic B_4C structure.¹⁹ Indeed, the experimental Raman spectrum is consistent with the

$B_{12}(CBC)$ predicted structure,²⁰ indicating this to be the most plausible structure for B-rich boron carbide. Note that in discussing experiments we use the terms $B_{13}C_2$ and B_4C , whereas for the computations we use notations such as $B_{12}(CBC)$. This is because the experimentalists know for sure the overall compositions, but do not know which atoms are in the icosahedra and chains, whereas for the theory we use the specific distributions that correspond to the lowest energy.

We report here quantum mechanics (QM) simulations for the deformations of both perfect and twinned (B_{12})CBC, which we compare with ($B_{11}C_p$)CBC. We find that the shear strength of perfect (B_{12})CBC is 17.7% higher than that of ($B_{11}C_p$)CBC under pure shear deformations, while they are similar (within 0.4%) under indentation conditions. However, the presence of twins decreases the shear strength of perfect (B_{12})CBC under both conditions, making it even softer than ($B_{11}C_p$)CBC. We find that the failure mechanism of twinned (B_{12})CBC arises from the interaction of the C-B-C chain with the high energy twin boundary (TB). This allows the stress to achieve sufficient strain to cause deconstruction of the (B_{12}) icosahedral cluster to be 21.6% smaller than for perfect (B_{12})CBC.

Our QM simulations use the Perdew–Burke–Ernzerhof (PBE) exchange–correlation functional with the projector augmented wave method for the core–valence interactions as implemented in the VASP package.^{21–24} We found that a kinetic energy cutoff of 500 eV for the plane wave expansions gives excellent convergence of the total energies, energy differences, and structural parameters. The convergence criteria were set to 1×10^{-6} eV energy difference for solving the electronic wave function and 1×10^{-3} eV/Å force for geometry optimization. Reciprocal space was sampled using the Γ -centered Monkhorst-Pack scheme with a fine resolution less than $2\pi \times 1/60 \text{ \AA}^{-1}$ for geometry optimization.

We applied the pure shear deformation by fixing the shear strain at various values while relaxing the other five strain components.²⁵ To mimic the stress conditions under indentation experiments, we applied biaxial shear deformation where the ratio of the compressive stress beneath the indenter normal to the chosen shear plane has a fixed fraction

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of the tangential stress while the other four strain components are relaxed.²⁶ Here, we set the centerline-to-face angle to 68° to mimic the Vickers indenter. The residual stresses after relaxing were less than 0.5 GPa for pure shear and biaxial shear deformation.

Fig. 1(a) displays the $B_{12}(\text{CBC})$ crystal structure with $R\bar{3}m$ space group where the B_{12} icosahedral cluster is located on the corner and the C-B-C chain is along the $[111]_r$ directions. Here, the subscript “r” represents the rhombohedral cell. To examine how the twinned structure changes the mechanical properties of the perfect $B_{12}(\text{CBC})$, we constructed the twinned structure with the twin boundary along the $\{100\}_r$ plane as shown in Fig. 1(b). Details of this twinned structure were discussed previously.¹⁵ The QM predicts a twin interfacial energy of 40.6 mJ/m^2 for $B_{12}(\text{CBC})$, which is much lower than the 83.2 mJ/m^2 for symmetric $B_{11}C_p(\text{CBC})$.¹⁵ This much lower interfacial energy for $B_{12}(\text{CBC})$ might arise from the easier accommodation of B_{12} icosahedra along the twin boundary compared with $B_{11}C$ icosahedra. This low TB energy might explain why twin planar defects are pervasive in $B_{13}C_2$, whereas it is possible to form twin-free grains in B_4C that are micrometers across.¹⁵

Our previous studies showed that the easiest shear slip system for B_4C is $(001)_r/\langle 001 \rangle_r$ which parallels the amorphous shear band observed in experiments.^{6–8} For $B_{12}(\text{CBC})$, we performed pure shear deformation along the same slip system. For the twinned $B_{12}(\text{CBC})$, we shear along the TB which is along the $\{001\}_r$ plane of perfect $B_{12}(\text{CBC})$. The stress-strain relationships for these two structures are displayed in Fig. 2, and compared with the perfect $B_{11}C_p(\text{CBC})$ crystal examined previously.⁸ The maximum shear stress for perfect $B_{12}(\text{CBC})$ is 45.8 GPa, which is 17.7% higher than the strength limit of B_4C (38.9 GPa), indicating that the intrinsic strength limit is higher for perfect $B_{12}(\text{CBC})$ than for $B_{11}C_p(\text{CBC})$. However, the shear strength of twinned $B_{12}(\text{CBC})$ decreases to 35.9 GPa, which is 21.6% lower than that of perfect $B_{12}(\text{CBC})$ and 7.7% lower than that of perfect B_4C . The critical shear stress for $B_{12}(\text{CBC})$ and $B_{11}C_p(\text{CBC})$ is summarized in Table I. The $B_{12}(\text{CBC})$ directly fails after the maximum shear stress as shown in Fig. 2, indicating that it does not experience any “plastic” deformation. In contrast, $B_{11}C_p(\text{CBC})$ does exhibit

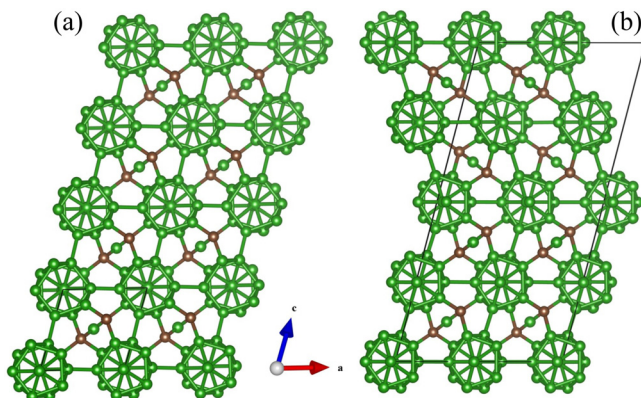


FIG. 1. The structure of $B_{12}(\text{CBC})$ and its twin structure from QM prediction: (a) QM predicted structure for $B_{12}(\text{CBC})$. (b) QM predicted structure for twinned $B_{12}(\text{CBC})$ with 2 crystal layers between twin boundaries. The boron and carbon atoms are represented by green and cyan balls, respectively.

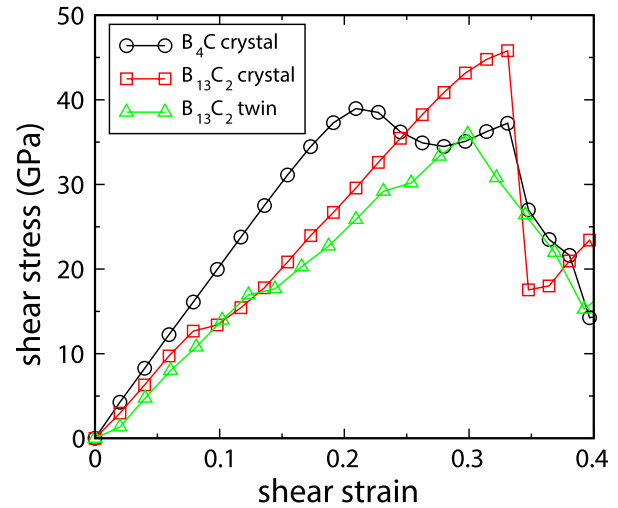


FIG. 2. The shear-stress shear-strain relationship of $B_{12}(\text{CBC})$, twinned $B_{12}(\text{CBC})$, and $B_{11}C_p(\text{CBC})$ along the least stress slip system.

“plasticity,” of 12.1%, in the infinite slow shear deformation at 0 K along the most plausible slip system $(001)_r/\langle 001 \rangle_r$, as shown in Fig. 2.

To understand the softening effect from nanotwins in $B_{12}(\text{CBC})$ and to illustrate amorphous band formation at high strain, we examined the structural changes in perfect and twinned $B_{12}(\text{CBC})$, as shown in Fig. 3. For perfect $B_{12}(\text{CBC})$, the structure deforms continuously as the strain increases to 0.331 *without* bending the C-B-C chain, as shown in Fig. 3(a). But with a strain of 0.348, we find that $B_{12}(\text{CBC})$ fails catastrophically, as shown in Fig. 3(b). *This differs from the failure mechanism of B_4C , which involves a two-step procedure:*⁸

- (1) the B-C bond between icosahedra is stretched and broken to form a reactive carbene;
- (2) the system then displays plastic deformation for an additional 0.122 strain until at 0.331, in which the C-B-C chain has bent sufficiently for the carbene to react with the middle B of the chain, resulting in deconstruction of icosahedron with concomitant formation of the amorphous band. The first step at 0.209 strain for $B_{11}C_p(\text{CBC})$ releases the stress, decreasing the maximum shear strength below than that for the perfect $B_{12}(\text{CBC})$.

For twinned $B_{12}(\text{CBC})$, the stress-strain slope is 4.4% lower than for perfect $B_{12}(\text{CBC})$, indicating a lower shear modulus, which arises from the presence of the high energy TBs. As shear stress is applied to the twinned $B_{12}(\text{CBC})$, the C-B-C chains in the lower half start to bend towards the icosahedral clusters along the TBs before failure, as shown in

TABLE I. Critical shear stress for $B_{11}C_p(\text{CBC})$ and $B_{12}(\text{CBC})$ under pure and biaxial shear deformation. The data for symmetric twinned $B_{11}C_p(\text{CBC})$ are from Ref. 15.

Critical shear Stress (GPa)	$B_{11}C_p(\text{CBC})$	$B_{12}(\text{CBC})$
Perfect (pure shear)	38.9	45.8
Twin (pure shear)	43.6	35.9
Perfect (biaxial shear)	28.5	28.6
Twin (biaxial shear)	31.8	26.0

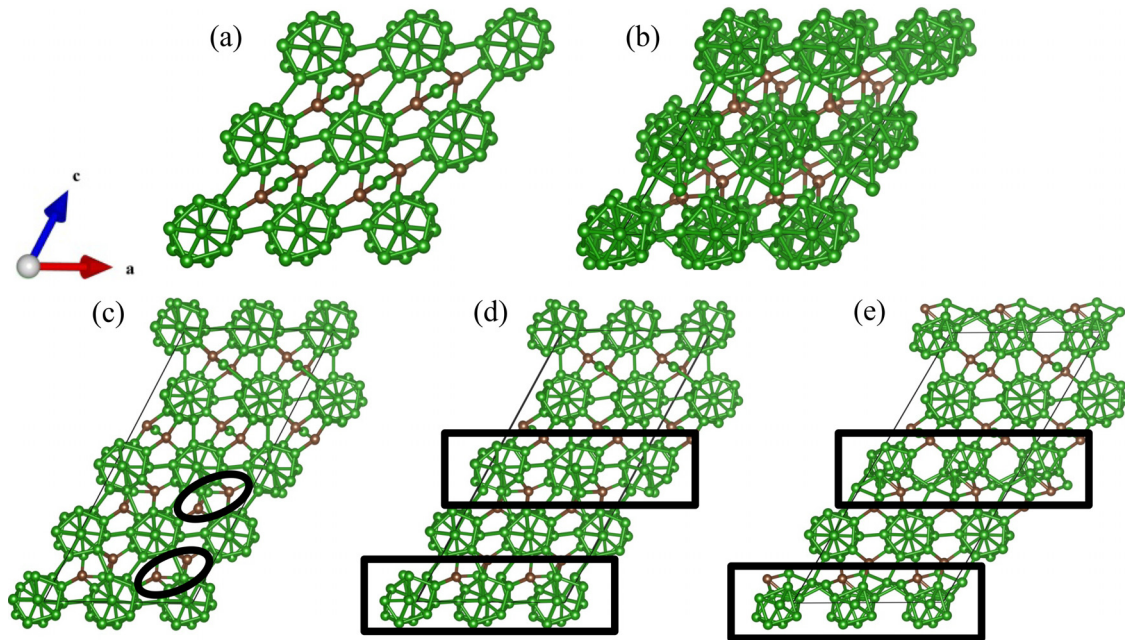


FIG. 3. The deformation mechanism of $B_{12}(\text{CBC})$ and twinned $B_{12}(\text{CBC})$ for shear along the least shear slip system: (a) The $B_{12}(\text{CBC})$ structure at 0.331 strain corresponding to the maximum shear stress of 45.8 GPa. (b) The $B_{12}(\text{CBC})$ structure at 0.348 strain after failure. (c) The $B_{12}(\text{CBC})$ twinned structure at 0.299 strain, which corresponds to the maximum shear stress of 35.9 GPa. (d) The $B_{12}(\text{CBC})$ twinned structure at 0.322 strain corresponding to slight damage on the icosahedral clusters along the TBs. (e) The $B_{12}(\text{CBC})$ twinned structure at 0.392 strain with fully deconstruction of icosahedral clusters along the TBs.

oval region of Fig. 3(c). As the strain increases to 0.299, The C-B-C angle bends to 165.3° and the middle B is 1.93 \AA from the cage B. With further shear to 0.322 strain (Fig. 3(d)), the middle chain boron is only 1.75 \AA from the cage B, leading to B-B bond formation that deconstructs partially the icosahedron (rectangular region of Fig. 3(d)), and leading to shear stress release from 35.9 GPa to 30.8 GPa. With further shear to 0.392 strain, the cages along the TBs and the nearby chains become fully deconstructed with the shear stress decreasing to 15.3 GPa, as shown in the rectangular region of Fig. 3(e).

The stress conditions under indentation experiments are very complex compared with our simulated pure shear deformation. To predict the material behavior under indentation experiments, we performed biaxial shear deformation to mimic the stress conditions under indentation.²⁶ The stress-strain relationships for the perfect and twinned $B_{12}(\text{CBC})$ are shown in Fig. 4(a). For perfect $B_{12}(\text{CBC})$, the maximum shear

stress is 28.6 GPa, which is only slightly higher than that of the $B_{11}C_p(\text{CBC})$ (28.5 GPa). This indicates that the hardness of perfect $B_{12}(\text{CBC})$ should be close to $B_{11}C_p(\text{CBC})$. However, for twinned $B_{12}(\text{CBC})$, the maximum shear stress decreases to 26.0 GPa, which is 9.1% lower than perfect $B_{12}(\text{CBC})$ and 8.8% lower than $B_{11}C_p(\text{CBC})$. The critical shear stress under biaxial shear deformation is summarized in Table I.

The mechanism of deformation for twinned $B_{12}(\text{CBC})$ under biaxial shear deformation is displayed in Figs. 4(b) and 4(c). As a compressive stress is applied, the C-B-C chains bend by 50.3° over the whole simulation cell. As the shear strain increases to 0.276, the C-B-C chains next to the TBs bend from 180° to 129.7° . As the shear strain increases to 0.299, the C-B-C chain bends to 124.5° , decreasing the distance from the B of the C-B-C chain to the B of the icosahedron to 1.64 \AA . This leads to the failure of the cluster along the TBs, which is similar to the process under pure shear deformation.

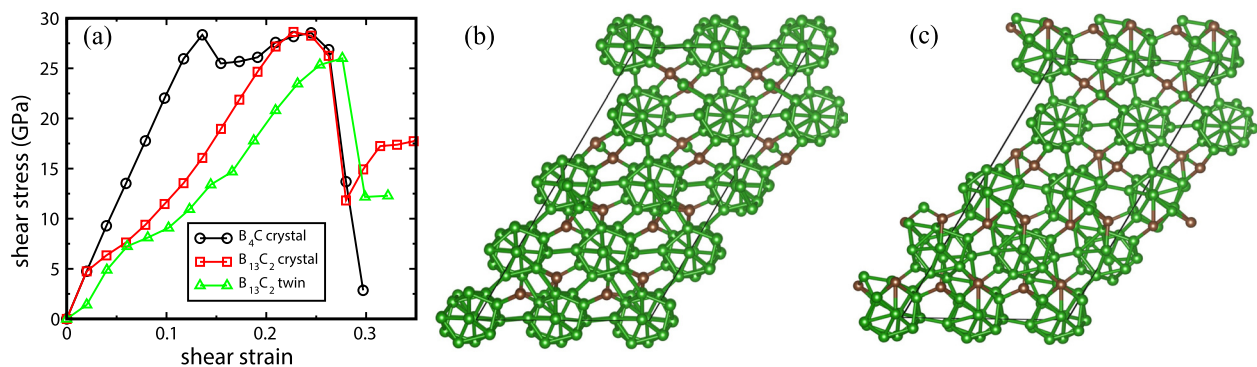


FIG. 4. The stress-strain relationships of $B_{12}(\text{CBC})$, twinned $B_{12}(\text{CBC})$, and B_4C under biaxial shear deformation and the structural changes for twinned $B_{12}(\text{CBC})$: (a) Stress-strain relationships; (b) twinned $B_{12}(\text{CBC})$ structure at 0.276 strain corresponding to the maximum shear stress of 26.0 GPa; (c) twinned $B_{12}(\text{CBC})$ structure at 0.299 strain after failure.

Our previous study¹⁵ showed that nanotwins in $B_{11}C_p(CBC)$ increase the strength compared to the perfect $B_{11}C_p(CBC)$ because the presence of twins suppresses the stress decrease as the B-C bond between icosahedral clusters breaks. However, the nanotwins in $B_{12}(CBC)$ decrease the strength of the perfect crystal because the failure mechanism of $B_{12}(CBC)$ does not involve the B-C bond breaking between icosahedra. The high interfacial energy of the twin makes the interaction of C-B-C chain with icosahedra easier to proceed in the twinned $B_{12}(CBC)$. Thus, although $B_{12}(CBC)$ has a very similar twin structure to $B_{11}C_p(CBC)$, the twins play quite different roles in these two materials due to the difference in the failure mechanisms. To improve the strength of $B_{12}(CBC)$, one needs to design this material to have lower twin densities, which is opposite to $B_{11}C_p(CBC)$.

Niihara *et al.* reported that, for chemical vapor deposited (CVD) boron carbide, both hardness and fracture toughness decreases over 18.0% as the boron content increases.²⁷ However, mechanical test on hot-pressed boron carbide indicated that boron addition did not significantly affect elastic modulus, flexural strength, hardness, and fracture toughness.^{28,29} The latter two experiments on hot processed boron carbide are consistent with our theoretical prediction that the critical failure stress for $B_{13}C_2$ and B_4C are similar (changes <1.0%) under biaxial shear deformation.

In summary, we use QM simulations to examine deformations of both twinned and nontwinned $B_{12}(CBC)$ under both pure shear and biaxial shear conditions, which we compare with deformation of both perfect $B_{11}C_p(CBC)$ and its twin. Some main conclusions are as follows:

- The twin interfacial energy of $B_{12}(CBC)$ is 40.6 mJ/m², which is $\sim 1/2$ value for symmetric twins in $B_{11}C_p(CBC)$.
- Perfect $B_{12}(CBC)$ has a higher shear strength than $B_{11}C_p(CBC)$ under pure shear conditions. The reason is that the presence of twins in $B_{12}(CBC)$ lowers the shear strength, making it softer than $B_{11}C_p(CBC)$. The failure mechanism for twinned $B_{12}(CBC)$ involves the interaction of the C-B-C chain with the icosahedra along the TBs.
- Under biaxial shear conditions, perfect $B_{12}(CBC)$ has a shear strength similar to $B_{11}C_p(CBC)$. But twinned $B_{12}(CBC)$ has a lower shear strength than perfect $B_{12}(CBC)$ or $B_{11}C_p(CBC)$. The failure mechanism of twinned $B_{12}(CBC)$ under biaxial shear deformation is similar to the mechanism under pure shear deformation.

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The authors declare no competing financial interests.

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